



MEETING NOTICE

Notice is hereby given that the following meeting of the Board of Trustees of the Greater Cleveland Regional Transit Authority will take place on **Tuesday, November 16, 2021** in the Board Room of the Authority, 1240 West Sixth Street, Cleveland, OH 44113 for consideration of the listed items and such other items that may properly come before the Board and be acted upon.

This meeting will be live-streamed on RTA's Facebook page (www.facebook.com/rideRTA) for staff and members of the public. Members of the public may also attend in-person. Based on Centers for Disease Control (CDC) guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses and other entities may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, the following measures will be in place for in-person attendance:

1. To allow for social distancing, RTA Board Room capacity is limited to forty-four (44) people. To accommodate members of the public, RTA will limit the number of staff permitted in the Board Room.
2. All persons entering RTA's Main Office Building ("MOB") at 1240 West 6th Street are required to wear a mask/face covering and maintain a distance of six feet or more from other individuals who are not members of their family or household. The mask/face covering must be cloth or other fabric and worn to cover the person's nose, mouth and chin. If a person entering the building does not have a mask, one can be provided to them.
3. All persons entering RTA's MOB will be temperature screened for COVID-19 in accordance with guidance provided by the CDC and the Cuyahoga County Board of Health. If the screening indicates that the person may be infected with COVID-19, that individual will be denied entry.

The meeting package will be posted on RTA's website at (www.riderta.com/board), on RTA's Facebook page, and RTA's Twitter page.

- 9:00 A.M. Operational Planning & Infrastructure Committee
- 2022 Service Plan – Presentation on the 2022 Service Plan.
 - Budget Presentation:
 - Proposed 2022 Operating Budget
 - Proposed increase to the FY 2021 General Fund and Reserve Fund
 - Proposed increase to the FY 2022 Capital Improvement Plan (CIP)

1st Public Hearing

- FY 2022 Appropriation

Organizational, Services & Performance Monitoring Committee

- Quarterly Management Report – Review of 3rd quarter 2021 results.



Greater Cleveland
Regional Transit Authority

Audit, Safety Compliance And Real Estate Committee

- Internal Audit Quarterly Report - Review of 3rd quarter 2021 audit work.

Board of Trustees – agenda attached

A handwritten signature in black ink, appearing to read 'India L. Birdsong', is written over a light blue horizontal line.

India L. Birdsong
General Manager, Chief Executive Officer

ILB:tab
Attachment

AGENDA

RTA OPERATIONAL PLANNING & INFRASTRUCTURE COMMITTEE

Tuesday, November 16, 2021

Committee Members: Mr. Terence P. Joyce, Chair
 Ms. Karen Gabriel Moss, Vice Chair
 Mayor Michael P. Byrne
 Ms. Luz N. Pellot
 Mr. Leo Serrano

- I. Roll Call
- II. 2022 Service Plan – Presentation on the 2022 Service Plan.

Presenters:
 - Joel Freilich, Director - Service Management
- III. Budget Presentation:
 - Proposed 2022 Operating Budget
 - Proposed increase to the FY 2021 General Fund and Reserve Fund
 - Proposed increase to the FY 2022 Capital Improvement Plan (CIP)
Presenter:
 - Kay Sutula, Director - Office of Management and Budget
- IV. 1st Public Hearing
 - FY 2022 Appropriation
- V. Adjourn



Greater Cleveland
Regional Transit Authority

Interoffice Memo

To: Rev. Charles P. Lucas, President
and Members, Board of Trustees

From: India L. Birdsong
General Manager/Chief Executive Officer

Date: November 11, 2021

Subject: 2022 Service Management Plan

A handwritten signature in black ink, appearing to be 'ILB', enclosed in a circular scribble.

At the November 16, 2021, meeting of the Operational Planning & Infrastructure Committee, staff will present the 2022 Service Management Plan (SMP), as required by the Board-adopted Services Code. Each year, the SMP is developed in alignment with the General Manager's Recommended Budget.

Please call me if you have any questions or require additional information prior to Tuesday's meeting.

ILB/JBF



To: Rev. Charles P. Lucas, President
and Members, Board of Trustees

From: India L. Birdsong
General Manager/Chief Executive Officer 

Date: November 11, 2021

Subject: Proposed Fiscal Year (FY) 2022 Budget Development and Proposed Increases to the FY 2021 General Fund Budget and Reserve Fund and FY 2022 Capital Improvement Plan (CIP)

At the November 16, 2021 Operational Planning & Infrastructure Committee meeting, staff will present the proposed FY 2022 Budget. The projected 2021 year-end balance of \$70.3 million represents a 3.3-month reserve. This is largely due to the funding received through the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) and American Rescue Plan (ARP). The 2021 estimate includes an amendment to create a new Revenue Stabilization Subfund under the Reserve Fund and transfer \$85 million into this subfund.

The proposed FY 2022 Budget includes total revenues of \$354.4 million. With a beginning balance of \$70.3 million, total resources are \$424.7 million. The two largest sources of revenue are Sales & Use Tax and Federal Stimulus Funding estimated at \$243.0 million and \$81.2 million, respectively.

Operating expenses are budgeted at \$274.8 million, which includes funding for 18 new positions and wages increases. Transfers to other funds total \$65.1 million, which includes an additional \$25 million for the Capital Improvement Program. With total expenditures of \$339.9 million against total resources of \$424.7 million, the available ending balance is budgeted at \$84.8 million, or a 3.7-month reserve.

An increase in the appropriation to the FY 2022 Capital Improvement Plan will also be presented to the Operational Planning & Infrastructure Committee. The FY 2022 CIP was approved by the Board of Trustees on May 25, 2021. An increase of \$24 million will be needed for the Rail Car Replacement Program.

At the December 7, 2021 Operational Planning & Infrastructure Committee meeting, we will again present the FY 2022 Budget, the amendment to the FY 2021 General Fund and Reserve Fund, and the amendment to the FY 2022 Capital Improvement Fund, as well as a second public hearing. The resolutions for these will be presented at the December Board Meeting.

Please call me if you have any questions or require additional information prior to Tuesday's meeting.

ILB/kms



Greater Cleveland
Regional Transit Authority

1240 West 6th Street
Cleveland, Ohio 44113-1302
Phone: 216-566-5100
riderta.com

RDG

From: Rajan D. Gautam, DGM, Finance – Secretary/Treasurer
Subject: Public Hearing Notice – FY 2022 Operating and Capital Budgets
Date: November 1, 2021

Notice is hereby given that a public hearing on the FY 2022 Operating and Capital Budgets of the Greater Cleveland Regional Transit Authority will be held at 9:00 A.M. Eastern Daylight Time on Tuesday, November 16, 2021. A second public hearing will be held at 9:00 A.M. Eastern Daylight Time on Tuesday, December 7, 2021. Both public hearings will be held in the Board Room of the Authority, 1st Floor, Main Office Building, 1240 West Sixth Street, Cleveland, Ohio.

A copy of the proposed budget is on file in the Office of Management and Budget of the Greater Cleveland Regional Transit Authority, 4th Floor, 1240 West Sixth St., Cleveland, OH, and available for public inspection. India L. Birdsong, General Manager, Chief Executive Officer, Greater Cleveland Regional Transit Authority

AGENDA

RTA ORGANIZATIONAL, SERVICES & PERFORMANCE MONITORING COMMITTEE

Tuesday, November 16, 2021

Committee Members: Mayor Michael P. Byrne, Chair
Ms. Karen Gabriel Moss, Vice Chair
Ms. Roberta Duarte
Mr. Leo Serrano
Mayor David E. Weiss

- I. Roll Call
- II. Quarterly Management Report – Review of 3rd quarter 2021 results.
Presenter:
 - India L. Birdsong, General Manager, CEO
- III. Adjourn

AGENDA

RTA AUDIT, SAFETY COMPLIANCE AND REAL ESTATE COMMITTEE

Tuesday, November 16, 2021

Committee Members: Ms. Karen Gabriel Moss - Chair
 Mr. Terence P. Joyce
 Mayor Paul A. Koomar
 Ms. Valarie J. McCall
 Mayor David E. Weiss

- I. Roll Call
- II. Internal Audit Quarterly Report – Review of third quarter 2021 results.
 Presenter:
 - Tony Garofoli, Executive Director of Internal Audit
- III. Adjourn



AGENDA

RTA Board of Trustees Meeting

Tuesday, November 16, 2021

9:00 a.m.

(This meeting will commence after the committee meetings)

- I. Call to order
- II. Roll Call
- III. Certification regarding notice of meeting
- IV. Approval of the October 26, 2021 Board Meeting minutes
- V. Public comments (**2 minutes**) on **agenda items** can be made in person or phoned in using the dial in information:

Phone: 440-276-4600
- VI. Board Governance Committee report
- VII. Operational Planning & Infrastructure Committee report
 - Chair: Mr. Terence P. Joyce
- VIII. Organizational, Services & Performance Monitoring Committee report
 - Chair: Mayor Michael P. Byrne
- IX. Audit, Safety Compliance and Real Estate Committee report
 - Chair: Karen Gabriel Moss
- X. External and Stakeholder Relations and Advocacy Committee report
 - Chair: Valarie J. McCall
- XI. Community Advisory Committee (CAC)
 - Board Liaison: Roberta Duarte
- XII. Ad Hoc Committee reports
 - Ad Hoc Paratransit Committee – President Charles P. Lucas, Chair
 - Ad Hoc Technology Committee – Luz Pellot, Chair
- XIII. Introduction of new employees and announcement of promotions
- XIV. Introduction of resolutions:

- A. 2021-100 – Authorizing a change order to exercise an option under Contract 2020-071 with Gillig, LLC for the purchase and delivery of up to 20, 40-ft. low floor CNG coaches, as specified, for a price not to exceed \$11,211,397.40 (RTA Development Fund, Fleet Management Department budget)
- B. 2021-101 – Authorizing Contract No. 2021-098 with Brokaw, Inc. to provide advertising services for a period of one year in an amount not to exceed \$850,000.00, with two, one-year options in an amount not to exceed \$850,000.00 for each option year, for a total contract amount not to exceed \$2,550,000.00 (General Fund, Administration & External Affairs Department budget)
- C. 2021-103 – Authorizing Contract No. 2021-105 with Harsco Rail, LLC to provide an Occupied Zone Warning System for a total contract amount NTE \$335,000.00 (RTA Development Fund, Engineering and Project Development Department budget)
- D. 2021-104 – Authorizing Contract No. 2021-164 with CBTS, LLC DBA CBTS Technology Solutions, LLC to provide Next Generation Telephone Service for a base one-year period in an amount not to exceed \$205,000.00, with two one-year options in amounts not to exceed \$205,000.00 per option year, for a total contract amount not to exceed \$615,000.00 through the State of Ohio, Department of Administrative Services Cooperative Purchasing Program (General Fund, Innovation and Technology Department budget)
- E. 2021-105 – Authorizing Contract No. 2021-165 with Computer Sciences Corporation, a DXC Technology Company to provide Riskmaster to assure claims migration, software, and services in an amount NTE \$114,870.00 for base year one, and in an amount not to exceed \$59,077.00 for each of the two additional years, for a total contract amount NTE \$233,024.00 for the three-year period (RTA Capital and General Funds, Innovation and Technology Department budget)

XV. Secretary-Treasurer's Report:

- A. General Fund Revenue – status as of October 31, 2021 versus 2020 actuals
- B. General Fund Revenue – status as of October 31, 2021 versus the 2021 budget
- C. Sales & Use Tax Receipts Report budgeted during 2021, actual receipts through November 2021
- D. Inventory of Treasury Investments as of October 31, 2021
- E. Debt Service Schedule and Status of Bond Retirement Fund (cash basis) as of October 31, 2021
- F. Summary of Investment Performance, Year to Date through October 31, 2021
- G. Report on Investment Earnings (cash basis) as of October, 2021
- H. Composition of Investment Portfolio as of October 31, 2021
- I. Banking and Financial Relationships as of October 31, 2021

XVI. General Manager's Report

XVII. President's Report

XVIII. Old Business

- REVISED Proposed 2022 Board and Committee Meeting Schedule

XIX. New Business

XX. Public comments (**2 minutes**) on **non-agenda items** can be made in person or phoned in using the dial in information:

Phone: 440-276-4600

XXI. The next regular Board meeting is scheduled for **Tuesday, December 21, 2021** in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live-streamed on RTA's Facebook page (www.facebook.com/rideRTA) for staff and members of the public.

XXII. Adjournment

Minutes

RTA Board of Trustees Meeting

9:03 a.m. October 26, 2021

Present: Lucas (Chair), Moss (Vice-Chair), Byrne, Duarte, Joyce, Koomar, McCall, Pellet, Serrano, Weiss

Not present: None

Staff: Benford, Birdsong, Burney, Caver, Coffey, Dangelo, Davidson, Fields, Garofoli, Gautam, Ghanem, Johnson, Kirkland, Miller, Mothes, Orlando, Rusnov, Sutula, Talley, Tarka, Togher, Walker-Minor

Public: Gibbons, Loh, Rodriguez

President Lucas called the meeting to order at 9:03 a.m. The secretary called the roll and reported that ten (10) board members were present.

This meeting was live-streamed on RTA's Facebook page (www.facebook.com/rideRTA) for staff and members of the public. Based on Centers for Disease Control guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, several measures were put in place for in-person attendance, which are spelled out on the meeting notice. The public was allowed to attend the meeting in-person.

The Secretary advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

National Disability Employment Awareness Month

President Lucas recognized several members of the ADA/paratransit community who were in attendance today in recognition of National Disability Employment Awareness Month. A bus wrap is on display outside the Main Office. He expressed how he enjoys serving as the President. When he found out he would be paraplegic while in the hospital years ago, he noticed pamphlets that said he should not allow a wheelchair to define his life. He has lived his life with that motto. Framed photos of the community were passed out. A special video was shown to honor the individuals during the meeting. Ms. Natoya Walker Minor passed out the photos and thanked all of the participants. Rev. Lucas thanked the staff for their work. Chief McCall asked that this not be the last time we acknowledge this group. She commended the leadership and the leadership of President Lucas as a voice and advocate.

Minutes

President Lucas stated that the minutes from the September 28, 2021 had been previously distributed and reviewed, and asked whether there were any additions and/or corrections. There were no corrections. The minutes were approved.

Service Redesign

Joel Freilich, Director of Service Management, made the presentation. The report is in regards to concerns expressed in the last meeting about a few routes on the NextGen Redesign. After the

meeting, staff will follow up with the Councilperson where the routes are in question as well as any other individuals who requested a response. The issues raised by the public and community leaders were analyzed. One key question they advised the CEO/GM was should in fact any RTA bus trip run on Walden Avenue in Cleveland. More than 20 years ago, nearly all #15A service on Walden was removed due to low ridership. Only a few rush-hour #15A trips on Walden were retained. Those few trips had a little bit more riders than the discontinued. More than 10 years ago, RTA renamed #15A the "#15 via Walden." Next Gen was a big community conversation about rider's priorities that led to input that we needed a grid of very high frequency routes leading to jobs, education and healthcare supported by strong crosstown routes that connect those routes forming a strong grid so that when people transfer they can do so efficiently. That conversation led to a complete overhaul of the system. As a part of that, NEXT GEN removed trips via Walden (just 3 per direction) in favor of consistent, 15-minute service on Harvard serving Lee-Harvard Plaza.

The discontinued stops are 0.1 to 0.6 miles from improved #15 service. Walden lies between Kinsman and Harvard, which has improved service. Some riders can choose other improved routes (e.g., #40). RTA will maintain the NEXT GEN service as it is, due to: Low usage on Walden trips (Ward 1 boardings + alightings = 17/day) and the need for frequent service on #15 to jobs, education and health care. Another question raised in this community was should all #48 trips serve Marymount. This route like the #40 is an important North/South connector route. Pre-NEXT GEN, half of weekday daytime #48 trips split off the main route and ended at South Miles Rd instead of Marymount Hospital (these trips were labeled #48A). With NEXT GEN, all #48 trips serve Marymount (result is 30-min service). RTA will maintain the NEXT GEN #48 (all trips to Marymount) to prioritize access to health care and jobs at Marymount. Unlike Walden, all stops on the former #48A branch continue to be served by the #50. They withdrew a five-day #48 branch from the neighborhood, but they went to the same stops with the new NextGen #50. The #50 (like #48A) provides one-seat access to UH, Cleve. Clinic, CWRU, Univ. Circle, and 12 connecting routes (incl. #48, all rail lines, HealthLine) and the #50 (unlike #48A) operates 7 days a week vs. only five. In addition, many can walk to the improved #40. It does not pass through Shaker Square but meets the light rail at the E. 116th Street Rapid Station.

Did Next Gen improve service in Ward 1? Yes, NEXT GEN improved frequency on many routes including routes in the Ward and beyond the Ward. NEXT GEN increased service from 5 days to 7 days at #50 (former #48A). NEXT GEN improved the linkage between Ward 1 and key locations like Tri-C East, Tri-C Metro, Cleveland State University, UH Ahuja Medical Center, Marymount Hospital, University Circle and Employment opportunities throughout the region. NEXT GEN improved access to jobs, education, and healthcare. There is no ward in the city where all jobs are only within the ward. In conclusion, RTA will maintain the new NEXT GEN service in this area.

Dr. Caver added that we looked at NextGen and knew a few years ago and knew there would be changes. The Ward 1 questions were good. Staff stayed true to what the macro community asked us to do, which was to prioritize frequency and prioritize routes that went to jobs, education and healthcare. Service increased on the #15 taking patrons to jobs, education and healthcare out east and better link to downtown on a frequent grid. In this community, no neighborhood lost service except for Walden, which was small. The 48A/50 connection was increased between the E. 116th community and south Miles area. The service is appropriate, but it does not mean that changes cannot be considered quarterly. Ms. Birdsong added that Ms. Walker Minor spoke to council members and residents to advice of changes. A written recommendation will be sent to the Board after the meeting. Service changes are never easy. Seventeen individuals were adversely affected. Every trip is important but sometimes we have to do what is best for the majority.

Ms. Moss asked what the ridership numbers are now compared to the 17 pre-COVID. Joel said in Ward 1 during rush hour, there are #55 riders on the main line in that period when half of their trips

have been pulled off into a residential street that misses the Lee-Harvard Center. Five were boarding at Lee-Road. They probably got off the #40. They would probably vote to move the service to the main line to have a consistent place to transfer. People speak through their riding habits. Ms. Birdsong said the 17 broken down to 4 in the morning, 13 in the afternoon. Mr. Joyce asked for confirmation on the discontinued stops on Walden. Joel said there were dozens of stops, but some had zero or one riders all day. At Lee Road, there were five. Dr. Caver said key characteristic to creating a robust system is linearity (the more the bus stays on a straight street, the bus can move faster and result in frequency). The community appreciates the frequency because it allows for mobility and more access to their life. Ms. Birdsong confirmed five people who spoke out against the changes. They will be contacted about the decision. Chief McCall requested staff follow-up with Mr. Stewart. President Lucas thanked the staff.

Public Comments – Agenda Items (comments were submitted in-person and by phone)

1. Dontez Taylor – he is a frequent rider. He used to ride the #15A when it went down Walden to get to the Harvard Community Center. It got him there faster. Many people ride the route, but have not called in for public comments. He loves RTA. There is no bus going to Strongsville where there are many jobs. Paratransit is a good service. The #14 is a great route. He hopes the #14 and #15 could go back to City Hall. The #8 is now every hour. However, when the #11 was on Buckeye, it had 15-20 minute service. He thanked the staff for listening.
2. Carlita – She lives in Ward 1. The 15A and 48A with the revisions Mr. Stewart suggested for the interior buses would not detract from the heavy lines. RTA is still not servicing the interior of the community by removing those lines. There is a longer walk. It is not safe. It is not due to a budget decrease and not taking away any buses to redesign the line. The line has been dummied down. She rides the 15A via Walden. It should be put back in place along with the 48A.
3. Andre White – He disagrees with the change to #15A. Twenty years ago, RTA tried to eliminate the line. It was for people going to and from work. He asked how many people is taking the new route to the hospital and Tri-C. This is not safe for people to walk 1 mile. He would like to see the ridership in six months.
4. Airric Stewart – Mr. Freilich misconstrued the facts on the #15A. It was not just Walden eliminated. E. 177, Walden, E. 154 and Bartlett were affected. Staff was given adjustments that would make the route more appealing, but they were not considered. If staff had looked at how long it would take to get from its' furthest end point east to west and back, they would see that the new route would hit retail at Harvest Square, Pinecrest, Tri-C east, Whitney Young, Adely Stevenson, Rickoff School, the Red Line at University Circle, Shaker Square, Tri-C Metro, UH, St. Vincent Hospital and end at CSU and be blocks from Playhouse Square. In reference to 48A, 15 and regular 48 there would be 45 buses. There would be better service.
5. Kevin – He called to follow up on a complaint about paratransit made on Sept. 15. He had a hard time getting home last night and filed a complaint about it. He enjoys using the service.
6. Rita Montgomery – She called regarding the #15A. Dr. Caver and Mr. Freilich indicated that it only cut service on Walden. However, it also cut service on Bartlett. RTA should look at what was done. The community needs the service. Other people are concerned about the cuts who have not called in. She said they could all come to the meeting if necessary. It is not just five people but also the entire community.

7. Loh – Thanks for NextGen. It is hard to make everything perfect in a redesign. She is glad the public is expressing their concerns. Since she has been back in person for meetings, she has been late because it takes her 1 hour to get from CSU to RTA. The free E Line trolley was cut due to duplicate service, which is understandable. She did not have to transfer to use this route. The travel time was reasonable. Now she has to take the HL and transfer to B-Line. She missed the photo op with President Lucas because of the changes. The B Line sometimes drives past the stops. A transitional housing project in the Buckeye neighborhood lacks adequate bus service due to the changes to the #14. The last bus in the evening is 8:55 p.m. This affects the residents getting to and from work. Frequency is high on the busy lines but some routes need to be tweaked.

Committee Reports

Ms. Moss said the Audit Committee would meet tomorrow at 2:30 p.m. They will look in depth into the Internal Audit Charter and the history and how we got there. Everyone is welcome.

Community Advisory Committee (CAC)

Ms. Duarte said everyone knows that community engagement is critical in shaping RTA. It is underutilized in other sectors in the region. It should not be a competing priority. It is important that RTA understands the diverse community it serves if we want the region to have access to accessibility, clean bus stops, safe public transit and affordability. Although technology plays a big role in our lives, not everyone has access to it. In Cuyahoga County, 25% of residents do not have access to the internet or a computer. In Cleveland, 30% do not have internet or reliable high-speed services. We cannot expect riders and community members to build trust by scan a QR code or by website. We need boots on the ground and the support of community partners to ensure the issues people are having with transit.

In the past few weeks, she has met with public transit advocates, riders and several current/former RTA employees. She has attended community meetings and the Clevelanders for Public Transit (CPT) Mayor Candidate forum. She has a copy of their report "Ending the Transit Death Spiral: A Positive Vision for Public Transit in Greater Cleveland." She encouraged everyone to read it. Recent comments speak of more funding, more affordable service, and taxing parking to fund transit. She heard from people that are excited to hear about the Transit Ambassador Program. Jack Bracatelli, A CSU student and public transit advocate and recent RTA intern sent an email to the Board about the importance with engaging with the public throughout the city. They passed out NextGen schedules and helped them figure out their routes. He likes being out in the public, speaking to the public and answering questions. Riders were thankful for the interaction. Promoting RTA through community engagement was able to meet the needs of stakeholders. There can always be more engagements between the Main Office and the public. She thanked everyone that has met with her.

Ad Hoc Committee Reports

Ms. Pellot said the Ad Hoc Tech Committee would meet Nov. 18 at 5 p.m.

Introduction of New Employees/Promotions

New Hires:

1. Steven Woldarek - Equipment Servicer
2. Sharmar Maxie – Laborer
3. Patrick Chalk – Laborer
4. Keith Winborn – Laborer

5. Leonard Cahill – Laborer
6. Lavelle Burrage – Laborer
7. LaShaun Stewart – Laborer
8. Curtis Hemphill – Operator
9. Jayla Beckwith – Operator
10. Endia Elbee – Operator
11. Brandi Taylor – Operator
12. Chartina Jones – Operator
13. Khadafi Bizzell – Operator
14. Jonathan Fletcher – Operator
15. Michael Hills – Operator
16. Andrew Carmichael – Operator
17. Griffin Bayle – Equipment Servicer
18. Wayne Chalker – Equipment Servicer
19. Joshua Dezelan – Equipment Servicer

Promotions:

1. Jacob Kabelen – Supervisor of Traction Power
2. Matthew Seegart – Talent Acquisition, Business Partner

Introduction of Resolutions:

- A. 2021-87 – Authorizing the General Manager, Chief Executive Officer to enter into an intergovernmental agreement with the Cleveland Metropolitan School District for student fares for the 2021-2022 school year and ratifying the issuance of tickets

This item was tabled at the September 28, 2021 Board Meeting due to a lack of a quorum. It was moved by Ms. Moss, seconded by Mr. Joyce to take this off the table. There were nine (9) ayes and one recuse from Mr. Serrano. The adoption of which was moved by Ms. Moss, seconded by Mr. Joyce. There were nine (9) ayes and one recuse from Mr. Serrano. It was approved.

- B. 2021-92 – Expressing congratulations to the employees of the Greater Cleveland Regional Transit Authority who retired during the third quarter of 2021, the adoption of which was moved by Chief McCall, seconded by Ms. Pellot and approve by unanimous vote.

There were thirteen retirees. Retiree Godwin McNeal from Hayden was acknowledged for 45 years of "Accident Free" driving. He received a proclamation, plaque and framed photo. President Lucas wished him well on his retirement. Ms. Benford added that DriveCam honored him for the best operator in the country and for being the 2nd best operator in the country. Mr. McNeal thanked the RTA for the honors.

- C. 2021-93 – Authorizing Contract No. 2021-141 with Axon Enterprise, Inc. to provide Taser 7 program equipment and services in an amount not to exceed \$103,178.00 per year for a total contract amount not to exceed \$515,890.00 for a period of five years (General Fund, Transit Police Department budget), the adoption of which was moved by Mr. Serrano, seconded by Ms. Pellot and approved by unanimous vote.
- D. 2021-94 – Authorizing Contract No. 2021-147 with Giro, Inc. to provide Hastus software implementation, testing and hosting services for a period of one year in an amount not to

exceed \$275,082.00 (General Fund, Intelligent Transportation Systems Department budget), the adoption of which was moved by Mr. Serrano, seconded by Mayor Byrne and approved by unanimous vote.

- E. 2021-95 – Authorizing Contract Co. 2021-152 with AT&T Corporation for switched Ethernet on-demand services for a period of three years in an amount not to exceed \$171,147.60 (General Fund, Innovation & Technology Department budget), the adoption of which was moved by Ms. Moss, seconded by Ms. Pellot and approved by unanimous vote.
- F. 2021-96 – Authorizing the General Manager, Chief Executive Officer to enter into an updated Memorandum of Understanding with the Department of Justice, Federal Bureau of Investigation (FBI) for continued participation in the Multi-Jurisdictional Organized Crime Task Force, the adoption of which was moved by Mr. Serrano, seconded by Mayor Byrne and approved by unanimous vote.
- G. 2021-97 – Authorizing the Greater Cleveland Regional Transit Authority to execute a Development and Use Agreement with AJAPPJR, LLC, an Ohio Limited Liability Company, to advance the Columbus Road Transit-Oriented Development Project, the adoption of which was moved by Mayor Weiss, seconded by Ms. Duarte. Chief McCall asked to abstain. There were nine (9) ayes and one abstention from Chief McCall.
- H. 2021-98 – Amending Section 620.04 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority, the adoption of which was moved by Mr. Serrano, seconded by Ms. Pellot and approved by unanimous vote.
- I. 2021-99 – Enacting Chapter 288, repealing Chapters 291 and 295 and amending Section 284.01 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority, the adoption of which was moved by Chief McCall, seconded by Ms. Moss and approved by unanimous vote.

Secretary-Treasurer's Report

Rajan Gautam, Deputy General Manager of Finance and Secretary-Treasurer, gave the report. August 2021, U.S. unemployment rates dropped from 5.4% to 5.2%. Ohio's rate remained at 5.4%. Cuyahoga's rate dropped to 5.8%. The September U.S. rate dropped to 4.8%, which has been the lowest in nearly two years. Fabricated metals, machinery and transportation equipment represent the largest Northeast Ohio manufacturing drivers of the local economy. Chemicals (\$8.1 B) and primary metals (\$6.1B) represent the largest Northeast Ohio manufacturing GDP sectors.

Ridership has remained steady from May through July. It increased by 8% in August and September compared to 2020. September ridership increased by 20.9% compared to 2020. YTD ridership is still 14.6% below 2020 levels. Related to the ridership, passenger fares revenue increased in Sept. by 11.3%. YTD total PF are 15.8% lower than 2020. Sales tax remains strong. October receipts based on July activity is 16% higher than 2020. Seventeen of the 23 categories were positive. For October 2021 compared to October 2020, motor vehicles and watercraft were 14.4% higher. Online sales were 19.4%. Regular and statewide sales were 19.7% higher. This is the third consecutive month where liquor receipts were less than the previous year. YTD liquor is 16.4% compared to 2020. President Lucas asked how free fares on the east side rail during the shutdown affected the fare collection. Dr. Caver said when there is a rail shutdown; the replacement bus service is free. We lost some revenue, but it was little ridership on the buses compared to the train. We actually returned an actual increase in the passenger fares for that month.

Through August 2021, CRRSAA funds of \$67.4 million are fully drawn down. ARP funds of \$54.8 million have been drawn down leaving a balance of \$81.3 million that will be drawn down later in the year and through 2022. President Lucas asked what we could get if Congress passes the Infrastructure Bill. Mr. Gautam believes it is too early to speculate what we might receive. We have an advocacy firm working on this.

General Manager/CEO Report

India Birdsong, General Manager/CEO, presented the report. She thanked everyone that participated in National Disability Employment Awareness Month. Check out the vehicles that will be circulating through system with the photo of President Lucas and the ADA community members. RTA was recognized as Employer of the Year for accomplishments in the support of advancement of women from WTS.

Key accomplishments:

- Increased women in management by 40%
- 3 Key "Firsts"
 - India Birdsong, CEO
 - Deirdre Jones, Chief of Police
 - Teresa Muti, Director of Power & Way
- New Executive – Natoya Walker Minor
- Establishment of Elevating Women Together (EWT) Resource Group
 - Supplied speakers, tours, and support for events
 - Will be submitted for consideration at National level

President Lucas asked for an applause on behalf of these accolades.

Teresa Muti, Director of Power & Way was honored in Railway Age magazine as a 2021 "Women in Rail" honoree. They were selected from hundreds of nominees across the nation. Her staff was also highlighted in a photoshoot. Chief McCall requested she attend one of the Board Meetings and be properly recognized. She participated in the Leadership APTA program several years ago. It is a training ground for transit industry professionals. This is not a small feat. She first requested RTA submit candidates for the program. Ms. Birdsong said a photo was presented to Teresa and her team members.

RTA's Elevating Women Together Committee collaborated with Dress for Success to host a Boutique on Wheels at the Main Office on September 15th. Another one is scheduled for today at Rail and will include male attire. Women's professional attire, along with shoes and accessories, were displayed and available for sale – All Items were priced at \$2.00. Over fifty RTA employees participated in the event with sales totaling more than \$500! Do not miss the next event scheduled for Tuesday, October 26 at the Rail District. We received \$5.0 million of Congressional Community Project funding sponsored by Senator Brown for rail car replacement. The program now has \$189.4 million in funding commitments. We received \$8.9 million of ODOT OTP2 funding. Staff will return to the Board on a timeline to present the contract to the Board.

We have signed a MOU on October 14, 2021 with Care Alliance Health Center as a second provider to administer COVID testing and vaccines throughout the County. The first event was held October 21, 2021. They will utilize the community immunity bus for the service. It is imperative to be a part of this program. This was due to a partnership from her participation in Leadership Cleveland. She thanked Dr. Caude Jones at Care Alliance and Natoya Walker Minor who is over RTA External Affairs. Chief McCall suggested flu shots be included in the service. A lot of good feedback is coming from the community. Ms. Walker Minor said that Care Alliance suggested flu shots so they are on

board with that. They were out last week and received 110 people. She thanked Nick Biggar, Director at Hayden for his help with the vehicle. Ms. Moss asked how many shots we have received. Ms. Birdsong said we receive a monthly report. For September, it was 110-112. An update can be given to the Board Quarterly.

President's Report

The staff is strong. He visits the office several times a week. A few weeks ago, a train uncoupled. He thanked the staff for handling the event safely and getting customers to safety.

New Business

The proposed 2022 Board and Committee Meeting schedule was presented. Chief McCall said September 27 is Rosh Hashana and November 8 is Election Day. Therefore, those meetings may need to move. Ms. Moss asked to review her calendar before it is approved.

Public Comments – Non-Agenda Items (comments were submitted in-person and phone)

1. Brian Gibbons – Fairview Park, OH – He is a member of CPT. He is a regular rider. For CPT, they welcome the presentation made by Chief Jones regarding the Transit Ambassador Program and Civilian Review Board. These are good steps in the right direction. As Ms. Duarte mentioned, CPT held a Mayor's Candidate forum. Both candidates became aware of Chief Jones' presentation at that meeting. Both of them welcomed the step forward. Coupled with that, they both favor the idea of decriminalizing fare evasion. It is a revision of one line of the current code. RTA may hear from the new mayor about that. An ad for RTA that he saw was on target. The awards today and progress can continue to be marketed and advertised.
2. Rev. Pinkney – She is concerned about money going to the police department for the tasers. She wants funds to be reallocated for the transit system. She asked if the Red Line is still free. She ask that we refocus on vision and not violence.
3. Lennie Stover – He is with *Keep Our Greenway Green* representing neighbors and businesses near the Columbus Road development the Board just approved. He reviewed the agreement. He debates whether the agreement is the best and highest use of the property. The public, state, and federal government has determined that a trail is the best use of the property. They proved that by the \$6 M that went into building it and the rejection from the public at several meetings. One goal RTA has is economic development. However, he believes RTA is priming on in what may lead to slowing of growth by repeating mistakes in the 30's and 40's leading to overcrowding. In the RFP published August 2018, it was stated that this area has explosive growth. At least 25 real estate projects are already onboard. The agreement says the development has to meet TOD guidelines, but the guidelines call for a minimum 10% greenspace. The national average for greenspace in America is 6%. Cleveland has 2.5%. Define "significant review". He thinks RTA will have legal liabilities for misappropriating the \$3.1 million federal and state grants that were supposed to promote green space. RTA is not disclosing historic structures and understated the size of the wetlands on the site by 90%.
4. Chris Martin – Cleveland, OH – He ask the RTA Board to direct the staff to present the rational for not installing mask dispensers on buses and trains. Many transit agencies have done this. RTA should aid riders to comply with this federal mandate. Dr. Caver said that operators pass out mask to customers who do not have them. However, they have looked at dispensers as an option.

5. Dontez Taylor – He asked why some buses run every hour on Buckeye. He wishes the #25 was back in service. Many people are walking on Woodland.
6. Carlita – She believes RTA is misappropriating funds with NextGen and RTA is not satisfying their customer base. If people are left with no service, they are being disenfranchised and causing safety concerns.
7. Airric Stewart – Fifteen buses a day. The 50 bus can start at Marymount Hospital M-F and start at MH Sat-Sun, 14 buses a day each way. This bus can serve Miles west of 131st and 116 north of Miles. And this will give more people one bus service to MH and still give them service to UH and Cleveland Clinic and all points north of 116th in Miles. Fifteen buses a day for the 48. One-hour service with the 50 would have 30-minute service from MH to E.131 in Miles. Ridership will increase overall.
8. Rita Montgomery – She ask if the Board looked at the plans presented to them. Have they looked at the previous RTA routes compared to the NextGen routes related to the 15A and 48A to see the impact it has on the community.
9. Loh – Since RTA provides an important service, the fare should be free. The buses should have mask dispensers included in the design. CPT and others went to Senator Brown's office advocating for more funds for public transit.

Upcoming Meetings

The next regular Board meeting is scheduled for Tuesday, November 16, 2021 in the Board Room of the Authority, Root-McBride Building, and 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live streamed on RTA's Facebook page for staff and members of the public at www.facebook.com/riderta the public is allowed to attend in-person.

The meeting was adjourned at 10:58 a.m.

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: AUTHORIZING A CHANGE ORDER TO EXERCISE AN OPTION TO PURCHASE UP TO 20, 40-FT. LOW FLOOR CNG COACHES VENDOR: GILLIG, LLC AMOUNT: NOT TO EXCEED \$11,211,397.40	Resolution No.: 2021-100
	Date: November 11, 2021
	Initiator: Fleet Management Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 **PURPOSE/SCOPE:** This action will authorize a change order to the contract to allow the Authority to exercise an option for the procurement and delivery of up to twenty (20) of the remaining 80, 40-ft. low floor CNG coaches under Contract No. 2020-071, which was approved by the Board of Trustees in Resolution No. 2021-022, adopted March 23, 2021.

2.0 **DESCRIPTION/JUSTIFICATION:** To provide safe, reliable and cost effective services, coaches are replaced on a regular cycle. FTA recommends replacement of transit buses at twelve years. The Authority intends to replace coaches that have exceeded their useful life in accordance with the Authority's vehicle replacement policy and guidelines.

3.0 **PROCUREMENT BACKGROUND:** On March 23, 2021, the Authority awarded Contract No. 2020-071 to Gillig, LLC for the manufacture and delivery of up to 20, 40-ft., low-floor CNG coaches spare parts, tooling and training with an option to procure up to an additional 80 coaches plus spare parts, tooling and training over the five year term of the contract through the use of the competitive RFP process. Resolution No. 2021-022 authorized the contract and funding of the initial purchase of 20 coaches. During the first year of the five-year term of the contract, three contract options totaling \$74,440.00 were authorized. The General Manager, CEO authorized Change Order No. 1 to fund the purchase and deletion of accessories on the 20, 40-ft. CNG coaches and option coaches. The General Manager, CEO authorized Change Order No. 2, a no cost change to the front cap/windshield. The General Manager, CEO authorized Change Order No. 3 to add funds for training.

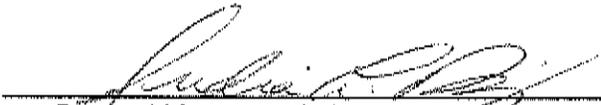
This resolution will authorize the funding to manufacture and deliver 20, 40-ft., low-floor CNG coaches at a unit price of \$560,569.87, with a scheduled delivery completion date of third quarter 2022. The negotiated price is within the FTA suggested Producer Price Index (PPI) Best Practices Guidelines. This procurement will result in 60 coaches remaining under the option.

A cost analysis was performed by the Procurement Department, and it has been determined that the price is fair and reasonable to the Authority. Contract option award is contingent upon the successful review of all costs associated with this procurement, compliance with Pre-Award/Buy America Audit requirements, and Federal Transit Administration approval of applicable grants.

4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** Per Federal Regulations, the Office of Business Development does not conduct Affirmative Action reviews or establish goals on procurements involving the purchase of Transit Motor Vehicles (TMVs).

- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This contract option shall be funded through the RTA Development Fund, Fleet Management Department budget, including but not limited to Capital Grants OH-2018-008, OH-2017-027, OH-2019-037-339, and OH-2020-044-339 in an amount not to exceed \$11,211,397.40 (\$8,969,117.92 in federal funds which represents 80% of the total cost).
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would delay the ability of the Authority to purchase replacement coaches.
- 8.0 RECOMMENDATION: This contract option was discussed by the Board of Trustees at the November 9, 2021 Organizational, Services & Performance Monitoring Committee meeting. It is recommended that the negotiated offer of Gillig, LLC be accepted and the resolution passed authorizing the General Manager, CEO to modify the contract.
- 9.0 ATTACHMENT: Approved Option Log.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

CHANGE ORDER LOG

CONTRACT NO. 2020-071

MANUFACTURE AND DELIVERY OF TWENTY(20) FORTY FOOT (40) COACHES, SPARE PARTS, TOOLING, AND TRAINING, WITH AN OPTION TO PROCURE UP TO EIGHTY(80) ADDITIONAL COACHES EXCERCISED WITHIN FIVE YEARS OF CONTRACT SIGNATURE

Contract # 2020-071

Contractor: Gillig, LLC

Original Contract Amount **\$11,052,060.00**

Total G.M. Authority Remaining \$ **424,440.00**

Total Change Order Amount to Date: \$ 74,440.00

<i>Item No.</i>	<i>NoTe No.</i>	<i>Approval Date</i>	<i>Change Order Amount</i>	<i>APPROVAL Authority</i>	<i>New Contract Amount</i>
1		6/2021	\$(560.00)	GM	\$11,051,500.00
2		7/19/21	NO COST	GM	\$11,051,500.00
3		9/17/2021	\$75,000.00	GM	\$11,126,500.00
4			\$11,211,397.40	BOARD	\$22,337,897.40

NOTES/CHANGE ORDER DESCRIPTION:

CO # 1 Various additions and deletions to the final design of the 40' coaches. Some additions are, HVAC dash display, infotainment brochure holder, 29' infotainment monitor system. Some deletions are starting capacitor, LED horizontal sign, ribbed interior flooring.

CO #2 No Cost change order to the front cap/windshield on the twenty (20), forty foot coaches and option coaches.

CO #3 CNG training needed at the Triskett and facility. Training to include Cummins engine and Allison transmission and CNG certificate

CO #4 Authorizes Funding for 20 coaches.

RESOLUTION NO. 2021-100

AUTHORIZING A CHANGE ORDER TO EXERCISE AN OPTION UNDER CONTRACT 2020-071 WITH GILLIG, LLC FOR THE PURCHASE AND DELIVERY OF UP TO 20, 40-FT. LOW FLOOR CNG COACHES, AS SPECIFIED, FOR A PRICE NOT TO EXCEED \$11,211,397.40 (RTA DEVELOPMENT FUND, FLEET MANAGEMENT DEPARTMENT BUDGET)

WHEREAS, the Authority has identified the need to replace revenue vehicles that have exceeded their useful life with similar environmentally friendly fuel efficient vehicles; and

WHEREAS, Resolution No. 2021-022 authorized Contract No. 2020-071 with Gillig, LLC, for the manufacture and delivery of up to 100, 40-ft., CNG coaches, spare parts, tooling and training and the funding for the purchase of 20 coaches for delivery in 2021, with an option to procure up to an additional 80 coaches spare parts, tooling and training over the five year term of the contract, at a total amount not to exceed \$11,052,060.00; and

WHEREAS, pursuant to the original options under the Contract, Gillig, LLC, located at 451 Discovery Drive, Livermore, CA 94551, has offered to manufacture and deliver 20, 40-ft. low floor CNG coaches, as specified, for a total negotiated contract amount not to exceed \$11,211,397.40; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Gillig, LLC, as negotiated, to be advantageous to the Authority, cost and other factors considered, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Gillig, LLC to provide up to 20, 40-ft., low floor CNG coaches, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer be and she is hereby authorized to enter into a change order for the exercise of an option to Contract No. 2020-071 with Gillig, LLC to provide funding for the purchase of up to 20, 40-ft low floor CNG coaches, for a total change order amount not to exceed \$11,211,397.40.

Section 3. This contract option shall be funded through the RTA Development Fund, Fleet Management Department budget, including but not limited to Capital Grants OH-2018-008, OH-2017-027, OH-2019-037-339, and OH-2020-044-339 in an amount not to exceed \$11,211,397.40 (\$8,969,117.92 in federal funds which represents 80% of the total cost).

Section 4. That said contract option shall be binding upon and an obligation of the Authority contingent upon funding for future years, compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to contractual obligations of the Authority.

Section 5. That all terms and conditions of the original contract remain unchanged.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: November 16, 2021

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: ADVERTISING SERVICES VENDOR: BROKAW, INC. AMOUNT: NTE \$850,000.00 FOR A PERIOD OF ONE YEAR, WITH TWO, ONE-YEAR OPTIONS IN AN AMOUNT NTE \$850,000.00 FOR EACH OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NTE \$2,550,000.00	Resolution No.: 2021-101
	Date: November 11, 2021
	Initiator: Administration and External Affairs
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract to provide Advertising Services for a period of one year with two, one-year options.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The Authority sought the services of qualified and interested professional advertising agencies to effectively communicate the Authority's marketing and advertising messages. The contractor will assist in the development and implementation of a fully integrated, highly creative, annual Marketing Plan for the Authority designed to stimulate ridership growth as well as shape perceptions of various target markets to ensure diversity, equity and inclusion. Elements of the plan will include a multi-media advertising campaign including, but not limited to: broadcast and connected television, radio, digital, robust social media, out-of-home, and direct mail, market/consumer research, promotional opportunities, special events, media-related activities and community directed programs. The contractor will develop and produce effective print, electronic and digital advertising that projects the Authority as a progressive, customer-oriented organization in a fresh, distinctive manner.

- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the procurement website and advertised in the local newspapers. Six (6) proposals were received on September 10, 2021 in response to this solicitation. After evaluation by a panel of Authority employees in accordance with established Procurement Department policies and procedures and subsequent negotiations, the proposal of Brokaw, Inc. to provide Advertising Services for a period of one year in an amount not to exceed \$850,000.00, with two, one-year options in an amount not to exceed \$850,000.00 for each option year, for a total contract amount not to exceed \$2,550,000.00, was determined to be in the best interest of the Authority.

 A cost analysis was performed and the Procurement Department has determined the negotiated amounts for a period of one year, with two, one year options to be fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. An 11% DBE goal was established for this procurement. Brokaw, Inc. has committed to achieving the DBE participation goal through the utilization of DAR Public Relations, Inc. (African American Female-owned) in the amount of \$141,000.00 and Media Impressions, Inc. (Female-owned) in the amount of \$139,500.00 for a total of \$280,000.00 or 11%.

- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 ECONOMIC IMPACT: This procurement shall be payable through the General Fund, Administration & External Affairs Department budget, contingent upon Board approval of the FY 2022 General Fund Budget, for a contract amount not to exceed \$850,000.00 for a period of one year, with two, one-year options in an amount not to exceed \$850,000.00 for each option year, for a total contract amount not to exceed \$2,550,000.00.
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would disrupt the planning and implementation of future marketing and advertising campaigns.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the November 9, 2021 Organizational, Services & Performance Monitoring Committee meeting. It is recommended that the offer of Brokaw, Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENT: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-101

AUTHORIZING CONTRACT NO. 2021-098 WITH BROKAW, INC. TO PROVIDE ADVERTISING SERVICES FOR A PERIOD OF ONE YEAR IN AN AMOUNT NOT TO EXCEED \$850,000.00, WITH TWO, ONE-YEAR OPTIONS IN AN AMOUNT NOT TO EXCEED \$850,000.00 FOR EACH OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$2,550,000.00 (GENERAL FUND, ADMINISTRATION & EXTERNAL AFFAIRS DEPARTMENT BUDGET)

WHEREAS, the Authority has the need to obtain the services of a professional advertising agency to provide marketing and advertising services for a period of one year with two, one-year options; and

WHEREAS, the proposal of Brokaw, Inc. located 1213 West 6th Street, Cleveland, Ohio 44113, was received on September 10, 2021; and

WHEREAS, after negotiations, the proposal of Brokaw Inc. to provide Advertising Services in a contract amount not to exceed \$850,000.00 for a period of one year, with two, one-year options in an amount not to exceed \$850,000.00 for each option year, for a total contract amount not to exceed \$2,550,000.00, was determined to be most advantageous to the Authority, cost and other factors considered; and

WHEREAS, the General Manager, Chief Executive Officer, deems the offer of Brokaw, Inc. to be in the best interest of the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Brokaw, Inc., as negotiated, to provide Advertising Services for a period of one year with two, one-year options be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Brokaw Inc. to provide Advertising Services for a period of one-year with two, one-year options subject to approval of the exercise of each option by the General Manager, Chief Executive Officer.

Section 3. This procurement shall be payable through the General Fund, Administration & External Affairs Department budget, contingent upon Board approval of the FY 2022 General Fund Budget, in a contract amount not to exceed \$850,000.00 for a period of one year, with two, one-year options in an amount not to exceed \$850,000.00 for each option year, for a total contract amount not to exceed \$2,550,000.00.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to the contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Brokaw, Inc. will attempt to exceed the 11% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: November 16, 2021

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: OCCUPIED ZONE WARNING SYSTEM VENDOR: HARSCO RAIL, LLC AMOUNT: AMOUNT NTE \$335,000.00	Resolution No.: 2021-103
	Date: November 11, 2021
	Initiator: Engineering & Project Development
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a sole source contract to provide a train detection system to alert operators approaching Shaker Junction when another train is entering and/or in the junction.

- 2.0 **DESCRIPTION/JUSTIFICATION:** Shaker Junction is located where the Blue and Green Lines diverge, with the Green Line proceeding towards Green Road and the Blue Line continuing towards Warrensville-Van Aken. The wayside signals at Shaker Junction do not communicate with the Integrated Control Center (ICC). Additionally, there is no interlocking to prevent conflicting movements through the junction. The Authority currently utilizes an on-board ProTracker system to alert operators of the presence of wayside workers. The occupied zone solution will work with the existing on-board system to notify ICC personnel and train operators of train movements through the junction. Implementing the occupied zone system will help prevent accidents at Shaker Junction.

- 3.0 **PROCUREMENT BACKGROUND:** This sole source contract is exempt from competitive bidding as authorized by Sections 306.43(H)(3) and 306.43 (H)(6) of the Ohio Revised Code. Harsco Rail, LLC is the original provider and installer of the Protran ProTracker on-board detection system. Further, the occupied zone system is a proprietary product designed and supported exclusively by Harsco Rail, LLC. After several negotiation sessions, Harsco Rail, LLC offered to provide the occupied zone warning system at an amount not to exceed \$335,000.00. This negotiated amount is 4.7% above the Engineer's Estimate of \$320,000.00. The Engineering and Project Development Department reviewed the offer for adherence to technical scope.

 A cost analysis was performed, and the Procurement Department has determined that the price is fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** A 0% DBE goal was established for this procurement because it is for the maintenance of proprietary software. This work is exclusive to the original software provider and installer.

- 5.0 **POLICY IMPACT:** On January 26, 2021, two light rail trains collided when they both entered the Shaker Junction at the same time, causing sufficient damage and necessitating reporting to both the Federal Transit Administration and the National Transportation Safety Board. As a result, the Authority wishes to install the occupied zone warning system to avoid such accidents in the future.

- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable from the RTA Development Fund, Engineering and Project Development Department budget, including but not limited to Capital Grant OH-2018-002 in a total amount not to exceed \$335,000.00 (\$268,000.00 in federal funds which represents 80% of total cost).

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would leave the Authority without a train detection system at Shaker Junction, increasing the potential for train collisions at that location.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the November 9, 2021 Operational Planning & Infrastructure Committee meeting. It is recommended that the offer of Harsco Rail, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-103

AUTHORIZING CONTRACT NO. 2021-105 WITH HARSCO RAIL, LLC TO PROVIDE AN OCCUPIED ZONE WARNING SYSTEM FOR A TOTAL CONTRACT AMOUNT NTE \$335,000.00 (RTA DEVELOPMENT FUND, ENGINEERING AND PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Authority currently utilizes Protran ProTracker equipment on every train to detect the presence of wayside work crews; and

WHEREAS, the Authority requires the installation of an occupied zone warning system to work with the existing on-board ProTracker system to alert train operators and Integrated Control Center (ICC) supervisors when a train is in or approaching the occupied zone; and

WHEREAS, the needed equipment and services are only available from Harsco Rail, LLC, with an office located at 350 Poplar Church Road, Camp Hill, Pennsylvania 17011, the original provider of the Authority's existing Protran system; and

WHEREAS, the Ohio Revised Code, Section 306.43(H)(3) provides that competitive bidding is not required when the expenditure is for a renewal or renegotiation of a lease or license for telecommunications or electronic data processing equipment, services, or systems, or for the upgrade of such equipment, services, or systems, or for the maintenance thereof as supplied by the original source or its successors or assigns; and

WHEREAS, the Ohio Revised Code, Section 306.43(H)(6) provides that competitive bidding is not required when the expenditure involves the special skills or proprietary knowledge required for the servicing of specialized equipment owned by the regional transit authority; and

WHEREAS, Harsco Rail, LLC has offered to provide its occupied zone warning system equipment and services for a total contract amount not to exceed \$335,000.00; and

WHEREAS, the General Manager, Chief Executive Officer, deems the negotiated offer of Harsco Rail, LLC, as negotiated, to provide an occupied zone warning system, to be in the best interest of the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer from Harsco Rail, LLC to provide an occupied zone warning system, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a sole source contract with Harsco Rail, LLC to provide an occupied zone warning system.

Section 3. That said contract shall be payable from the RTA Development Fund, Engineering and Project Development Department budget, including but not limited to Capital Grant OH-2018-002 for a total contract amount not to exceed \$335,000.00 (\$268,000.00 in federal funds which represents 80% of total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Harsco Rail, LLC, will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: November 16, 2021

President

Attest: _____

Secretary-Treasurer



<p>TITLE/DESCRIPTION: CONTRACT: NEXT GENERATION TELEPHONE SERVICE VENDOR: CBTS, LLC DBA CBTS TECHNOLOGY SOLUTIONS, LLC AMOUNT: NTE \$205,000.00 FOR A BASE ONE-YEAR PERIOD WITH TWO, ONE-YEAR OPTIONS IN AMOUNTS NTE \$205,000.00 PER OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NTE \$615,000.00</p>	<p>Resolution No.: 2021-104 Date: November 11, 2021 Initiator: Innovation and Technology</p>
<p>ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____</p>	

- 1.0 **PURPOSE/SCOPE:** This resolution will authorize the Authority to enter into a contract for the purchase of Next Generation Telephone Service for a base one-year period, with two one-year options through the State of Ohio, Department of Administrative Services Cooperative Purchasing Program.

- 2.0 **DESCRIPTION/JUSTIFICATION:** To meet the communication needs for the GCRTA, the IT Department historically operated and maintained a substantial network of Private Branch Exchange Telephone switches, otherwise known as PBX's, for critical internal and external voice communication. The cost to procure and maintain these systems was high, and the opportunity for Disaster Recovery/Business Continuity was low. Therefore, in 2016, the IT Department tested and evaluated a hosted telephony solution called Next Generation Telephone System, or NGTS, offered by Cincinnati Bell Technology Solutions, under the State of Ohio's Cooperative Purchasing Program. During a pilot program, the system was found to be very capable and full featured, and the IT Steering Committee directed the IT Department to move forward. On November 15, 2016, the Board of Trustees, by Resolution No. 2016-103, authorized Contract No. 2016-131 for the purchase of hardware for the phone system implementation and data network switches for the LAN implementation program. The implementation of the new system was completed, and the Authority has been using this system since 2016. The Authority needs to purchase hosted service on an annual basis. These services are available through the State of Ohio, Department of Administrative Services, Cooperative Purchasing Program.

- 3.0 **PROCUREMENT BACKGROUND:** Section 306.43(H)(4) of the Ohio Revised Code provides political subdivisions within the State of Ohio the opportunity to participate in contracts negotiated and executed by the State of Ohio, Department of Administrative Services, Cooperative Purchasing Program for the purchase of supplies, services, equipment and certain materials. The Board of Trustees has authorized the General Manager, Chief Executive Officer to utilize such contracts in Section 410.03 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority. The State of Ohio Cooperative Purchasing Program includes a contract with CBTS, LLC dba CBTS Technology Solutions, LLC for NGTS Telephone Service under State Term Schedule No. MCSA0003.

A cost analysis was performed by the Procurement Department, and it has been determined that the price is fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** The Office of Business Development does not conduct Affirmative Action reviews or establish goals on procurements included in the State Cooperative Purchasing program.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This procurement shall be payable from the General Fund, Innovation and Technology Department budget, in an amount not to exceed \$205,000.00 for the base one-year period, with two, one-year options, in amounts not to exceed \$205,000.00 per option year, resulting in a total contract amount not to exceed \$615,000.00 for the three-year period.
- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this offer would leave the Authority without the ability to provide crucial communication services for GCRTA employees and customers.
- 8.0 **RECOMMENDATION:** It is recommended that the offer of CBTS, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENTS:** None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-104

AUTHORIZING CONTRACT NO. 2021-164 WITH CBTS, LLC DBA CBTS TECHNOLOGY SOLUTIONS, LLC TO PROVIDE NEXT GENERATION TELEPHONE SERVICE FOR A BASE ONE-YEAR PERIOD IN AN AMOUNT NOT TO EXCEED \$205,000.00, WITH TWO ONE-YEAR OPTIONS IN AMOUNTS NOT TO EXCEED \$205,000.00 PER OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$615,000.00 THROUGH THE STATE OF OHIO, DEPARTMENT OF ADMINISTRATIVE SERVICES COOPERATIVE PURCHASING PROGRAM (GENERAL FUND, INNOVATION AND TECHNOLOGY DEPARTMENT BUDGET)

WHEREAS, the Authority has the need to provide telephone service throughout the Authority; and

WHEREAS, such service is available through the State of Ohio, Department of Administrative Services, Cooperative Purchasing Program; and

WHEREAS, CBTS, LLC dba CBTS Technology Solutions, LLC ("CBTS, LLC") with an office located at 221 East Fourth Street, Cincinnati, OH 45202, has offered to provide such service, in an amount not to exceed \$205,000.00 for the base one-year period with two, one-year options, in amounts not to exceed \$205,000.00 per option year, resulting in a total contract amount not to exceed \$615,000.00; and

WHEREAS, Section 306.43 (H)(4) of the Ohio Revised Code provides political subdivisions within the State of Ohio the opportunity to participate in contracts executed by the State of Ohio, Department of Administrative Services; and

WHEREAS, the Board of Trustees has authorized utilization of the Cooperative Purchasing Program in Section 410.03 of the Authority's Codified Rules and Regulations; and

WHEREAS, the General Manager, Chief Executive Officer deems it in the best interest of the Authority to utilize the State of Ohio Cooperative Purchasing Program for obtaining said service and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer from CBTS, LLC, to provide Next Generation Telephone Service for one year, with two, one-year options, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with CBTS, LLC to provide said services for one year, with two, one-year options subject to approval of the exercise of each option by the General Manager, Chief Executive Officer.

Section 3. That said contract is entered into under the authority granted by Ohio Revised Code Section 306.43(H)(4) and under the terms of the State of Ohio Cooperative Purchasing Program.

Section 4. This procurement shall be payable from the General Fund, Innovation and Technology Department budget, in an amount not to exceed \$205,000.00 for the base one-year period, with two, one-year options, in amounts not to exceed \$205,000.00 per option year, resulting in a total contract amount not to exceed \$615,000.00 for the three-year period.

Section 5. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor with the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: November 16, 2021

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: RISKMASTER TO ASSURE CLAIMS MIGRATION, IMPLEMENTATION, SOFTWARE, AND SERVICES VENDOR: COMPUTER SCIENCES CORPORATION, A DXC TECHNOLOGY COMPANY AMOUNT: AMOUNT NTE \$114,870.00 FOR BASE YEAR ONE, WITH TWO ADDITIONAL YEARS IN AN AMOUNT NTE \$59,077.00 PER YEAR, FOR A TOTAL CONTRACT AMOUNT NTE \$233,024.00 FOR THREE YEARS	Resolution No.: 2021-105 Date: November 11, 2021 Initiator: Innovation and Technology
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a sole source contract to provide an upgrade from Riskmaster to Assure Claims that includes migration, implementation, software, and services for a period of three years.

2.0 **DESCRIPTION/JUSTIFICATION:** In 1999, the Authority purchased an integrated risk management software system to assist the workers' compensation and liability claims adjusters in their claims handling and adjusting duties. GCRTA originally implemented Riskmaster (RM World v 3.4.26) in 2000. GCRTA uses the Risk Management Information System (RMIS) as its single central database for workers' compensation and third party liability claims information. The system provides critical statistical reporting capabilities for internal and external reporting, including regulatory requirements. The last upgrade to Riskmaster (Accelerator v14.3) was completed in 2015. This upgrade will migrate Riskmaster on-premises to Assure Claims SaaS Hosting Solution. Performing this upgrade will allow the Authority's RMIS system to remain in a state of good repair and will assist in the continued safety improvements implemented throughout the Authority. A software add-on will allow for electronic reporting of all accidents, incidents, claims, workplace injuries and other related events. This addition will improve the data entry process and allow GCRTA to focus on a technology-based process instead of a paper-based process. This migration will be completed in year one of the contract. GCRTA will then be able to maintain this system and take advantage of future upgrades, potentially at a lower cost and more frequently.

3.0 **PROCUREMENT BACKGROUND:** This sole source contract is exempt from competitive bidding as authorized by Section 306.43(H)(3) of the Ohio Revised Code. The Riskmaster application is a proprietary product designed and supported exclusively by Computer Sciences Corporation, a DXC Technology Company ("CSC"). After negotiations, CSC offered to provide services to upgrade Riskmaster to Assure Claims SaaS Hosting Solution in an amount not to exceed \$114,870.00 for base year one, and in an amount not to exceed \$59,077.00 for each of the two additional years, for a total contract amount not to exceed \$233,024.00 for the three-year period. Base year one costs include migration, hosting, implementation, annual software, and annual data collection services. Years two and three include costs for annual software and annual data collection services. The Innovation and Technology Division reviewed the offer for adherence to technical scope.

A price analysis has been performed and the Procurement Department has determined that the price is fair and reasonable to the Authority.

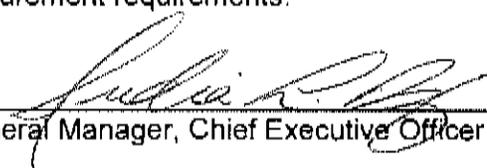
Staff Summary & Comments

Riskmaster to Assure Claims Migration, Software, and Services

Page 2

- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: A 0% DBE goal was established for this procurement because it is for the upgrade of proprietary software. This work is exclusive to the original software provider and/or installer.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This procurement shall be payable from the General Fund, Innovation and Technology Department budget, in an amount not to exceed \$59,077.00, and through the RTA Capital Fund, Innovation and Technology Department budget, in an amount not to exceed \$55,793.00, for a total of \$114,870.00 for base year one, and in an amount payable from the General Fund, Innovation and Technology Department budget not to exceed \$59,077.00 for each of the two additional years, for a total contract amount not to exceed \$233,024.00 for the three-year period.
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would leave the Authority without the necessary upgrade to its Risk Management Information System.
- 8.0 RECOMMENDATION: It is recommended that the offer of CSC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2021-105

AUTHORIZING CONTRACT NO. 2021-165 WITH COMPUTER SCIENCES CORPORATION, A DXC TECHNOLOGY COMPANY TO PROVIDE RISKMASTER TO ASSURE CLAIMS MIGRATION, SOFTWARE, AND SERVICES IN AN AMOUNT NTE \$114,870.00 FOR BASE YEAR ONE, AND IN AN AMOUNT NOT TO EXCEED \$59,077.00 FOR EACH OF THE TWO ADDITIONAL YEARS, FOR A TOTAL CONTRACT AMOUNT NTE \$233,024.00 FOR THE THREE-YEAR PERIOD (RTA CAPITAL AND GENERAL FUNDS, INNOVATION AND TECHNOLOGY DEPARTMENT BUDGET)

WHEREAS, the Authority currently utilizes an integrated risk management software program to assist the workers' compensation and liability claims adjusters in their day-to-day claims handling and adjusting duties; and

WHEREAS, the Authority requires the upgrade to migrate Riskmaster to Assure Claims SaaS Hosting Solution to allow the system to remain in a state of good repair, assist with continued improvements, electronic reporting, and improve the data entry process; and

WHEREAS, these services are only available from Computer Sciences Corporation, a DXC Technology Company ("CSC"), with an office located at 20408 Bashan Drive, Suite 231, Ashburn, VA 20147, the original licensor of this program; and

WHEREAS, the Ohio Revised Code, Section 306.43(H)(3) provides that competitive bidding is not required when the expenditure is for a renewal or renegotiation of a lease or license for telecommunications or electronic data processing equipment, services, or systems, or for the upgrade of such equipment, services, or systems, or for the maintenance thereof as supplied by the original source or its successors or assigns; and

WHEREAS, CSC has offered to provide migration, software, implementation, and services in an amount not to exceed \$114,870.00 for base year one, with two additional years in an amount not to exceed \$59,077.00 per year, for a total contract amount not to exceed \$233,024.00 for the three-year period; and

WHEREAS, the General Manager, Chief Executive Officer, deems the negotiated offer of CSC, as negotiated, to provide migration, subscription, implementation, and services for the upgrade from Riskmaster to Assure Claims, to be in the best interest of the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer from Computer Sciences Corporation, a DXC Technology Company ("CSC") to provide an upgrade from Riskmaster to Assure Claims including migration, software, implementation, and services for a period of three years, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a sole source contract with CSC to provide an upgrade from Riskmaster to Assure Claims including migration, software, implementation, and services.

Section 3. This procurement shall be payable from the General Fund, Innovation and Technology Department budget, in an amount not to exceed \$59,077.00, and through the RTA Capital Fund, Innovation and Technology Department budget, in an amount not to exceed \$55,793.00, for a total of \$114,870.00 for base year one, and in an amount payable from the General Fund, Innovation and Technology Department budget not to exceed \$59,077.00 for each of the two additional years, for a total contract amount not to exceed \$233,024.00 for the three-year period.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that CSC will attempt to exceed the 0% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: November 16, 2021

President

Attest: _____
Secretary-Treasurer



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO PRIOR YEAR - GENERAL FUND
 FOR THE PERIOD ENDED OCTOBER 31, 2021 AND OCTOBER 31, 2020

	CURRENT MONTH	PRIOR YR MONTH	VARIANCE	% CHANGE	CURRENT % OF TOTAL	2021 YTD	2020 YTD	VARIANCE	% CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 925,059	\$ 745,691	\$ 179,368	24.05%	3.24%	\$ 7,415,070	\$ 8,207,992	\$ (791,922)	(9.65%)	2.10%
PASS/FICKET SALES	337,127	497,255	(160,128)	(32.20%)	1.36%	4,899,161	6,090,599	(1,191,438)	(19.56%)	1.39%
CMSSD - STUDENT FARECARDS	6,997	3,294	3,703	112.47%	0.03%	669,523	816,183	(147,660)	(18.09%)	0.19%
U-PASS	-	23,900	(23,900)	-	0.00%	979,568	1,626,980	(647,412)	(39.79%)	0.28%
MOBILE TICKETING	422,671	341,390	81,281	23.81%	1.21%	3,528,198	3,615,352	(87,154)	(2.39%)	1.00%
SUBTOTAL PASSENGER FARES	1,691,854	1,610,610	81,244	5.06%	6.85%	17,461,520	20,357,106	(2,895,586)	(14.19%)	4.98%
OPERATING SUBSIDIES:										
SALES & USE TAX	25,460,445	18,570,261	2,920,184	15.73%	96.96%	198,182,579	174,774,091	23,408,528	13.39%	56.21%
SUBTOTAL OPERATING SUBSIDIES	21,490,445	18,570,261	2,920,184	15.73%	86.96%	198,182,579	174,774,091	23,408,528	13.39%	56.21%
OTHER REVENUE:										
ADVERTISING (CONCESSIONS/COMMISSIONS)	43,609	2,955	41,553	1071.06%	0.18%	672,321	1,558,894	(886,513)	(56.87%)	0.19%
PARKING RIGHTS LESS COMMISSIONS	-	31,800	(31,800)	-	0.00%	397,124	381,379	15,745	4.15%	0.11%
RENTAL INCOME	21,215	10,653	10,557	99.05%	0.09%	304,469	213,794	90,675	42.41%	0.09%
INTEREST INCOME	31,574	18,774	(7,200)	(38.35%)	0.05%	82,959	566,825	(583,866)	(87.59%)	0.07%
OTHER	45,045	15,583	29,463	189.07%	0.18%	270,150	91,970	178,180	193.74%	0.08%
SUBTOTAL OTHER REVENUE	121,444	78,071	43,373	55.56%	0.49%	1,727,123	2,912,802	(1,185,679)	(40.71%)	0.49%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUEL/COMPARE TAX REFUNDS	298,852	-	298,852	-	1.21%	1,135,542	2,707,912	(1,552,370)	(57.33%)	0.33%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	-	14,857	(14,857)	-	0.00%	282,335	796,144	(513,809)	(64.54%)	0.08%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	-	-	-	-	0.00%	7,831,359	20,000,000	(12,168,641)	(60.94%)	2.22%
FEDERAL OPERATING ASSISTANCE	1,070,374	21,954	1,048,090	4926.65%	4.33%	1,313,361	685,265	708,096	116.99%	0.37%
MISCELLANEOUS RECEIPTS	39,954	20,383	19,611	96.21%	0.16%	2,373,689	2,389,735	(16,046)	(0.67%)	0.67%
FEDERAL CARES ACT	-	4,199,402	(4,199,402)	-	0.00%	-	311,977,170	(111,977,170)	-	0.00%
FEDERAL CARES ACT	-	-	-	-	0.00%	67,416,466	67,416,466	-	-	19.12%
FEDERAL ARP	-	-	-	-	0.00%	54,815,098	-	54,815,098	-	15.35%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	1,409,220	4,255,936	(2,846,716)	(66.88%)	5.70%	135,167,840	136,476,226	(1,308,386)	(0.96%)	38.34%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 24,712,963	\$ 20,514,878	\$ 4,198,085	20.47%	100.00%	\$ 352,559,662	\$ 356,520,106	\$ (3,960,444)	(1.11%)	100.00%



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO BUDGET - GENERAL FUND
 FOR THE PERIOD ENDED OCTOBER 31, 2021

	BUDGET MONTH	CURRENT MONTH	VARIANCE	% CHANGE	CURRENT % OF TOTAL	2021 YTD BUDGET	2021 YTD	VARIANCE	% CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 988,000	\$ 925,059	\$ (62,941)	(6.38%)	3.74%	\$ 9,675,856	\$ 7,441,076	\$ (2,234,780)	(23.31%)	2%
PASS/TICKET SALES	643,760	337,127	(306,633)	(47.63%)	1.36%	7,564,172	4,859,361	(2,704,811)	(34.71%)	1.35%
CMRD - STUDENT FARECARDS	1,060	6,937	5,877	599.70%	0.03%	287,000	698,523	411,523	150.38%	0.19%
U-PASS	647,568	-	(647,568)	-	0.00%	1,964,861	979,568	(985,293)	(50.15%)	0.26%
MOBILE TICKETING	476,263	422,671	(53,592)	(11.25%)	1.71%	4,089,276	3,534,198	(555,078)	(13.58%)	1.00%
SUBTOTAL PASSENGER FARES	2,754,531	1,691,654	(1,062,877)	(38.58%)	6.85%	23,475,066	17,481,520	(5,993,546)	(25.53%)	4.95%
OPERATING SUBSIDIES:										
SALES & USE TAX	18,053,234	21,490,445	3,437,211	19.04%	86.96%	178,300,395	198,182,579	19,882,184	11.15%	56.21%
SUBTOTAL OPERATING SUBSIDIES	18,053,234	21,490,445	3,437,211	19.04%	86.96%	178,300,395	198,182,579	19,882,184	11.15%	56.21%
OTHER REVENUE:										
ADVERTISING/CONCESSIONS/COMMISSIONS	41,286	43,608	2,322	5.63%	0.18%	931,804	672,321	(259,483)	(27.85%)	0.15%
RAMING RIGHTS LESS COMMISSIONS	-	-	-	-	0.00%	494,693	397,224	(97,469)	(19.70%)	0.13%
RENTAL INCOME	89,852	21,215	(68,637)	(74.70%)	0.09%	568,454	304,459	(263,995)	(46.44%)	0.09%
INTEREST INCOME	247,242	11,574	(235,668)	(95.32%)	0.05%	1,867,345	82,959	(1,784,386)	(95.55%)	0.03%
OTHER	11,926	45,046	33,120	277.70%	0.18%	587,482	270,150	(317,332)	(54.02%)	0.06%
SUBTOTAL OTHER REVENUE	384,806	121,444	(263,362)	(68.48%)	0.49%	4,445,778	1,727,123	(2,718,655)	(61.15%)	0.49%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUEL/CMR/PROPRIETARY TAX REFUNDS	-	298,852	298,852	-	1.11%	974,817	1,155,542	180,725	18.54%	0.31%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	15,589	-	(15,589)	-	0.00%	152,725	282,135	129,410	84.86%	0.08%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	-	-	-	-	0.00%	16,453,128	7,811,359	(8,641,769)	(52.27%)	2.22%
FEDERAL OPERATING ASSISTANCE	-	1,070,374	1,070,374	-	4.57%	-	1,313,351	1,313,351	-	0.37%
MISCELLANEOUS RECEIPTS	15,753	59,994	44,241	281.58%	0.26%	873,267	2,373,699	1,500,432	171.82%	0.67%
FEDERAL CARES ACT	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL CRISMA	-	-	-	-	0.00%	-	67,416,466	67,416,466	-	19.12%
FEDERAL ARP	-	-	-	-	0.00%	-	54,815,038	54,815,038	-	15.55%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	31,342	1,409,220	1,377,878	4396.29%	5.70%	12,453,938	135,167,990	122,714,052	985.34%	38.34%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 21,223,913	\$ 24,712,963	\$ 3,489,050	16.44%	100.00%	\$ 218,625,176	\$ 352,559,063	\$ 133,933,886	61.25%	100.00%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SALES AND USE TAXES
ACTUAL RECEIPTS THROUGH NOVEMBER 2021**

MONTH RECEIVED	2019 ACTUAL	2020 ACTUAL		2021 (A) ESTIMATE		2021 ACTUAL		2021 MONTHLY ACTUAL VERSUS 2020 MONTHLY ESTIMATE		2021 MONTHLY ACTUAL VERSUS 2020 MONTHLY ACTUAL		2021 YTD ESTIMATE		2021 YTD ACTUAL		2021 VERSUS 2020 YTD % CHANGE		2021 YTD % EST VARIANCE	
		ACTUAL	ESTIMATE	ESTIMATE	ACTUAL	ESTIMATE	ACTUAL	ESTIMATE	MONTH % CHANGE	ACTUAL % ESTIMATE	ACTUAL	ESTIMATE	ACTUAL	ESTIMATE	YTD	ESTIMATE	ACTUAL	ESTIMATE	YTD % EST
JANUARY	\$17,311,711	\$18,303,734	\$17,599,541	\$18,510,754	\$17,311,711	\$18,303,734	\$17,599,541	5.18%	1.13%	\$17,311,711	\$18,303,734	\$17,599,541	\$18,303,734	\$17,599,541	\$18,510,754	1.13%	5.18%	1.13%	5.18%
FEBRUARY	\$17,753,526	\$18,450,264	\$18,048,702	\$17,982,308	\$35,065,237	\$36,753,598	\$35,648,243	(0.37%)	(2.54%)	\$35,065,237	\$36,753,598	\$35,648,243	\$36,753,598	\$35,648,243	\$36,493,063	(0.71%)	2.37%	(0.71%)	2.37%
MARCH	\$19,588,185	\$21,219,411	\$19,913,866	\$20,664,587	\$54,653,423	\$57,973,409	\$55,562,109	3.77%	(2.61%)	\$54,653,423	\$57,973,409	\$55,562,109	\$57,973,409	\$55,562,109	\$57,157,650	(1.41%)	2.87%	(1.41%)	2.87%
APRIL	\$15,303,867	\$16,460,465	\$15,558,314	\$16,791,242	\$89,957,290	\$74,433,873	\$71,120,423	7.92%	2.01%	\$89,957,290	\$74,433,873	\$71,120,423	\$74,433,873	\$71,120,423	\$73,948,892	(0.65%)	3.98%	(0.65%)	3.98%
MAY	\$15,802,827	\$15,293,102	\$16,065,570	\$17,263,726	\$85,750,117	\$89,726,376	\$87,185,993	7.46%	12.89%	\$85,750,117	\$89,726,376	\$87,185,993	\$89,726,376	\$87,185,993	\$91,212,618	1.66%	4.62%	1.66%	4.62%
JUNE	\$18,287,841	\$15,926,194	\$18,602,067	\$21,214,084	\$104,057,968	\$105,653,169	\$105,788,060	14.04%	33.20%	\$104,057,968	\$105,653,169	\$105,788,060	\$105,653,169	\$105,788,060	\$112,426,682	6.41%	6.28%	6.41%	6.28%
JULY	\$16,425,144	\$13,364,639	\$16,698,234	\$20,766,582	\$120,463,102	\$119,017,608	\$122,486,294	24.36%	55.38%	\$120,463,102	\$119,017,608	\$122,486,294	\$119,017,608	\$122,486,294	\$133,193,263	11.91%	8.74%	11.91%	8.74%
AUGUST	\$17,918,246	\$17,532,128	\$18,216,161	\$21,522,576	\$138,401,348	\$136,549,937	\$140,702,455	18.15%	22.76%	\$138,401,348	\$136,549,937	\$140,702,455	\$136,549,937	\$140,702,455	\$154,715,839	13.30%	9.96%	13.30%	9.96%
SEPTEMBER	\$19,225,063	\$19,653,853	\$19,544,706	\$21,976,285	\$157,626,411	\$156,203,790	\$160,247,161	12.44%	11.82%	\$157,626,411	\$156,203,790	\$160,247,161	\$156,203,790	\$160,247,161	\$176,652,135	13.12%	10.26%	13.12%	10.26%
OCTOBER	\$17,757,984	\$18,570,261	\$18,053,234	\$21,490,445	\$175,384,395	\$174,774,050	\$178,300,395	19.04%	15.73%	\$175,384,395	\$174,774,050	\$178,300,395	\$174,774,050	\$178,300,395	\$198,182,580	13.39%	11.15%	13.39%	11.15%
NOVEMBER	\$18,251,949	\$17,091,363	\$18,555,412	\$20,326,433	\$193,636,344	\$191,865,413	\$196,855,807	9.54%	18.93%	\$193,636,344	\$191,865,413	\$196,855,807	\$191,865,413	\$196,855,807	\$218,509,013	13.89%	11.00%	13.89%	11.00%
DECEMBER	\$18,555,735	\$18,282,055	\$18,864,249																
TOTAL	\$212,192,079	\$210,147,468	\$215,720,055	\$219,569,013															

Summary:
Month

18.93% (\$3,235,070) higher than November 2020 Actual
9.54% (\$1,771,021) higher than November 2021 estimate

YTD

13.89% (\$26,643,600) higher than 2020 Actual
11.00% (\$21,653,206) higher than 2021 estimate

(A) 2021 Monthly estimates changed based on more up to date information

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
INVENTORY OF TREASURY INVESTMENTS
AS OF OCTOBER 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
BOND RETIREMENT FUND									
	10/29/2021	11/1/2021	MONEY MARKET	HUNTINGTON BANK	3	\$15,245,863	\$0	3	0.02%
	5/8/2019	5/31/2022	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	1118	\$247,412	\$4,083	211	2.10%
	5/8/2019	11/30/2021	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	936	\$1,665,366	\$27,440	276	2.10%
TOTAL BOND RETIREMENT FUND						\$17,158,641	\$31,523		0.24%
GENERAL FUND									
	10/29/2021	11/1/2021	MERCHANT ACCT-KEY MMKT	KEY BANK	3	\$104,651	\$0	3	0.35%
	3/1/2021	3/1/2024	FFCB	STIFEL NICOLAUS	1095	\$4,997,500	\$8,472	851	0.25%
	8/26/2021	8/26/2024	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$4,001	1028	0.43%
	9/30/2021	9/30/2024	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$2,613	1062	0.57%
	10/29/2021	11/1/2021	STAR OHIO	STATE OF OHIO	3	\$133,015,988	\$0	3	0.08%
	10/29/2021	11/1/2021	EMPLOYEE ACTIVITY FUND	KEY BANK	3	\$106,446	\$0	3	0.35%
	10/29/2021	11/1/2021	PNC CUSTODY ACCOUNT	PNC BANK	3	\$19,131	\$0	3	0.01%
	10/29/2021	11/1/2021	SALES TAX ACCOUNT	HUNTINGTON BANK	3	\$4,400	\$0	3	0.03%
	10/29/2021	11/1/2021	KEY ECR	KEY BANK	3	\$9,690,300	\$0	3	0.35%
TOTAL GENERAL FUND						\$157,938,425	\$15,086		0.13%
INSURANCE FUND									
	10/29/2021	11/1/2021	STAR OHIO	STATE OF OHIO	3	\$4,811,538	\$0	3	0.08%
	10/29/2021	11/1/2021	KEY ECR	KEY BANK	3	\$1,058,470	\$0	3	0.35%
TOTAL INSURANCE FUND						\$5,870,009	\$0		0.13%
LAW ENFORCEMENT FUND									
	10/29/2021	11/1/2021	LAW ENFORCEMENT	KEY BANK-SWEEP	3	\$81,743	\$0	3	0.35%
	10/29/2021	11/1/2021	STAR OHIO	STATE OF OHIO	3	\$44,037	\$0	3	0.08%
TOTAL LAW ENFORCEMENT FUND						\$125,780	\$0		0.19%
LOCAL MATCH FUND									
	3/17/2020	1/24/2023	LOCAL MATCH-FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$22,094	449	1.64%
	10/29/2021	11/1/2021	2019 DEBT ACCT-STAR OHIO	STATE OF OHIO	3	\$8,989,644	\$0	3	0.08%
	10/29/2021	11/1/2021	2019 DEBT ACCT-KEY ECR	KEY BANK	3	\$43,105	\$0	3	0.75%
	10/29/2021	11/1/2021	LOCAL MATCH-STAR OHIO	STATE OF OHIO	3	\$78,675,679	\$0	3	0.08%
	10/29/2021	11/1/2021	LOCAL MATCH-KEY ECR	KEY BANK	3	\$231,306	\$0	3	0.35%
	10/29/2021	11/1/2021	GRANT-ECR	KEY BANK	3	\$2,448,152	\$0	3	0.35%
	10/29/2021	11/1/2021	CATCH BASIN-KEY ECR	KEY BANK	3	\$101,888	\$0	3	0.35%
TOTAL LOCAL MATCH FUND						\$95,560,950	\$22,094		0.17%
PENSION FUND									
	10/29/2021	11/1/2021	KEY ECR	KEY BANK	3	\$89,735	\$0	3	0.35%
	10/29/2021	11/1/2021	STAR OHIO	STATE OF OHIO	3	\$1,229,419	\$0	3	0.08%
TOTAL PENSION FUND						\$1,319,154	\$0		0.10%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
INVENTORY OF TREASURY INVESTMENTS
AS OF OCTOBER 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
RTA CAPITAL FUND	10/29/2021	11/1/2021	KEY ECR	KEY BANK	3	\$4,871,162	\$0	3	0.35%
	8/5/2021	5/22/2022	NATXNY CP	HILLTOP SECURITIES	270	\$4,994,375	\$1,813	183	0.15%
	4/5/2021	4/5/2024	FFCB	STIFEL NICOLAUS	1095	\$2,069,000	\$477	886	0.33%
	3/4/2021	3/4/2024	FNMA	STIFEL NICOLAUS	1095	\$499,750	\$1,071	854	0.34%
TOTAL RTA CAPITAL FUND					\$12,365,287	\$3,360		0.27%	
RESERVE FUND	3/17/2020	1/24/2023	FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$22,094	459	1.64%
	9/15/2021	5/23/2022	AGRI BANK CP	HILLTOP SECURITIES	250	\$4,993,750	\$1,150	204	0.18%
	10/29/2021	11/1/2021	KEY ECR	KEY BANK	3	\$1,555,841	\$0	3	0.35%
	10/29/2021	11/1/2021	STAR OHIO	STATE OF OHIO	3	\$2,828,896	\$0	3	0.08%
TOTAL RESERVE FUND					\$14,469,662	\$23,244		0.59%	
TOTAL ALL FUNDS					\$304,807,907	\$95,309	81	0.18%	

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
DEBT SERVICE SCHEDULE AND STATUS
AS OF OCTOBER 31, 2021**

Bonds	Final Maturity Date	Total Principal Outstanding 12/1/2020	Interest Payable/ 6/1/2021	Principal Payable/ 6/1/2021	Debt Service Requirement/ 6/1/2021	Interest Payable/ 12/1/2021	Principal Payable/ 12/1/2021	Debt Service Requirement/ 12/1/2021	Total Debt Requirement 2021
Series 2012-Sales Tax Rev.	Dec. 2022	5,585,000.00	262,500.00	0.00	262,500.00	262,500.00	3,300,000.00	3,562,500.00	3,825,000.00
Series 2014A-Sales Tax Rev.	Dec. 2025	10,305,000.00	240,725.00	0.00	240,725.00	240,725.00	3,730,000.00	3,970,725.00	4,211,450.00
Series 2015-Sales Tax Rev.	Dec. 2026	22,805,000.00	1,034,000.00	0.00	1,034,000.00	1,034,000.00	3,350,000.00	4,384,000.00	5,418,000.00
Series 2016-Sales Tax Rev.	Dec. 2027	8,115,000.00	374,900.00	0.00	374,900.00	374,900.00	10,000.00	384,900.00	759,800.00
Series 2019-Sales Tax Rev.	Dec. 2030	11,985,000.00	727,375.00	0.00	727,375.00	727,375.00	955,000.00	1,682,375.00	2,409,750.00
Total Bonds		\$58,795,000.00	\$2,639,500.00	\$0.00	\$2,639,500.00	\$2,639,500.00	\$11,345,000.00	\$13,984,500.00	\$16,624,000.00

Bond Retirement
\$16,624,000

Current Balance (Set Aside for 2021)

Monthly Set Aside Required
\$0

Note: The principal outstanding at 12/1/2020 is shown net of the bonds that were defeased in July 2021

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SUMMARY OF INVESTMENT PERFORMANCE
YEAR TO DATE THROUGH OCTOBER 31, 2021**

MONTH	2021				2020					
	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #	MARKET YIELD #	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #	MARKET YIELD #
JANUARY	\$247,915,757	\$25,944	0.24%	0.10%	0.01%	\$153,025,000	\$306,867	1.94%	1.66%	1.49%
FEBRUARY	\$241,576,777	\$39,883	0.21%	0.09%	0.01%	\$147,326,500	\$177,033	1.93%	1.53%	1.22%
MARCH	\$233,701,962	\$31,822	0.22%	0.08%	0.01%	\$153,725,000	\$446,742	1.49%	1.25%	0.10%
APRIL	\$226,630,970	\$31,038	0.20%	0.07%	0.01%	\$150,720,000	\$364,880	1.17%	1.01%	0.01%
MAY	\$251,992,878	\$32,939	0.20%	0.06%	0.01%	\$187,360,000	\$234,705	0.86%	0.77%	0.01%
JUNE	\$287,664,318	\$51,309	0.18%	0.05%	0.01%	\$212,554,447	\$209,413	0.75%	0.53%	0.07%
JULY	\$286,788,088	\$30,698	0.19%	0.05%	0.01%	\$221,871,717	\$98,135	0.74%	0.30%	0.01%
AUGUST	\$243,356,737	\$31,714	0.19%	0.05%	0.01%	\$232,155,834	\$99,171	0.48%	0.14%	0.01%
SEPTEMBER	\$272,326,795	\$40,612	0.19%	0.05%	0.01%	\$236,404,000	\$28,674	0.39%	0.13%	0.01%
OCTOBER	\$273,878,183	\$39,359	0.18%	0.05%	0.01%	\$267,166,925	\$28,216	0.28%	0.13%	0.01%
NOVEMBER						\$255,122,283	\$4,471	0.24%	0.12%	0.01%
DECEMBER						\$240,885,693	\$9,764	0.27%	0.11%	0.01%
YEAR TO DATE	256,763,440	\$355,218	0.20%	0.07%	0.01%	\$184,786,142	\$2,008,071	0.88%	0.64%	0.25%
RTA AVERAGE YIELDS OVER (UNDER) INDEX										
				0.14%	0.19%				0.24%	0.63%

Moving average coupon equivalent yields for 6 month Treasury Bills.

Market Yield equals US Treasury Money Fund 7 Day Yield

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 OCTOBER 2021

BOND RETIREMENT FUND
 HUNTINGTON MONEY MARKET

\$313.59
 \$313.59
 \$23,758.57
 \$104,818.29

OCTOBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

GENERAL FUND
 HUNTINGTON-SALES TAX ACCOUNT
 STAROHIO
 NATNY CP
 KEY BANK SWEEP ACCOUNT
 PNC CUSTODY ACCOUNT
 MERCHANT ACCOUNT-KEY BANK SWEEP ACCOUNT

\$0.09
 \$7,990.67
 \$3,479.20
 \$101.62
 \$0.83
\$1.46
 \$11,573.87
 \$82,959.50
 \$666,823.88

OCTOBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

INSURANCE FUND
 STAROHIO

\$312.07
 \$312.07
 \$15,131.45
 \$176,320.07

OCTOBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

LAW ENFORCEMENT FUND
 KEY BANK SWEEP ACCOUNT
 STAROHIO

\$0.73
\$2.86
 \$3.59
 \$33.99
 \$412.11

OCTOBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
REPORT ON INVESTMENT EARNINGS (CASH BASIS)
OCTOBER 2021**

LOCAL MATCH FUND		
STAROHIO-LOCAL MATCH		\$3,231.85
STAROHIO-2019 DEBT		\$581.75
KEY BANK SWEEP ACCOUNT		<u>\$1.86</u>
	OCTOBER 2021	\$3,815.46
	2021 YEAR TO DATE	\$103,960.23
	2020 YEAR TO DATE	\$562,473.72
PENSION FUND		
STAROHIO		\$79.74
KEY BANK SWEEP ACCOUNT		<u>\$0.76</u>
	OCTOBER 2021	\$80.50
	2021 YEAR TO DATE	\$638.03
	2020 YEAR TO DATE	\$13,427.44
EMPLOYEE ACTIVITY ACCOUNT		
KEY BANK MONEY MARKET		<u>\$0.91</u>
	OCTOBER 2021	\$0.91
	2021 YEAR TO DATE	\$10.87
	2020 YEAR TO DATE	\$153.60
RTA CAPITAL FUND		
FFCB		\$3,300.00
KEY BANK SWEEP ACCOUNT		<u>\$41.35</u>
	OCTOBER 2021	\$3,341.35
	2021 YEAR TO DATE	\$3,810.65
	2020 YEAR TO DATE	\$169,430.55

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 OCTOBER 2021

RESERVE FUND
 STAROHIO
 KEY BANK SWEEP ACCOUNT

\$2,075.78
\$13.21
 \$2,088.99
 \$115,617.58
 \$504,370.89
 \$21,530.33
 \$346,120.87
 \$2,188,230.55

OCTOBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE
 SEPTEMBER 2021
 2021 YEAR TO DATE
 2020 YEAR TO DATE

TOTAL ALL FUNDS

2021 YEAR
 TO DATE
 \$346,121

INTEREST RECEIVED (CASH BASIS)

ACCRUED INTEREST:

BEGINNING:

ENDING:

INTEREST INCOME EARNED:

AVERAGE INVESTMENT BALANCE (COST):

AVERAGE YIELD ON INVESTMENTS:

OCTOBER
 \$21,530

(\$77,480)

\$95,309

\$39,359

\$273,878,183

0.18%

(\$86,211)

\$95,309

\$355,218

\$256,783,440

0.20%

**COMPOSITION OF INVESTMENT PORTFOLIO
AS OCTOBER 31, 2021**

Instrument	PRINCIPAL AMOUNT	FACE AMOUNT	PERCENT OF TOTAL	AVERAGE YIELD	AVERAGE MATURITY
Money Market Account	\$15,354,925	\$15,354,924	5.04%	0.02%	3
Key Bank Sweep Account	\$207,319	\$207,319	0.07%	0.35%	3
Star Ohio	\$229,575,202	\$229,575,202	75.32%	0.08%	3
Earnings Credit Rate Account	\$20,089,959	\$20,089,959	6.59%	0.35%	3
Commercial Paper	\$9,988,125	\$10,000,000	3.28%	0.17%	193
U.S. Government Securities	\$29,592,377	\$29,430,000	9.71%	0.85%	734
Total Investment Portfolio	<u>\$304,807,907</u>	<u>\$304,657,404</u>	<u>100.00%</u>	<u>0.18%</u>	<u>81</u>

Greater Cleveland Regional Transit Authority
 Banking and Financial Relationships
 As of October 31, 2021

Bank/Financial Institution	Nature of relationship
Key Bank	Main banking services
PNC Bank	Custodial Account and Credit card
Fifth Third	Escrow Account
Huntington Bank	Bond Retirement and Sales Tax Account Underwriter STAR Ohio-Investments
Bank of New York Mellon	Bond Registrar
SBC Harris Bank	Fuel Hedge

NOTE:

This information is being provided for applicable individuals to be in compliance with:

- Ohio Revised Code Sections 102.03(D) and (E)
- Ohio Ethics Commission Informal Opinion Number 2003-INF-0224-1
- Ohio Ethics Commission Staff Advisory Opinion to Sheryl King Benford (DCM - Legal Affairs) dated May 6, 2020
- Ohio Ethics Commission Opinion Number 2011-08
- Ohio Ethics Commission Staff Advisory Opinion to R. Brent Minney dated March 27, 2012

Please refer to Chapter 656 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority (Travel Policy), Administrative Procedure 024 and Board of Trustees Resolution No. 2020-80 for additional information.



Greater Cleveland
Regional Transit Authority

1240 West 6th Street
Cleveland, Ohio 44113-1302
Phone: 216-566-5100
riderta.com

PROPOSED DATES

2022 NOTICE OF MEETINGS

Notice is hereby given that the regular meetings of the Board of Trustees and Committees of the Greater Cleveland Regional Transit Authority will be held during 2022 at 9:00 A.M. in the Board Room of the Authority, first floor of the Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113 on the dates listed below.

January 11 - Committees
January 25 - Board

July 12 – Committees
July 26 – Board

February 1 - Committees
February 15 - Board

August 9 – Committees
August 16 – Audit Committee
August 23 – Board

March 1 - Committees
March 8 – Audit Committee
March 29 – Board and Annual Meeting*

September 13 – Committees
Tues, Sept 20 or Wed, Sept. 28 – Board

April 12 – Committees
April 26 - Board

October 4 – Committees
October 25 – Board

May 10 – Committees
May 17 – Audit Committee
May 24 - Board

November 1 – Committees
Wed, November 9 – Audit Committee
November 15 – Board

June 14 - Committees
June 28 – Board

December 6 – Committees
December 20 – Board

*Items in red were revised from the October 26 Board Meeting

*Board Meeting and Committees or Annual meeting on the same day.

MEETINGS MOVED TO ALIGN WITH CONFERENCES

Conference of Mayors – January 19-21

APTA Legislative – March 13-15

APTA Mobility – May 1-4

APTA Rail – June 5-8

APTA Annual – October 9-12

COMTO – TBD – usually in July

OPTA – TBD – usually in April/ In Sept. in 2021