



Greater Cleveland
Regional Transit Authority

1240 West 6th Street
Cleveland, Ohio 44113-1302
Phone: 216-566-5100
riderta.com

MEETING NOTICE

Notice is hereby given that the following meeting of the Board of Trustees of the Greater Cleveland Regional Transit Authority will take place on **Tuesday, June 28, 2022** in the Board Room of the Authority, 1240 West Sixth Street, Cleveland, OH 44113 for consideration of the listed items and such other items that may properly come before the Board and be acted upon.

In accordance with the Ohio Open Meetings Act and House Bill 51, signed into law on February 17, 2022 and effective immediately through June 30, 2022, this meeting will be live-streamed on RTA's Board Page www.RideRTA.com/board via the meeting date for staff and members of the public. House Bill 51 allows Board members to participate by telephone or video and be considered present as if in person. It also allows Board members to vote and be counted for the purpose of determining a quorum while attending by telephone or video.

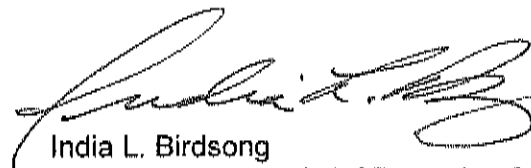
Members of the public may attend in-person. Based on Centers for Disease Control ("CDC") guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses and other entities may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, RTA Board Room and Meeting Room 1 capacity is limited to thirty-six (36) people to allow for social distancing. To accommodate members of the public, RTA will limit the number of staff permitted in the Board Room. All persons entering RTA's Main Office Building ("MOB") at 1240 West 6th Street are required to maintain a distance of six feet or more from other individuals who are not members of their family or household.

As a result of a federal court order on April 18, 2022, the CDC's January 29, 2021 order requiring masks on public transportation conveyances and at transportation hubs is no longer in effect. The CDC continues to recommend that people wear masks in indoor public transportation settings at this time. As a result, GCRTA recommends, but will not require, that members of the public wear a mask/face covering. If a person entering the building does not have a mask, one can be provided to them.

The meeting package will be posted on RTA's website at (www.riderta.com/board), on RTA's Facebook page, and RTA's Twitter page.

- 9:00 A.M. Audit, Safety Compliance And Real Estate Committee
- Executive Session Requested - to discuss the results of the 2021 Audit by the Auditor of the State.

Board of Trustees – agenda attached.


India L. Birdsong
General Manager, Chief Executive Officer

AGENDA

RTA AUDIT, SAFETY COMPLIANCE AND REAL ESTATE COMMITTEE

Tuesday, June 28, 2022

Committee Members: Mayor Paul A. Koomar, Chair
Ms. Karen Gabriel Moss, Vice Chair
Mr. Terence P. Joyce
Ms. Valarie J. McCall
Mayor David E. Weiss

- I. Roll Call
- I. Executive Session Requested - to discuss the results of the 2021 Audit by the Auditor of the State.
- II. Adjourn



AGENDA

RTA Board of Trustees Meeting

Tuesday, June 28, 2022

9:00 a.m.

1. Call to order
2. Roll Call
3. Certification regarding notice of meeting
4. Approval of the May 24, 2022 Board Meeting minutes
5. Public comments (**2 minutes**) on **agenda items**:
 - a. In person
 - b. Phone: 440-276-4600
 - c. Web form (comments will be forwarded to Board and staff)
6. Board Governance Committee report
7. Operational Planning & Infrastructure Committee report
 - Chair: Ms. Roberta Duarte
8. Organizational, Services & Performance Monitoring Committee report
 - Chair: Mayor Anthony D. Biasiotta
9. Audit, Safety Compliance and Real Estate Committee report
 - Chair: Mayor Paul A. Koomar
10. External and Stakeholder Relations and Advocacy Committee report
 - Chair: Mr. Terence P. Joyce
11. Community Advisory Committee (CAC)
 - Board Liaison: Ms. Roberta Duarte
12. Ad Hoc Committee reports:
 - Ad Hoc Paratransit Committee – President Charles P. Lucas, Chair
 - Ad Hoc Technology Committee – Ms. Luz Pellet, Chair
13. Introduction of new employees and announcement of promotions
14. Introduction of resolutions:
 - A. 2022-52 – Expressing appreciation to Roberta Duarte for her service as a member of the Board of Trustees of the Greater Cleveland Regional Transit Authority and extending best wishes for many years to come

- B. 2022-53 – Authorizing Contract No. 2022-19 with Mott MacDonald, LLC for Project 19.43 – On-Call Signal Engineering Services - 2022 in an amount not to exceed \$450,000.00 for a period of 24 months (RTA Capital and/or Development Funds, Engineering & Project Development Department budget)
- C. 2022-54 – Authorizing Contract No. 2022-046 with Petrochoice LLC for the furnishing of antifreeze, as specified and as required, for a period of one (1) year in an amount not to exceed \$100,259.25 (General Fund, Fleet Management Department budget)
- D. 2022-55 – Authorizing Contract No. 2022-047 with Alliance National LTD., dba DeMilta Iron & Metal LTD. for the recycling of scrap metal, as required, for a period of four years (revenue generating)
- E. 2022-56 – Authorizing Contract No. 2022-071 with Johnson Controls Fire Protection, LP to provide fire and safety inspection and testing through the State of Ohio's Department of Administrative Services Cooperative Purchasing Program, in an amount not to exceed \$131,455.25 for a period of one year, and in an amount not to exceed \$131,455.25 for one option year, for a total contract amount not to exceed \$262,910.50 (General Fund, Transit Police Department budget)
- F. 2022-57 – Amending Section 410.01 Procurement Policies of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority
- G. 2022-58 – Amending Section 640.04 of the Codified Rules and Regulations and Section 100.04 of the Personnel Policies Employee Responsibility for GCRTA Property; repealing Section 628.06 Service Recognition Program of the Codified Rules and Regulations; and removing Sections 644.15 Service Recognition Program and 640.01 Disclaimer of the Codified Rules and Regulations as duplicates of Personnel Policies Sections 400.15 Service Recognition Program and 100.01 Disclaimer
- H. 2022-59 – Amending Section 640.05 Employee Communications with the Media of the Codified Rules and Regulations and Section 100.05 Employee Communications with the Media of the Personnel Policies of the Greater Cleveland Regional Transit Authority
- I. 2022-60 – Authorizing the filing of grant applications with the State of Ohio for State Fiscal Year 2023 financial assistance under all State of Ohio programs
- J. 2022-61 – Authorizing the General Manager, Chief Executive Officer to enter into an agreement with Medina County to exchange operating funds

for Medina County's grant funds and to provide for Medina County to fund a portion of the cost of the Authority's 251 flyer route

15. Secretary-Treasurer's Report:
 - a. General Fund Revenue – status as of May 31, 2022 versus 2021 actuals
 - b. General Fund Revenue – status as of May 31, 2022 versus the 2022 budget
 - c. Sales & Use Tax Receipts Report budgeted during 2022, actual receipts through June 2022
 - d. Inventory of Treasury Investments as of May 31, 2022
 - e. Debt Service Schedule and Status of Bond Retirement Fund (cash basis) as of May 31, 2022
 - f. Summary of Investment Performance, Year to Date through May 31, 2022
 - g. Report on Investment Earnings (cash basis) as of May, 2022
 - h. Composition of Investment Portfolio as of May 31, 2022
 - i. Banking and Financial Relationships as of May 31, 2022
16. General Manager's Report
17. President's Report
18. Old Business
19. New Business
20. Public comments (**2 minutes**):
 - a. In person
 - b. Phone: 440-276-4600
 - c. Web form (comments will be forwarded to Board and staff)
21. The next regular Board meeting is scheduled for **Tuesday, July 26, 2022** in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live-streamed on RTA's Board page (www.RideRTA.com/board) by clicking the meeting date. The public is welcome to attend in person.
22. Adjournment

Minutes

RTA Board of Trustees Meeting

9:04 a.m. May 24, 2022

Board Members: Lucas, (Chair), Biasiotta, Duarte, Joyce, Koomar, McCall, Pellot, Weiss, Welch

Not present: Moss

Staff: Benford, Birdsong, Burney, Caver, Coffey, Dangelo, Feke, Fields, Freilich, Garofoli, Gautam, Kirkland, Miller, Mothes, Schipper, Talley, Walker-Minor, Young

Public: Bingaman, Gibbons, Kisner II (Samuel), Kisner (Kim), Loh, Lowrie, Pinkney-Butts

The meeting was called to order at 9:04 a.m. There were nine (9) board members present.

In accordance with the Ohio Open Meetings Act and House Bill 51, signed into law on February 17, 2022 and effective immediately through June 30, 2022, this meeting will be live-streamed on RTA's Board Page www.RideRTA.com/board via the meeting date for staff and members of the public. House Bill 51 allows Board members to participate by telephone or video and be considered present as if in person. It also allows Board members to vote and be counted for the purpose of determining a quorum while attending by telephone or video.

It was advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

Oath of Office

Lauren R. Welch took her oath of office. She was appointed by Mayor Justin M. Bibb, City of Cleveland. Her term runs until March 3, 2025. Ms. Welch is a CMSD alumni and Clevelander. She has ridden transit for a long time. She recognized those who are advocating for transit in the region. She is grateful and hopes that she is making them and the City of Cleveland proud.

Transiteers Resolution/Presentation

President Lucas was contacted by Ronald Kisner who informed him of an exhibit at the Western Reserve Historical Society featuring a group of Cleveland Transit System (CTS) operators who performed in a singing group called the Transiteers in the 60's. The Transiteers donated funds to the community. President Lucas attended the opening of the exhibit. The group was active during the time his father was a CTS Board member. He and his family attended performances. The Transiteers sang at a funeral for one of his wife's uncle. Relatives of Ronald Kisner, Kim Kisner, Samuel Kisner and Cleveland Historical Society Director Angie Lowrie were present.

- A. 2022-43 – Expressing congratulations to the family of the a cappella chorus, The Transiteers, in honor of their new exhibit at the Western Reserve Historical Society (WRHS), the adoption of which was moved by Ms. McCall, seconded by Ms. Pellot and approved by unanimous vote.

The family expressed their appreciation for the Transiteers and their grandfather. He encouraged everyone to see the exhibit. Ms. Lowrie gave details about the group and their legacy. The exhibit runs through August 1, 2022.

Board Meeting Suspended and Reconvened

9:18 a.m. - President Lucas asked for a motion to suspend the Board meeting to return to the top of the agenda to commence with the committee meetings. It was moved by Mayor Weiss, seconded by Ms. McCall and unanimously approved.

10:10 a.m. - It was moved by Ms. McCall, seconded by Ms. Pelot and unanimously approved to reconvene the Board Meeting.

Minutes

President Lucas stated that the minutes from the April 26, 2022 Board Meeting had been previously distributed and reviewed, and asked whether there were any additions and/or corrections. There were no corrections. The minutes were approved.

Public Comments – Agenda Items

1. Rev. Pamela M. Pinkney – She is concerned and not complaining. She congratulated the Transiteers. She is waiting for businesses of color to be recognized on vehicles. She asks to partner with RTA on her anti-profanity, pants pull-up and female cover up initiative. This would help with Reso 2022-47 on antibullying. She asks that Juneteenth not be taken lightly. She supports Reso 2022-44.
2. The caller did not identify themselves. The caller asked when the Waterfront Line would be back in service. Mr. Schipper said they will go out for construction later this year. The target is to have it up prior to the 2023 Cleveland Browns season. She asked why the #11 goes from downtown and the #32 is gone and will the 48A be put back on Tarkington and South Miles. Dr. Caver said the #11 was redesigned. The #11 was connected to the old #32 line to provide rides from the city to the suburbs and jobs along that line. Buses who historically had portions of the city now have a longer route with a one seat ride. They have discussed the #48A with the community. This route probably won't come back, but the route is captured by the #50. Rev. Lucas added that work is needed on the bridge for safety reasons.
3. Mr. Rodriguez – He is concerned with the safety at the W. Blvd Cudell Station. There needs to be more security. The #18 needs to run 30 minutes instead of an hour.
4. The caller did not identify themselves. The caller agreed there should be more security at transit stations. Dr. Caver understands the concern. Transit Police visits the stations, and we have a robust camera system.
5. Airric Stewart – Dr. Caver misrepresented the truth to the caller by giving a partial truth and not acknowledging the rest of the route not serving as it should be. The #50 serves 2.4 miles of the previous 48A. After that, it goes in a direction where the 48A didn't go. RTA increased the 48 to run every 30 minutes, which a majority of the route serves north of Miles Avenue, where the 48A was serving. The Board's responsibility is to oversee

management. He showed Eric Johnson hour by hour in a 15-hour period where the #50 and #48 runs and where the #50, #48 and #48A should run.

6. Dontez Taylor – He asked why restrooms are not available at stations. Dr. Caver said the janitorial staff cleans the elevators. Transit systems do not recommend restrooms because of safety reasons like drug use, etc. RTA would not be able to ensure safety for the bathrooms.
7. Robert Winn – (webform) This comment relates to the Quarterly Management Report as well as the performance management presentation. According to the 1Q 2022 Quarterly Management report, breakdowns resulting in delays of 5 minutes or more have increases vs Q4 2021 and Q1 2021. Furthermore, customer satisfaction as measured by the number of complaints has declined. How are these measures captured in the scorecard? Also, how is the number of complaints measured now that "Ride Happy" cards haven't been on vehicles for months?
8. Chris Stocking – (webform) RTA needs to look into text alerts for service outages. RTA had a Commuter Alerts text message service but was discontinued in Fall of 2017. With all due respect to RTA operators and employees. RTA service, including rail service was not operational on MLK Day, January 17th and February 18th, when the NBA All-Star game was in town. That is unacceptable. Snowstorms happen, rail service needs to be running. You left hundreds of riders trying to get to work, medical appointments, or to buy groceries stranded without service or notice of the outage. The fact that the board has had no public discussion about the causes of these service outages and how to prevent them in the future is a major issue. You need a plan to reach riders when service disruptions happen. The minimum you can do is send a text message letting people know service is down. Also installing more displays and real-time countdown clocks with current service information will help those without text messages at bus shelters and stations. Not everyone has a smartphone and data plan to access Transit App. And even if they did many times alerts are not consistently posted on the app. It is hard to remove gloves during a snowstorm to use a smart phone when it is 20 degrees outside even if you have a data plan. Add real-time screens at bus stops and text message communication. Work with staff to develop a plan to not leave riders stranded. Show us that you care about the riders.

Transit Police Chief Jones said the promotion ceremony was held last week. It was the first time it was held at RTA. The exemplary officer for 2021 was awarded. She asked if all board members could attend the next ceremony. She thanked the staff for their support. TP also participated in the Police Memorial parade.

Committee Reports

There were no committee reports.

Community Advisory Committee (CAC)

There were no reports from the CAC.

Ad Hoc Committee Reports

There were no reports.

Introduction of New Employees/Promotions

New Hires:

1. Christopher Anderson, Operator
2. Samuel Davis, Operator
3. Gail Fisher, HRIS Manager
4. Pamela Black, Operator
5. Al Edwards, Operator
6. Horrace Foster, Operator
7. Margaret Colon-Ramos, Talent Acquisition Business Partner
8. Lynda Evans, Talent Acquisition Business Partner
9. Zamir Gardner, Operator
10. Ronald Geis, Operator
11. Bonita Kimbrough, Operator
12. Donald Sims, Laborer
13. Zharia Horn, Operator
14. Jonathan Miller, Electrical Equipment Maintainer
15. Walter Small, Operator
16. Sharon Jenkins, Director of Marketing & Communications
17. Calvin Myers, Operator
18. Timothy Smith, Operator
19. Shawn Stamper, Operator
20. Robert Walker, Operator

Promotions:

1. Chris Anderson, Sergeant
2. Timothy Hassing, Sergeant
3. Robert Cummings, Sergeant
4. Khisa Hudson, Lieutenant
5. Robert Fleig, Public Information Officer
6. Grant Kersh, Construction Engineer
7. Richard Kopp, Lieutenant
8. George Schrieiner, Lieutenant
9. Charles Wilson, Lieutenant

Introduction of Resolutions:

- A. 2022-43 was presented earlier in the agenda.
- B. 2022-44 – Authorizing Contract No. 2022-053 with Statewide Ford Lincoln, for the purchase and delivery of two (2) 2022 Ford F-150 First Responder vehicles and one (1) Police Interceptor Utility Unmarked vehicle for a total price not to exceed \$162,735.00 (RTA Capital Fund, Fleet Management Department budget), the adoption of which was moved by Ms. McCall, seconded by Ms. Pellot and approved by unanimous vote.
- C. 2022-45 – Authorizing an extension and increase to Contract 2016-140 with Passport Labs, Inc., fka Passport Parking, Inc. for the purchase of additional

Mobile Ticketing Solution Services in an amount not to exceed \$56,420.00 (General Fund, Innovation & Technology Department budget), the adoption of which was moved by Mayor Weiss, seconded by Mayor Koomar and approved by unanimous vote.

- D. 2022-46 – Amending Chapter 242 General Manager/Secretary-Treasurer of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority, the adoption of which was moved by Mr. Joyce, seconded by Mayor Weiss and approved by unanimous vote.
- E. 2022-47 – Adopting Sections 200.05 “Anti-Bullying and Prevention of Abusive Conduct” and 600.12 “Lactation Policy” of the Personnel Policies of the Greater Cleveland Regional Transit Authority, the adoption of which was moved by Ms. McCall, seconded by Ms. Pellet and approved by unanimous vote.
- F. 2022-48 – Appropriating the Greater Cleveland Regional Transit Authority's expenditures or outlays for the Fiscal Year (“FY”) 2023 Capital Improvement Budget, the adoption of which was moved by Mayor Weiss, seconded by Ms. Pellet and approved by unanimous vote.
- G. 2022-49 – Approving an addendum to the Collective Bargaining Agreement covering conditions of employment with the Fraternal Order of Police/Ohio Labor Council, Inc., the adoption of which was moved by Mr. Joyce, seconded by Mayor Weiss and approved by unanimous vote.
- H. 2022-50 – Memorializing the occasion of the Authority adopting and observing the Juneteenth National Holiday, the adoption of which was moved by Ms. McCall, seconded by Ms. Pellet and approved by unanimous vote.
- I. 2022-51 - Authorizing Contract No. 2022-016 with MP2 Energy NE LLC to provide electricity supply requirements, for a period of three years in a projected amount not to exceed \$7,308,000.00 (General Fund, Rail District Department budget) and in a projected amount not to exceed \$2,967,000.00 (General Fund, Office of Management and Budget Department budget) for a total projected contract amount not to exceed \$10,275,000.00, the adoption of which was moved by Ms. McCall, seconded by Mayor Weiss and approved by unanimous vote.

Secretary-Treasurer's Report

Rajan Gautam, Deputy General Manager of Finance and Secretary-Treasurer gave the report. He showed data from the five largest metropolitan statistical areas (MSA) in Ohio which include Cuyahoga, Lorain, Medina, Lake and Geauga counties. Columbus, Cincinnati and Dayton is also shown. Over the last three years, the height of the labor force was February 2020. After the Pandemic, we are inching up to those levels. Columbus MSA has surpassed that level. In February 2020, 1.087 million people were employed. March 2022, 1.092 million people were employed.

YTD ridership through 2022 is about 5.6 million. April ridership was 16% above the April 2021 levels. Passenger fares for April 2022 was nearly \$2 million which is 16.1% lower than April 2021. These numbers are being presented on a cash basis, so the difference is a timing issue.

Revenue is 18.9% lower than budget. YTD passenger fares is 22% higher than the same period in 2021. Mobile ticketing totaled nearly \$449,000 or 34.3% higher than April 2021. Sales Tax has been the greatest source of unrestricted revenue for the general fund. May 2022 receipts which is based on the February 2022 activity is 5.3% higher compared to the previous May and 3.2% higher than budget. Fifteen of the 23 categories were positive in May.

Motor Vehicles & Watercraft is 1.2% higher. On-Line Sales is 19.1% higher. Regular & Statewide Sales is 11.0% higher. The \$81.3 million that remain to be drawn down which was budgeted for 2022 in ARP funds have been fully drawn down in April.

General Manager/CEO Report

Dr. Caver, Chief Operator Officer, presented on behalf of the General Manager, CEO. The team has been busy advocating for transit investment in the community. On May 5, GM/CEO India Birdsong met with U.S. Secretary of Transportation Pete Buttigieg and U.S. Congresswomen Shontel Brown in conjunction with Tri-C. The meeting highlighted the nexus between transportation and education and new strategies organizations can use for workforce development. They discussed the IJA funding, which is the largest infusion of public funds. Ms. Birdsong facilitated a townhall meeting with the speakers. Board member Valarie McCall and President Lucas were in attendance.

Transit Police held a promotion ceremony last week. President Lucas, Ms. Birdsong and Dr. Caver gave remarks. Mayor Weiss was also in attendance. On April 25, Ms. Birdsong spoke at Cleveland.com's Mentoring Monday. She presented to hundreds of women about balancing personal obligations and career. RTA held a job fair at Graffiti HeArt art complex. Board Member Luz Pellot works there. Ms. Pellot was excited about hosting the event and said it was a great turnout. She looks forward to future events. Dr. Caver welcomed Lauren Welch to the Board. She was appointed by Mayor Justin Bibb, City of Cleveland. The Transiteers CTS performance group was honored today. Their exhibit is on display at the Western Reserve Historical Society. The executive team held a retreat last week at Tri-C. The team took personality assessments and worked on problem solving, team building, communication brainstorming. The group partnered with Tri-C and Boys and Girls Club to build bikes.

WTS recognized RTA as the Employer of the Year at their Annual Conference in Seattle, Washington. Maribeth Feke, Director of Programming and Planning received the award on behalf of RTA. A brief thank you video from RTA was played. Ms. Birdsong added that RTA has been involved in the community and country in a major way.

President's Report

Rev. Lucas thanked the leadership at RTA. He welcomed Ms. Welch to the Board.

Public Comments

1. Rev. Pamela M. Pinkney – A light rail operator is concerned about the homeless. The homeless need assistance. They don't need to be incarcerated or put in the hospital. The Superior Rapid Station still needs work. The adopted shelters need to be maintained. She is concerned about fare not being collected on the Red Line. She requested another meeting with Ms. Birdsong. She wants to attend the next Mentoring Monday event. She asked about people of color working in Media and Communications and working in

administration and leadership. She also asked about people of color involved in Mentoring Mondays.

2. Chris Martin – In the quarterly management report, RTA said that breakdowns resulting in 5 minutes or more delays are increasing. Also, customer satisfaction measured by complaints is declining. He asked how these are measured on the scorecards since Ride Happy Ride Free cards are not on the vehicle anymore. He asked how the capital improvements funded under the Infrastructure Bill will increase access to transit and if Transit Police will sign a MOU with the City of Cleveland police. And is RTA will agree to only cite under the amended Cleveland ordinance as it moves through the legislative process and decline to cite under the State of Ohio statute.

Dr. Caver said the customer service survey gives us a barometer on the aggregate customer satisfaction. We still have a customer complaint system. We measure boardings per complaints and measure the timing of how we get back to customers. The Ride Happy Ride Free program does not exist anymore. RTA Transit Police must police the entire county and will do that under the State code. The elevator at Superior Station is operating. TP is working with the homeless. We partner with organizations like the Homeless Coalition and the County to provide services.

3. Loh – In the past, a lot of things were sacrificed because of a tight budget. A lot of things have become hit or miss due to a lack of miscommunication. The retreat will give the board a chance to balance the situation and not let the budget control the service. RTA should serve the entire community no matter their economic situation.
4. Evangelist Anderson – She asked why certain buses don't run on weekends. Dr. Caver said with the NextGen redesign, we increased Saturday and Sunday service for better connectivity. She said the #40 needs to run every 20-30 minutes on the weekend.
5. Airric Stewart – He repeated his comments from earlier about the 48A. He said his recommendations provide more efficient service to work, school and healthcare facilities.
6. Dontez Taylor – He asked why the #8 runs hourly when the #11 ran every 15 minutes.

Executive Session Requested

11:11 a.m. - Rev. Lucas asked for a motion to consider the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee or official. It was moved by Mayor Koomar, seconded by Ms. McCall. There were nine (9) ayes, and none opposed. President Lucas said there may be some business after the executive session.

12:09 p.m. – Mayor Koomar made the motion to come out of executive session, seconded by Ms. Pellot. There were nine (9) ayes, and none opposed.

President Lucas said General Manager, CEO India Birdsong recommended the hiring of Janet Burney as the new General Counsel for the Greater Cleveland Regional Transit Authority. President Lucas asked for a motion to approve the hiring of Janet Burney. It was moved by Mayor Weiss, seconded by Mayor Koomar. There were nine (9) ayes for approval, and none opposed. Ms. Burney thanked the Board for their approval and President Lucas for his support and encouragement. She thanked Ms. Birdsong for selecting her and for her confidence in the

Legal Division. This continues her commitment to public service. She understands the importance of RTA to the community and region. She thanked Sheryl King Benford for her 20+ years of excellent service. She acknowledged the hard work of the Legal team. Ms. Birdsong congratulated Janet and thanked the Board and staff for their support.

Upcoming Meetings

The next regular Board meeting is scheduled for Tuesday, June 28, 2022, in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live streamed on RTA's Board page (www.RideRTA.com/board) by selecting the meeting date. The public is welcome to attend in person.

The meeting was adjourned at 12:15 p.m.

President

Attest: _____
Secretary-Treasurer

RESOLUTION NO. 2022-52

EXPRESSING APPRECIATION TO ROBERTA DUARTE FOR HER SERVICE AS A MEMBER OF THE BOARD OF TRUSTEES OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AND EXTENDING BEST WISHES FOR MANY YEARS TO COME

WHEREAS, Roberta Duarte has served as a member of the Board of Trustees of the Greater Cleveland Regional Transit Authority, having been appointed by County Executive Armond Budish on April 27, 2021; and

WHEREAS, Roberta Duarte has been a faithful and dedicated Board Trustee during her tenure, serving on several committees and as Board Liaison to the Community Advisory Committee and as the Chair of the Operational Planning & Infrastructure Committee; and

WHEREAS, during her tenure as a member of the Board of Trustees, Roberta Duarte was a strong advocate for workforce development and community engagement, and demonstrated her unwavering commitment to local, regional and state collaboration in order to achieve the best possible outcomes for all; and

WHEREAS, Roberta Duarte has performed with great diligence, insight and passion in serving the citizens of Cuyahoga County.

NOW, THEREFORE BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the Board of Trustees hereby extends its sincere appreciation to Roberta Duarte for her dedicated service to the Authority and her community.

Section 2. That the members of the Board of Trustees express their gratitude to Roberta Duarte for her diligence in the performance of her responsibilities to the residents of Cuyahoga County.

Section 3. That the Board of Trustees offers its best wishes to Roberta Duarte on her future endeavors.

Section 4. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: PROJECT 19.43 – ON-CALL SIGNAL ENGINEERING SERVICES - 2022 VENDOR: MOTT MACDONALD, LLC AMOUNT: AMOUNT NTE \$450,000 FOR 24 MONTH PERIOD	Resolution No.: 2022-53
	Date: June 23, 2022
	Initiator: Engineering & Project Development
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for On-Call Signal Engineering Services for a period of 24 months.

- 2.0 **DESCRIPTION/JUSTIFICATION:** This project will provide professional signal expertise with design and technical support services for highway-rail grade crossing warning systems, wayside & cab signal systems and traffic control systems. It will also provide assistance with regulatory compliance, training and specification development. The consultant will be required to prepare plans, specifications, cost estimates, construction duration estimates, and to provide construction support services.

- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposal ("RFP") was posted on the GCRTA Procurement web site and advertised in the local newspapers. Nineteen interested parties, including potential subcontractors, downloaded the solicitation package. These services were solicited through a competitive negotiated procurement, utilizing the Brooks Act procedures. Under this process, the Authority's evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with Mott MacDonald, LLC, the first ranked firm, and an acceptable price was reached.

Mott MacDonald, LLC has successfully completed projects for the GCRTA, Chicago Transit Authority, WMATA and the Port Authority of Allegheny County, among others.

The Procurement Department performed a cost analysis and determined the proposed pricing to be fair and reasonable to the Authority.

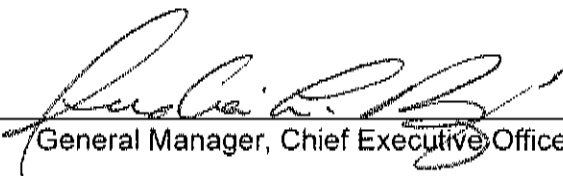
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. Mott MacDonald, LLC has committed to achieve the 5% DBE participation goal established for this procurement, which is equivalent to \$22,500.00, and has agreed to utilize the following certified DBE firms: Raul V. Bravo & Associates (Hispanic-owned), Denise's Flagging & Construction (Female-owned), and Geo Trans (Hispanic-owned) in amounts that will be a function of the specific nature of the on-call service required.

- 5.0 **POLICY IMPACT:** Does Not Apply.

- 6.0 **ECONOMIC IMPACT:** This contract shall be payable from the RTA Capital and/or RTA Development Funds, Engineering & Project Development Department budget, including but not limited to 100% Local funds and FTA Grants to be determined, in an amount not to exceed \$450,000.00 for a period of 24 months. This is the budgeted amount for this project.

- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would leave the Authority without the technical expertise of a professional signal engineering firm to supplement the resources of the Authority's Engineering and Project Management Division.
- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the June 14, 2022 Operational Planning and Infrastructure Committee meeting. It is recommended that the offer from Mott MacDonald, LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2022-53

AUTHORIZING CONTRACT NO. 2022-19 WITH MOTT MACDONALD, LLC FOR PROJECT 19.43 – ON-CALL SIGNAL ENGINEERING SERVICES - 2022 IN AN AMOUNT NOT TO EXCEED \$450,000.00 FOR A PERIOD OF 24 MONTHS (RTA CAPITAL AND/OR DEVELOPMENT FUNDS, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Authority requires professional signal expertise with design and technical support services for highway-rail grade crossing warning systems, wayside & cab signal systems and traffic control systems; and

WHEREAS, the Authority also requires assistance with regulatory compliance, training and specification development, preparation of plans, specifications, cost estimates, construction duration estimates, and construction support services; and

WHEREAS, the proposal of Mott MacDonald, LLC, with an office located at 18013 Cleveland Parkway Drive, Suite 200, Cleveland, Ohio 44135, to perform said services was received on March 30, 2022 in response to a competitive solicitation; and

WHEREAS, after negotiations, Mott MacDonald, LLC has agreed to perform the required services in an amount not to exceed \$450,000.00 for a period of 24 months; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of Mott MacDonald, LLC to be the most advantageous to the Authority and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of Mott MacDonald, LLC to provide signal engineering design and technical support services under Project 19.43 – On-Call Signal Engineering Services - 2022, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Mott MacDonald, LLC for the performance of said services.

Section 3. That said contract shall be payable from the RTA Capital and/or RTA Development Funds, Engineering & Project Development Department budget, including but not limited to 100% Local funds and FTA Grants to be determined, in an amount not to exceed \$450,000.00 for a period of 24 months.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Mott MacDonald, LLC will attempt to exceed the 5% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: CONTRACT: FURNISHING OF ANTI-FREEZE, AS SPECIFIED AND AS REQUIRED, FOR A PERIOD OF ONE (1) YEAR VENDOR: PETROCHOICE LLC AMOUNT: AMOUNT NOT TO EXCEED \$100,259.25	Resolution No.: 2022-54
	Date: June 23, 2022
	Initiator: Fleet Management Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will allow the Authority to enter into a contract for the furnishing of antifreeze, as specified and as required, for a period of one (1) year.
- 2.0 **DESCRIPTION/JUSTIFICATION:** This contract for antifreeze is necessary for the maintenance of all revenue and non-revenue vehicles operated by the Authority.
- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bid (IFB) was posted on the GCRTA Procurement web site and advertised in the local newspapers. Fifteen (15) interested parties downloaded the solicitation. Three (3) responsive bids were received on May 25, 2022 as follows:

Companies	Antifreeze	Anticipated Usage (Gallons)	Unit Cost Per Gallon	Total Cost
PetroChoice, LLC	Bulk	20,000	\$4.55	\$ 91,000.00
	Drum	2,035	\$4.55	\$ 9,259.25
Total Cost				\$100,259.25
Northeast Lubricants, Ltd.	Bulk	20,000	\$7.08	\$ 141,600.00
	Drum	2,035	\$8.08	\$ 16,442.80
Total Cost				\$158,042.80
PPC Lubricants	Bulk	20,000	\$4.73	\$ 94,600.00
	Drum	2,035	\$6.03	\$ 12,271.05
Total Cost				\$106,871.05

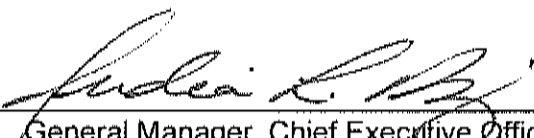
The bid of PetroChoice LLC has been determined by the Procurement Department to be the lowest responsive bid from a responsible bidder.

A price analysis has been performed, and the Procurement Department has determined the price to be fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 0% DBE participation goal was established for this procurement due to the lack of certified DBE firms.

- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This procurement will be funded through the General Fund, Fleet Management Department budget, in an amount not to exceed \$100,259.25 for a period of one (1) year. The bid price is approximately 7% below the budget estimate.
- 7.0 ALTERNATIVES: Reject this offer. Rejection of this offer would require the Authority to buy lesser quantities at a higher price.
- 8.0 RECOMMENDATION: It is recommended that the offer of PetroChoice LLC be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENT: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

RESOLUTION NO. 2022-54

AUTHORIZING CONTRACT NO. 2022-046 WITH PETROCHOICE LLC FOR THE FURNISHING OF ANTIFREEZE, AS SPECIFIED AND AS REQUIRED, FOR A PERIOD OF ONE (1) YEAR IN AN AMOUNT NOT TO EXCEED \$100,259.25 (GENERAL FUND, FLEET MANAGEMENT DEPARTMENT BUDGET)

WHEREAS, the Authority requires the furnishing of antifreeze for the routine maintenance of all revenue and non-revenue vehicles; and

WHEREAS, the bid of PetroChoice LLC, located at 8500 Clinton Road #1101 B, Brooklyn, Ohio 44144, for the furnishing of antifreeze, as specified and as required, for a period of one (1) year was received on May 25, 2022 at unit prices resulting in a total contract amount not to exceed \$100,259.25; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of PetroChoice LLC, to be the lowest responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the bid of PetroChoice LLC for the furnishing of antifreeze, as specified and as required, for a period of one (1) year be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with PetroChoice LLC for the furnishing of antifreeze, as specified and as required, for a period of one (1) year.

Section 3. This contract shall be payable from the RTA General Fund, Fleet Management Department budget, in an amount not to exceed \$100,259.25 for a period of one (1) year.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that PetroChoice LLC will attempt to exceed the 0% DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____
Secretary- Treasurer



TITLE/DESCRIPTION: CONTRACT: RECYCLING OF SCRAP METAL, AS REQUIRED VENDOR: ALLIANCE NATIONAL LTD, DBA DEMILTA IRON & METAL LTD. AMOUNT: REVENUE GENERATING FOR FOUR YEARS	Resolution No.: 2022-55
	Date: June 23, 2022
	Initiator: Fleet Management Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will allow the Authority to enter into a contract for the recycling of its scrap metal, as required, for a period of four (4) years.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The Authority has a need to dispose of scrap metals that accumulate as a result of regular business operations. The majority of the excess scrap metal consists of defective radiators, machine turnings, inoperable electric motors, electric cable and wiring harness. The Authority generates approximately 200 gross tons of scrap metal per year.

- 3.0 **PROCUREMENT BACKGROUND:** The Invitation for Bids ("IFB") was posted on the GCRTA Procurement web site and advertised in the local newspapers. Twelve (12) interested parties downloaded the solicitation package. One (1) responsive bid was received on May 25, 2022. The Basis of Award is a responsive bid from a responsible bidder with the lowest discount off the published Cleveland Market price, as published in the American Metal Market ("AMM") Scrap Price Bulletin. The bid price reflects a plus or minus the AMM bi-weekly metal cost. The Bid Summary is included as Attachment A-Bid Summary. The Procurement Department has determined that Alliance National Ltd., dba DeMilta Iron & Metal Ltd. is a responsible bidder.

 A price analysis has been performed and the Procurement Department has determined the price to be fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND.** The Office of Business Development does not conduct Affirmative Action reviews or establish goals on revenue generating contracts.

- 5.0 **POLICY IMPACT:** Does not apply.

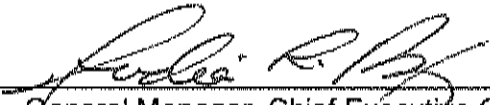
- 6.0 **ECONOMIC IMPACT:** Proceeds from the recycling of the various metals shall be deposited in the General Fund.

- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this offer would cause delays in the Authority's ability to dispose of excess scrap metals that could impair maintaining a clean and safe working environment.

- 8.0 **RECOMMENDATION:** It is recommended that the offer of Alliance National Ltd., dba DeMilta Iron & Metal Ltd. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.

9.0 ATTACHMENT: Attachment A Bid-Summary

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

ATTACHMENT A- BID SUMMARY

Basis of Award: The contract will be awarded based on the lowest discount off the published Cleveland Market price as published in the American Metal Market ("AMM") Scrap Price Bulletin from a responsible and responsive bidder.

	Scrap Iron (#1 Heavy Metal) Per Gross Ton	Machine Turning Per Gross Ton	Radiators Per Gross Ton	Copper Per lb.	#1 Cu Wire Plus Per lb.	Aluminum Per lb.	Electric Motors Per lb.	Stainless Steel Per lb.	Misc. Steel Per lb.
AMM Posted Price 05/24/2022	\$450.00	\$275.00	\$1.75	\$3.52	\$3.52	\$0.50	\$0.20	\$0.85	\$450.00 per gross ton
Alliance National Ltd. Dba DeMilta Iron & Metal Ltd.	(+) \$35.00	(-) \$75.00	(+) \$0.05 lb.	(+) \$0.00 lb.	(+) \$0.00 lb.	(+) \$0.00 lb.	(+) \$0.01	(+) \$0.00	(-) \$50.00 gross ton
Estimated Annual Usage	110-130 tons	7-9 tons	2-3 tons	1-2 tons	2-3 tons	7-10 tons	1-3 tons	1-2 tons	70-80 tons

RESOLUTION NO. 2022-55

AUTHORIZING CONTRACT NO. 2022-047 WITH ALLIANCE NATIONAL LTD., DBA DEMILTA IRON & METAL LTD. FOR THE RECYCLING OF SCRAP METAL, AS REQUIRED, FOR A PERIOD OF FOUR YEARS (REVENUE GENERATING)

WHEREAS, the Authority has a recurring need to dispose scrap metal that accumulates from the operations of the Authority; and

WHEREAS, Section 306.43(k) of the Ohio Revised Code permits the sale or disposition of property by public auction or competitive procedures; and

WHEREAS, the bid of Alliance National Ltd., dba DeMilta Iron & Metal Ltd., located at 3950 Ben Hur Avenue, Willoughby, Ohio 44094, for the collection, removal and recycling of scrap metals, as required, for a period of four (4) years was received on May 25, 2022; and

WHEREAS, the General Manager, Chief Executive Officer deems the bid of Alliance National Ltd., dba DeMilta Iron & Metal Ltd. to be a responsive bid from a responsible bidder and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Alliance National Ltd., dba DeMilta Iron & Metal Ltd. to provide for the collection, removal and recycling of the Authority's scrap metal, as required, for a period of four (4) years, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Alliance National Ltd., dba DeMilta Iron & Metal Ltd. to provide for the collection, removal and recycling of scrap metal, as required, for a period of four (4) years.

Section 3. That the proceeds of such sales shall be deposited in the General Fund of the Authority.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon compliance by the contractor to the Specifications and Addenda, thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements; and all applicable laws relating to contractual obligations of the Authority.

Section 5. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____
Secretary- Treasurer



TITLE/DESCRIPTION: CONTRACT: FIRE AND SAFETY INSPECTION AND TESTING VENDOR: JOHNSON CONTROLS FIRE PROTECTION, LP AMOUNT: NTE \$131,455.25 FOR A ONE-YEAR PERIOD AND NTE \$131,455.25 FOR ONE OPTION YEAR, FOR A TOTAL CONTRACT NTE \$262,910.50.	Resolution No.: 2022-56
	Date: June 23, 2022
	Initiator: Transit Police Department
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for fire and safety inspection and testing, in accordance with state and local regulations, for a period of one year with one, one-year option for renewal.

- 2.0 **DESCRIPTION/JUSTIFICATION:** The Authority requires independent third-party inspection and testing of its fire and safety system. This Authority-wide system is comprised of electrical and mechanical components that require annual inspection, testing, and certification, and ongoing service and maintenance. This action is necessary to meet the state and city fire codes which require that a complete annual safety system inspection, including semi-annual and quarterly inspections and testing, be completed in 2022 and 2023.

- 3.0 **PROCUREMENT BACKGROUND:** Section 306.43(H)(4) of the Ohio Revised Code provides political subdivisions within the State of Ohio the opportunity to participate in contracts negotiated and executed by the State of Ohio, Department of Administrative Services, Cooperative Purchasing Program, for the purchase of supplies, services, equipment, and materials. The Board of Trustees has authorized the General Manager, Chief Executive Officer to utilize such contracts by Resolution No. 1990-069.

The State of Ohio Cooperative Purchasing Program includes a contract with Johnson Controls Fire Protection, LP under State Term Contract No. MMA7482. Said contract will allow the Authority to obtain the needed services for an amount not to exceed \$131,455.25 for a period of one year, and in an amount not to exceed \$131,455.25 for one option year, for a total contract amount not to exceed \$262,910.50. The price established is the result of a competitive solicitation process and is offered to qualifying government entities. This price is the budgeted amount for this procurement.

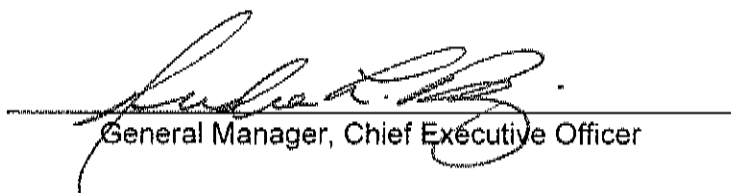
A cost analysis has been performed, and the Procurement Department has determined that the pricing provided by Johnson Controls Fire Protection, LP is fair and reasonable for the Authority.

- 4.0 **AFFIRMATIVE ACTION/ DBE BACKGROUND:** The Office of Business Development does not conduct Affirmative Action reviews or establish goals on procurements included in the State Cooperative Purchasing program.

- 5.0 **POLICY IMPACT:** Does not apply.

- 6.0 **ECONOMIC IMPACT:** The contract will be funded through the General Fund, Transit Police Department budget, in an amount not to exceed \$131,455.25 for a period of one year, and in an amount not to exceed \$131,455.25 for one option year, for a total contract amount not to exceed \$262,910.50 for the two-year period.
- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this proposal will leave the Authority without the ability to obtain required inspection, testing, certification, and maintenance for the fire and safety system. Failure to obtain these services may subject the Authority to citations for violation of the Ohio Fire Code and/or City of Cleveland fire and safety ordinances.
- 8.0 **RECOMMENDATION:** It is recommended that the offer of Johnson Controls Fire Protection, LP be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENTS:** None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


General Manager, Chief Executive Officer

RESOLUTION NO. 2022-56

AUTHORIZING CONTRACT NO. 2022-071 WITH JOHNSON CONTROLS FIRE PROTECTION, LP TO PROVIDE FIRE AND SAFETY INSPECTION AND TESTING THROUGH THE STATE OF OHIO'S DEPARTMENT OF ADMINISTRATIVE SERVICES COOPERATIVE PURCHASING PROGRAM, IN AN AMOUNT NOT TO EXCEED \$131,455.25 FOR A PERIOD OF ONE YEAR, AND IN AN AMOUNT NOT TO EXCEED \$131,455.25 FOR ONE OPTION YEAR, FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$262,910.50 (GENERAL FUND, TRANSIT POLICE DEPARTMENT BUDGET)

WHEREAS, the Authority is required to test and maintain the fire and safety system in accordance with the state and local regulations; and

WHEREAS, such services are available from Johnson Controls Fire Protection, LP, located at 17295 Foltz Industrial Parkway, Suite G, Strongsville, Ohio 44149, to provide equipment and services through the State of Ohio, Department of Administrative Services, Cooperative Purchasing Program; and

WHEREAS, the State of Ohio Cooperative Purchasing Program provides political subdivisions within the State of Ohio with the opportunity to participate in contracts executed by the State of Ohio, Department of Administrative Services, Office of State Purchasing, for the purchase of supplies, services, equipment and certain materials; and

WHEREAS, Section 306.43(H)(4) of the Ohio Revised Code permits a regional transit authority to participate in said program; and

WHEREAS, the Board of Trustees authorized utilization of the State of Ohio Cooperative Purchasing Program in Resolution 1990-069; and

WHEREAS, the General Manager, Chief Executive Officer has deemed it to be in the best interest of the Authority to utilize the State of Ohio Cooperative Purchasing Program for obtaining fire and safety inspection and testing from Johnson Controls Fire Protection, LP for a period of one year, with one option year, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Johnson Controls Fire Protection, LP to provide fire and safety inspection and testing for a period of one year, with one option year, be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Johnson Controls Fire Protection, LP to provide said services and with authority to exercise the option year.

Section 3. That the said contract is entered into under the authority granted by Ohio Revised Code Section 306.43(H)(4) and under the terms of the State of Ohio Cooperative Purchasing Program.

Section 4. That said contract will be funded through the General Fund, Transit Police Department budget, in an amount not to exceed \$131,455.25 for a period of one year, and in an amount not to exceed \$131,455.25 for one option year, for a total contract amount not to exceed \$262,910.50 for the two-year period.

Section 5. That said contract shall be binding upon and an obligation of the Authority contingent upon funding for future years, compliance by the contractor to the Specifications and Addenda, if any, the Affirmative Action Plan adopted by the Board of Trustees, bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

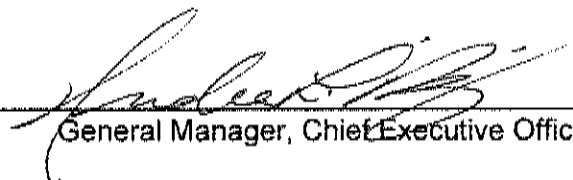
Attest: _____
Secretary-Treasurer



TITLE/DESCRIPTION: AMENDING SECTION 410.01 PROCUREMENT POLICIES OF THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY	Resolution No.: 2022-57
	Date: June 23, 2022
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This resolution will amend Section 410.01, Procurement Policies of the Codified Rules and Regulations ("Code") of the Greater Cleveland Regional Transit Authority.
- 2.0 DESCRIPTION/JUSTIFICATION: The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is now undergoing a comprehensive review and update so that the Code will conform to the current structure and operations of the Authority. The proposed amendment updates Section 410.01 to delegate to the General Manager, Chief Executive Officer the power to extend the terms of contracts within the General Manager, Chief Executive Officer's dollar change order authority.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Adoption of the resolution will ensure that the General Manager, Chief Executive Officer has the day-to-day authority to extend the terms of contracts.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Not adopting this resolution. Not adopting this resolution would result in a policy that requires Board of Trustees approval for routine day to day operations.
- 8.0 RECOMMENDATION: This resolution was discussed at the June 14, 2022 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 ATTACHMENTS: A. Red-line of proposed amendments to Section 410.01.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

Attachment A to Staff Summary

410.01 PROCUREMENT POLICIES.

(a) The Procurement Policies of the Authority are as follows:

- (1) Purpose. These Procurement Policies identify the regulations and processes that govern all Authority personnel involved in the procurement and contract administration process. The Policies cover the entire range of procurement activities and their requirements are binding on all Authority personnel.
- (2) Scope of Policy. Except as otherwise specified herein, all purchases and dispositions of equipment, materials, goods and services to or by the Authority involving either an expenditure of public funds or revenue from Authority assets whether by sale, lease, rental or otherwise shall be governed by these Policies. The general requirements herein shall apply except as altered or excluded for specific types of procurements.
- (3) Exclusions. The following activities are excluded from this Policy:
 - A. Acquisition or disposition of any rights in real property, excepting contracts with professional consultants or brokers in connection therewith;
 - B. The sale of debt securities or sale/leaseback or lease/leaseback transactions, except for contracts with professional consultants or brokers in connection therewith;
 - C. Payments for items mandated by law, regulation, or agreements other than procurement contracts entered into by the Authority;
 - D. Training, professional development and other routine administrative expenses which are specifically identified in the Authority's budget;
 - E. Purchases or reimbursement to employees for travel or similar expenses incurred on behalf of the Authority under the Authority's other policies and procedures;
 - F. The designation of eligible depositories for the Authority's active, interim and inactive funds pursuant to Ohio R.C. Chapter 135; and
 - G. Action regarding employee-related matters under the Personnel Code, excepting contracts with professional consultants in connection therewith.
- (4) Governance. As a political subdivision of the State of Ohio, the Authority is governed by the applicable portions of the Ohio Revised Code. The primary governance for procurement actions is Ohio R.C. 306.43. As a third-party grantee of the Federal government, the Authority is also governed by applicable Federal laws and

regulations. The primary Federal governance for procurement activities is FTA Circular 4220.1, as it may be amended or replaced. The FTA Best Practices Procurement Manual, Federal Acquisition Regulations and other sections of the Ohio Revised Code, while they may or may not be binding on the Authority, shall be used for guidance to the extent matters covered herein are not addressed by governing laws or regulations.

- (5) Structure of Procurement Department. The Procurement Department shall have a sufficient degree of autonomy within the Authority to function effectively as part of a check and balance system governing Authority acquisitions and dispositions.
- (6) Procurement ethics. No Authority employee, officer, agent or board member may participate in the selection, award, or administration of a contract supported with FTA assistance if that individual, his or her immediate family member, partner or an organization that employs or is about to employ any of the foregoing has a financial or other interest in a firm being considered or selected. "Immediate family" shall have the same meaning as defined in the Authority's Code of Ethics.

No Authority employee, officer, agent, or board member shall accept anything of value from a party who is doing or seeking to do business with the Authority that could have a substantial and improper influence upon that employee, officer, agent or board member, in accordance with the Ohio Revised Code and the Authority's Code of Ethics.

- (7) Organizational conflicts of interest. Procurements shall be conducted with full and open competition to the greatest extent possible. No undue geographical, economic or technical restrictions shall be imposed. A vendor deemed to possess an unfair competitive advantage or to possess a bias preventing it from impartially performing the work or services on behalf of the Authority shall be precluded from award of contracts.
- (8) Delegations of Authority.

- A. The Board hereby delegates to the ~~CEO, General Manager/Secretary-Treasurer~~General Manager, Chief Executive Officer of the Authority the following responsibilities:
 - 1. Authorize purchases, sales, dispositions, and other procurement actions which, by Federal or State requirements, do not require formal solicitation or approval by the Board;
 - 2. Approve all funding encumbrances and authorizations to proceed with solicitation for acquisitions and dispositions of goods, equipment, and services for or by the Authority;
 - 3. Upon authorization by the Board, execute contracts

exceeding one hundred thousand dollars (\$100,000) in value;

4. Terminate any contract if deemed to be in the best interest of the Authority;
 5. Approve change orders that extend the term and/or increase the price of contracts not exceeding one hundred thousand dollars (\$100,000), in a net amount that does not cause the total amount of any such contract to exceed one hundred thousand dollars (\$100,000), and approve change orders on other contracts in a net amount not to exceed the following limits: one hundred thousand dollars (\$100,000) for contracts up to one million dollars (\$1,000,000); the lesser of ten percent or two hundred fifty thousand dollars (\$250,000) for contracts between one million dollars (\$1,000,000) and five million dollars (\$5,000,000); and five hundred thousand dollars (\$500,000) for contracts over five million dollars (\$5,000,000). The Board may, by resolution, vary these limits for particular contracts or projects; and
 6. Re-delegate any of the powers granted herein, provided, however, that the ~~CEO, General Manager/Secretary-Treasurer~~General Manager, Chief Executive Officer shall retain responsibility for all actions taken as a result of re-delegation of authority hereunder.
- B. The Board hereby delegates to the ~~CEO, General Manager/Secretary-Treasurer~~General Manager, Chief Executive Officer and the Authority's Director of Procurement the following responsibilities:
1. Authorize all purchases, sales, dispositions and other procurement actions which, by Federal or State requirements or these Procurement Policies, do not require formal solicitation or approval by the Board;
 2. Execute contracts not exceeding one hundred thousand dollars (\$100,000) in value or otherwise requiring Board approval;
 3. Advertise for procurements as required by law or regulation or when otherwise deemed to be in the best interest of the Authority;
 4. Cancel procurements;
 5. Determine the lowest responsive and responsible bidder;
 6. Determine when acceptance of an offer resulting from a negotiated proposal is in the best interest of the Authority;
 7. Reject any or all bids or proposals when it is determined to be in the best interest of the Authority;

8. Determine whether an exception to competition is applicable, in accordance with Ohio R.C. 306.43;
 9. Review, respond to, negotiate and settle protests, claims and disputes related to procurement actions or contracts; and
 10. Re-delegate any of the powers granted herein, provided, however, that the ~~CEO, General Manager/Secretary-Treasurer~~General Manager, Chief Executive Officer shall retain responsibility for all actions taken as a result of re-delegation of authority hereunder.
- (9) Procurement planning, specifications, and scopes of services. The initiating department shall be responsible for identifying and justifying its procurement needs, as well as preparing specifications and/or a scope of services for the Procurement Department. Specifications should identify the Authority's minimum needs and avoid restrictive provisions such as identification of name brands to the greatest extent possible. Specifications must be approved by the head of the initiating department, the Safety Department, when applicable, the Director of Procurement, and any others designated by the Director of Procurement, prior to issuance. The initiating department is also responsible for preparing an estimate of the cost of the item or service.
 - (10) Disadvantaged Business Enterprises. The Authority shall work to ensure the participation of Disadvantaged Business Enterprises (DBEs) in its procurements, as well as contractor compliance with all applicable laws and regulations. The Authority shall use its best efforts to encourage fair and representative participation of DBEs on procurements, either as prime contractors or as subcontractors. Moreover, the Authority shall determine the opportunity for DBE participation on all procurements in the amount of twenty-five thousand dollars (\$25,000) or more and, where qualified DBEs are identified, solicitations for such procurements shall include at least one DBE among the contractors solicited.
 - (11) Debarment. The Authority shall not award contracts to firms that are debarred by the State or Federal governments. Debarment by other government entities may be considered in determining a contractor's responsibility but shall not necessarily preclude award to the debarred vendor.
 - (12) Change orders. Change orders are contract amendments altering the scope, price or schedule of the original contract. Approval of change orders shall be in accordance with the delegations of authority prescribed in this chapter. No work under a change order may be authorized prior to such approval, except upon the written direction

of the ~~CEO, General Manager/Secretary-Treasurer~~General Manager, Chief Executive Officer. Change orders determined to be cardinal changes to the contract are prohibited. Cardinal changes are changes which alter the essential nature, quantity or scope beyond the reasonable contemplation of the parties at the time of contract execution, or which result in a final product substantially different than that for which the Authority originally contracted.

- (13) Protests, claims and disputes. The Authority shall review, process, and adjudicate procurement protests, claims, and disputes in the manner prescribed by applicable Federal and/or State regulations. The Authority's procedure for handling protests, claims, and disputes shall be contained in the Authority's Procurement Manual and made available to vendors upon request.
- (14) Procurement types for formal contracts. For formal contracts (those for which the Board has not delegated its purchasing authority and any others so designated by the ~~CEO, General Manager/ Secretary-Treasurer~~General Manager, Chief Executive Officer), the Authority shall procure and dispose of personal property as prescribed by applicable State and Federal laws and regulations. The Authority may use any type of contract that is consistent with applicable laws and regulations.
- (15) Small purchases. Purchases reasonably estimated not to exceed the small purchase limit of one hundred thousand dollars (\$100,000) may be procured informally, provided that a record is maintained consistent with applicable laws and regulations. Price or rate quotations shall be obtained from an adequate number of qualified sources to permit a reasonable comparison consistent with the nature of competitive negotiation within the given circumstances.
- (16) Contract pricing. The Authority may utilize firm fixed price or fixed unit price not-to-exceed contracts for sealed bid procurements as well as negotiated procurements. Such contracts may allow price escalation based on actual costs or a generally recognized index, provided that the escalator has a defined upper limit. The Authority may also use a cost plus fixed fee contract or any other type of contract permitted by applicable laws or regulations; however, for negotiated procurements, it may not use a cost plus percentage fee type of contract. The Authority may use indefinite quantity or indefinite delivery contracts where appropriate but may not use an indefinite quantity and indefinite delivery contract. The Authority may use a time and materials type of contract only if no other form is suitable and if the contract contains a not-to-exceed ceiling. The Authority may utilize progress payments to the extent consistent with law and regulation, provided that it obtains or secures value consistent with the amount paid. The

Authority shall not make advance payments unless specifically authorized by the Director of Procurement or the ~~CEO, General Manager/Secretary-Treasurer~~ General Manager, Chief Executive Officer.

- (17) Sealed bid procurements. The Director of Procurement may direct that sealed bids be invited. If less than two responsive bids are received for a sealed bid solicitation, the Director of Procurement, in this single bid situation, may either (1) negotiate price with the responsive bidder or (2) cancel the solicitation.
- (18) Competitive negotiated procurements. The Director of Procurement may direct that competitive negotiated proposals be solicited. Competitive negotiated procurements must be conducted in a manner that preserves the integrity of the procurement process. To the extent permitted by law, regulation or court decision, the evaluation of proposals shall be conducted in a confidential manner, and all confidential or proprietary information shall be held confidential.
- (19) Two-step procurements. The Director of Procurement may direct that a two-step procurement process be utilized. This process shall consist of a negotiated technical proposal followed by a sealed bid from those firms whose technical proposals are acceptable.
- (20) Non-competitive procurements. The Director of Procurement may prescribe that a non-competitive procurement is justified based on applicable laws and regulations. If any such procurement exceeds the small purchase authority prescribed herein, Board approval is required. Contracts entered into on the basis of a non-competitive procurement must be in the best interest of the Authority, and the price shall be fair and reasonable, as determined by the Director of Procurement.
- (21) Design services. Architectural and engineering services, value engineering services, and incidental services related thereto, as defined in 40 U.S.C. Sec. 541 et seq. (Brooks Act), shall be conducted as negotiated procurements, except that selection shall be made of the most technically qualified firm without consideration of price. Price shall be negotiated with the selected firm. If agreement cannot be reached with the most qualified firm, the process is repeated with the second most qualified firm, continuing until agreement is reached, or the pool of technically acceptable firms is exhausted, at which point the procurement must be cancelled.
- (22) Design/build services. To the extent permitted by law and regulation, the Authority may solicit the services of a single firm or entity to both design and build a construction project. Such solicitations shall be conducted in the manner prescribed by the Ohio Revised Code. If such procedure violates the provisions of Federal law or regulation, design build services may not be used in projects involving Federal

funds.

- (23) Construction services. Solicitations for construction, improvement or repair of facilities shall be conducted as sealed bid procurements. To the extent required by law or regulation, solicitations shall require that the award shall be made to the lowest responsive bid from a responsible bidder. The contractor must conform to the prevailing wage requirements defined in Ohio R.C. Chapter 4115 if no Federal funds are involved or the Davis-Bacon Act (40 U.S.C. 276a et seq.) and the Copeland Act (40 U.S.C. 276c et seq.) if Federal funds are involved.
- (24) Incentive payments and liquidated damages. The Authority may, under appropriate circumstances, utilize incentives to encourage extraordinary vendor performance or, alternately, provide for liquidated damages to protect the Authority from losses due to vendor nonperformance. It may not impose financial penalties that are punitive in nature. Liquidated damages must be calculated and documented prior to the issuance of the solicitation on the basis of the anticipated actual loss to the Authority resulting from vendor non-performance.
- (25) Bid guaranty. A bid guaranty shall be required for all contracts for construction, alteration, or repair of any public building or other public improvement in the manner described by the Ohio Revised Code. The Authority may require a bid, performance and/or payment guaranty when it is determined, by the Director of Procurement, to be in the Authority's best interest. The bid guaranty shall be required as directed by the Director of Procurement, upon the advice of the Risk Management Department. The Director of Procurement may authorize the reduction of any bond supplied for the rendering of services or the supplying of materials to the extent permitted by the Ohio Revised Code.
- (26) Rolling stock. The Authority may procure rolling stock by either negotiated procurement or sealed bid. Rolling stock shall be defined to include transit vehicles such as buses, vans, cars, railcars, locomotives, trolley cars and buses, and ferry boats, as well as vehicles used for support services. Solicitations for rolling stock shall require compliance with all applicable standards regarding dimensions, weight, accessibility and safety. Unless specifically authorized by the ~~CEO, General Manager/Secretary-Treasurer~~ General Manager, Chief Executive Officer, the Authority shall not procure rolling stock that has not been tested in accordance with the Code of Federal Regulations, as applicable. The Authority shall conduct pre-award and post-delivery audits as required by the Code of Federal Regulations.
- (27) Electronic procurements and purchasing cards. The Authority may

utilize electronic means, to the extent consistent with legal and regulatory requirements, to conduct any procurement defined herein. Employees and officers of the Authority designated by the Board may use purchasing (credit) cards.

- (28) Disposition of property. The Authority may sell personal property, including capital equipment and surplus or obsolete parts and supplies, by sealed bid, public auction or online auction. The Authority may otherwise dispose of property in accordance with applicable laws and regulations. Trade-in of used equipment towards the purchase of new equipment shall also be permitted. Sale of capital assets whose fair market value is estimated to exceed the small purchase limit shall be authorized by the Board. Sale of Federally funded capital assets that have not exceeded their useful life as established by the Federal Transit Administration shall be authorized by the Board.

(Res. 2017-102. Passed 11-21-17.)

RESOLUTION NO. 2022-57

AMENDING SECTION 410.01 PROCUREMENT POLICIES OF THE CODIFIED
RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL
TRANSIT AUTHORITY

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Authority codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that Section 410.01 should be updated to delegate to the General Manager, Chief Executive Officer the power to extend the terms of contracts within the General Manager, Chief Executive Officer's dollar change order authority.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 410.01, Procurement Policies is hereby amended to read as specified in Attachment A hereto.

Section 2. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 3. That this resolution shall become effective immediately upon its adoption.

Attachment: A. Section 410.01

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer

Attachment A to Resolution

410.01 PROCUREMENT POLICIES.

(a) The Procurement Policies of the Authority are as follows:

- (1) Purpose. These Procurement Policies identify the regulations and processes that govern all Authority personnel involved in the procurement and contract administration process. The Policies cover the entire range of procurement activities and their requirements are binding on all Authority personnel.
- (2) Scope of Policy. Except as otherwise specified herein, all purchases and dispositions of equipment, materials, goods and services to or by the Authority involving either an expenditure of public funds or revenue from Authority assets whether by sale, lease, rental or otherwise shall be governed by these Policies. The general requirements herein shall apply except as altered or excluded for specific types of procurements.
- (3) Exclusions. The following activities are excluded from this Policy:
 - A. Acquisition or disposition of any rights in real property, excepting contracts with professional consultants or brokers in connection therewith;
 - B. The sale of debt securities or sale/leaseback or lease/leaseback transactions, except for contracts with professional consultants or brokers in connection therewith;
 - C. Payments for items mandated by law, regulation, or agreements other than procurement contracts entered into by the Authority;
 - D. Training, professional development and other routine administrative expenses which are specifically identified in the Authority's budget;
 - E. Purchases or reimbursement to employees for travel or similar expenses incurred on behalf of the Authority under the Authority's other policies and procedures;
 - F. The designation of eligible depositories for the Authority's active, interim and inactive funds pursuant to Ohio R.C. Chapter 135; and
 - G. Action regarding employee-related matters under the Personnel Code, excepting contracts with professional consultants in connection therewith.
- (4) Governance. As a political subdivision of the State of Ohio, the Authority is governed by the applicable portions of the Ohio Revised Code. The primary governance for procurement actions is Ohio R.C. 306.43. As a third-party grantee of the Federal government, the Authority is also governed by applicable Federal laws and

regulations. The primary Federal governance for procurement activities is FTA Circular 4220.1, as it may be amended or replaced. The FTA Best Practices Procurement Manual, Federal Acquisition Regulations and other sections of the Ohio Revised Code, while they may or may not be binding on the Authority, shall be used for guidance to the extent matters covered herein are not addressed by governing laws or regulations.

- (5) Structure of Procurement Department. The Procurement Department shall have a sufficient degree of autonomy within the Authority to function effectively as part of a check and balance system governing Authority acquisitions and dispositions.
- (6) Procurement ethics. No Authority employee, officer, agent or board member may participate in the selection, award, or administration of a contract supported with FTA assistance if that individual, his or her immediate family member, partner or an organization that employs or is about to employ any of the foregoing has a financial or other interest in a firm being considered or selected. "Immediate family" shall have the same meaning as defined in the Authority's Code of Ethics.

No Authority employee, officer, agent, or board member shall accept anything of value from a party who is doing or seeking to do business with the Authority that could have a substantial and improper influence upon that employee, officer, agent or board member, in accordance with the Ohio Revised Code and the Authority's Code of Ethics.

- (7) Organizational conflicts of interest. Procurements shall be conducted with full and open competition to the greatest extent possible. No undue geographical, economic or technical restrictions shall be imposed. A vendor deemed to possess an unfair competitive advantage or to possess a bias preventing it from impartially performing the work or services on behalf of the Authority shall be precluded from award of contracts.
- (8) Delegations of Authority.
 - A. The Board hereby delegates to the General Manager, Chief Executive Officer of the Authority the following responsibilities:
 - 1. Authorize purchases, sales, dispositions, and other procurement actions which, by Federal or State requirements, do not require formal solicitation or approval by the Board;
 - 2. Approve all funding encumbrances and authorizations to proceed with solicitation for acquisitions and dispositions of goods, equipment, and services for or by the Authority;
 - 3. Upon authorization by the Board, execute contracts exceeding one hundred thousand dollars (\$100,000) in

- value;
4. Terminate any contract if deemed to be in the best interest of the Authority;
 5. Approve change orders that extend the term and/or increase the price of contracts not exceeding one hundred thousand dollars (\$100,000), in a net amount that does not cause the total amount of any such contract to exceed one hundred thousand dollars (\$100,000), and approve change orders on other contracts in a net amount not to exceed the following limits: one hundred thousand dollars (\$100,000) for contracts up to one million dollars (\$1,000,000); the lesser of ten percent or two hundred fifty thousand dollars (\$250,000) for contracts between one million dollars (\$1,000,000) and five million dollars (\$5,000,000); and five hundred thousand dollars (\$500,000) for contracts over five million dollars (\$5,000,000). The Board may, by resolution, vary these limits for particular contracts or projects; and
 6. Re-delegate any of the powers granted herein, provided, however, that the General Manager, Chief Executive Officer shall retain responsibility for all actions taken as a result of re-delegation of authority hereunder.
- B. The Board hereby delegates to the General Manager, Chief Executive Officer and the Authority's Director of Procurement the following responsibilities:
1. Authorize all purchases, sales, dispositions and other procurement actions which, by Federal or State requirements or these Procurement Policies, do not require formal solicitation or approval by the Board;
 2. Execute contracts not exceeding one hundred thousand dollars (\$100,000) in value or otherwise requiring Board approval;
 3. Advertise for procurements as required by law or regulation or when otherwise deemed to be in the best interest of the Authority;
 4. Cancel procurements;
 5. Determine the lowest responsive and responsible bidder;
 6. Determine when acceptance of an offer resulting from a negotiated proposal is in the best interest of the Authority;
 7. Reject any or all bids or proposals when it is determined to be in the best interest of the Authority;
 8. Determine whether an exception to competition is applicable, in accordance with Ohio R.C. 306.43;
 9. Review, respond to, negotiate and settle protests, claims

and disputes related to procurement actions or contracts;
and

10. Re-delegate any of the powers granted herein, provided, however, that the General Manager, Chief Executive Officer shall retain responsibility for all actions taken as a result of re-delegation of authority hereunder.

- (9) Procurement planning, specifications, and scopes of services. The initiating department shall be responsible for identifying and justifying its procurement needs, as well as preparing specifications and/or a scope of services for the Procurement Department. Specifications should identify the Authority's minimum needs and avoid restrictive provisions such as identification of name brands to the greatest extent possible. Specifications must be approved by the head of the initiating department, the Safety Department, when applicable, the Director of Procurement, and any others designated by the Director of Procurement, prior to issuance. The initiating department is also responsible for preparing an estimate of the cost of the item or service.
- (10) Disadvantaged Business Enterprises. The Authority shall work to ensure the participation of Disadvantaged Business Enterprises (DBEs) in its procurements, as well as contractor compliance with all applicable laws and regulations. The Authority shall use its best efforts to encourage fair and representative participation of DBEs on procurements, either as prime contractors or as subcontractors. Moreover, the Authority shall determine the opportunity for DBE participation on all procurements in the amount of twenty-five thousand dollars (\$25,000) or more and, where qualified DBEs are identified, solicitations for such procurements shall include at least one DBE among the contractors solicited.
- (11) Debarment. The Authority shall not award contracts to firms that are debarred by the State or Federal governments. Debarment by other government entities may be considered in determining a contractor's responsibility but shall not necessarily preclude award to the debarred vendor.
- (12) Change orders. Change orders are contract amendments altering the scope, price or schedule of the original contract. Approval of change orders shall be in accordance with the delegations of authority prescribed in this chapter. No work under a change order may be authorized prior to such approval, except upon the written direction of the General Manager, Chief Executive Officer. Change orders determined to be cardinal changes to the contract are prohibited. Cardinal changes are changes which alter the essential nature, quantity or scope beyond the reasonable contemplation of the parties

at the time of contract execution, or which result in a final product substantially different than that for which the Authority originally contracted.

- (13) Protests, claims and disputes. The Authority shall review, process, and adjudicate procurement protests, claims, and disputes in the manner prescribed by applicable Federal and/or State regulations. The Authority's procedure for handling protests, claims, and disputes shall be contained in the Authority's Procurement Manual and made available to vendors upon request.
- (14) Procurement types for formal contracts. For formal contracts (those for which the Board has not delegated its purchasing authority and any others so designated by the General Manager, Chief Executive Officer), the Authority shall procure and dispose of personal property as prescribed by applicable State and Federal laws and regulations. The Authority may use any type of contract that is consistent with applicable laws and regulations.
- (15) Small purchases. Purchases reasonably estimated not to exceed the small purchase limit of one hundred thousand dollars (\$100,000) may be procured informally, provided that a record is maintained consistent with applicable laws and regulations. Price or rate quotations shall be obtained from an adequate number of qualified sources to permit a reasonable comparison consistent with the nature of competitive negotiation within the given circumstances.
- (16) Contract pricing. The Authority may utilize firm fixed price or fixed unit price not-to-exceed contracts for sealed bid procurements as well as negotiated procurements. Such contracts may allow price escalation based on actual costs or a generally recognized index, provided that the escalator has a defined upper limit. The Authority may also use a cost plus fixed fee contract or any other type of contract permitted by applicable laws or regulations; however, for negotiated procurements, it may not use a cost plus percentage fee type of contract. The Authority may use indefinite quantity or indefinite delivery contracts where appropriate but may not use an indefinite quantity and indefinite delivery contract. The Authority may use a time and materials type of contract only if no other form is suitable and if the contract contains a not-to-exceed ceiling. The Authority may utilize progress payments to the extent consistent with law and regulation, provided that it obtains or secures value consistent with the amount paid. The Authority shall not make advance payments unless specifically authorized by the Director of Procurement or the General Manager, Chief Executive Officer.
- (17) Sealed bid procurements. The Director of Procurement may direct that sealed bids be invited. If less than two responsive bids are

received for a sealed bid solicitation, the Director of Procurement, in this single bid situation, may either (1) negotiate price with the responsive bidder or (2) cancel the solicitation.

- (18) Competitive negotiated procurements. The Director of Procurement may direct that competitive negotiated proposals be solicited. Competitive negotiated procurements must be conducted in a manner that preserves the integrity of the procurement process. To the extent permitted by law, regulation or court decision, the evaluation of proposals shall be conducted in a confidential manner, and all confidential or proprietary information shall be held confidential.
- (19) Two-step procurements. The Director of Procurement may direct that a two-step procurement process be utilized. This process shall consist of a negotiated technical proposal followed by a sealed bid from those firms whose technical proposals are acceptable.
- (20) Non-competitive procurements. The Director of Procurement may prescribe that a non-competitive procurement is justified based on applicable laws and regulations. If any such procurement exceeds the small purchase authority prescribed herein, Board approval is required. Contracts entered into on the basis of a non-competitive procurement must be in the best interest of the Authority, and the price shall be fair and reasonable, as determined by the Director of Procurement.
- (21) Design services. Architectural and engineering services, value engineering services, and incidental services related thereto, as defined in 40 U.S.C. Sec. 541 et seq. (Brooks Act), shall be conducted as negotiated procurements, except that selection shall be made of the most technically qualified firm without consideration of price. Price shall be negotiated with the selected firm. If agreement cannot be reached with the most qualified firm, the process is repeated with the second most qualified firm, continuing until agreement is reached, or the pool of technically acceptable firms is exhausted, at which point the procurement must be cancelled.
- (22) Design/build services. To the extent permitted by law and regulation, the Authority may solicit the services of a single firm or entity to both design and build a construction project. Such solicitations shall be conducted in the manner prescribed by the Ohio Revised Code. If such procedure violates the provisions of Federal law or regulation, design build services may not be used in projects involving Federal funds.
- (23) Construction services. Solicitations for construction, improvement or repair of facilities shall be conducted as sealed bid procurements. To the extent required by law or regulation, solicitations shall require that the award shall be made to the lowest responsive bid from a responsible bidder. The contractor must conform to the prevailing

wage requirements defined in Ohio R.C. Chapter 4115 if no Federal funds are involved or the Davis-Bacon Act (40 U.S.C. 276a et seq.) and the Copeland Act (40 U.S.C. 276c et seq.) if Federal funds are involved.

- (24) Incentive payments and liquidated damages. The Authority may, under appropriate circumstances, utilize incentives to encourage extraordinary vendor performance or, alternately, provide for liquidated damages to protect the Authority from losses due to vendor nonperformance. It may not impose financial penalties that are punitive in nature. Liquidated damages must be calculated and documented prior to the issuance of the solicitation on the basis of the anticipated actual loss to the Authority resulting from vendor non-performance.
- (25) Bid guaranty. A bid guaranty shall be required for all contracts for construction, alteration, or repair of any public building or other public improvement in the manner described by the Ohio Revised Code. The Authority may require a bid, performance and/or payment guaranty when it is determined, by the Director of Procurement, to be in the Authority's best interest. The bid guaranty shall be required as directed by the Director of Procurement, upon the advice of the Risk Management Department. The Director of Procurement may authorize the reduction of any bond supplied for the rendering of services or the supplying of materials to the extent permitted by the Ohio Revised Code.
- (26) Rolling stock. The Authority may procure rolling stock by either negotiated procurement or sealed bid. Rolling stock shall be defined to include transit vehicles such as buses, vans, cars, railcars, locomotives, trolley cars and buses, and ferry boats, as well as vehicles used for support services. Solicitations for rolling stock shall require compliance with all applicable standards regarding dimensions, weight, accessibility and safety. Unless specifically authorized by the General Manager, Chief Executive Officer, the Authority shall not procure rolling stock that has not been tested in accordance with the Code of Federal Regulations, as applicable. The Authority shall conduct pre-award and post-delivery audits as required by the Code of Federal Regulations.
- (27) Electronic procurements and purchasing cards. The Authority may utilize electronic means, to the extent consistent with legal and regulatory requirements, to conduct any procurement defined herein. Employees and officers of the Authority designated by the Board may use purchasing (credit) cards.
- (28) Disposition of property. The Authority may sell personal property, including capital equipment and surplus or obsolete parts and supplies, by sealed bid, public auction or online auction. The

Authority may otherwise dispose of property in accordance with applicable laws and regulations. Trade-in of used equipment towards the purchase of new equipment shall also be permitted. Sale of capital assets whose fair market value is estimated to exceed the small purchase limit shall be authorized by the Board. Sale of Federally funded capital assets that have not exceeded their useful life as established by the Federal Transit Administration shall be authorized by the Board.

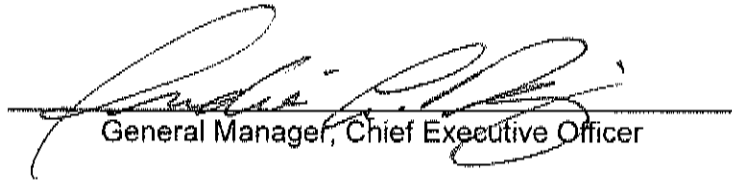
(Res. 2017-102. Passed 11-21-17.)



TITLE/DESCRIPTION: AMENDING SECTIONS 640.04 OF THE CODIFIED RULES AND REGULATIONS AND 100.04 OF THE PERSONNEL POLICIES EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY; REPEALING SECTION 628.06 SERVICE RECOGNITION PROGRAM OF THE CODIFIED RULES AND REGULATIONS; AND REMOVING SECTIONS 644.15 SERVICE RECOGNITION PROGRAM AND 640.01 DISCLAIMER OF THE CODIFIED RULES AND REGULATIONS AS DUPLICATES OF PERSONNEL POLICIES SECTIONS 400.15 SERVICE RECOGNITION PROGRAM AND 100.01 DISCLAIMER	Resolution No.: 2022-58
	Date: June 23, 2022
	Initiator: Human Resources
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will (1) amend Sections 640.04 of the Codified Rules and Regulations ("Code") and 100.04 of the Personnel Policies, Employee Responsibility for GCRTA Property; (2) repeal Section 628.06 Service Recognition Program of the Code; and (3) remove Sections 644.15 Service Recognition Program and 640.01 Disclaimer from the Code as duplicates of Personnel Policies Sections 400.15 Service Recognition Program and 100.01 Disclaimer.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is now undergoing a comprehensive review and update so that the Code will conform to the current structure and operations of the Authority. The proposed amendment will (1) add language to the policies regarding employee responsibility for GCRTA property that advises employees that violation of these policies may also violate state criminal statutes; (2) repeal an obsolete provision related to service recognition; and (3) remove two policies from the Code that duplicate policies that are already contained in the Personnel Policies.
- 3.0 **PROCUREMENT BACKGROUND:** Does not apply.
- 4.0 **DBE/AFFIRMATIVE ACTION BACKGROUND:** Does not apply.
- 5.0 **POLICY IMPACT:** Adoption of this resolution will bring the Code up to date with current practices.
- 6.0 **ECONOMIC IMPACT:** Does not apply.
- 7.0 **ALTERNATIVES:** Not adopting this resolution. Not adopting this resolution would result in a policy that remains out of date.
- 8.0 **RECOMMENDATION:** This resolution was discussed at the June 14, 2022 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 **ATTACHMENTS:**
 - A. Red-line of proposed amendments to Code Book Section 640.04
 - B. Red-line of proposed amendments to Personnel Policies Section 100.04
 - C. Red-line of proposed repeal of Code Book Section 628.06
 - D. Red-line of proposed removal of Code Book Section 644.15
 - E. Red-line of proposed removal of Code Book Section 640.01

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

Attachment A to Staff Summary

640.04 EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY.

(a) GCRTA property and facilities are public property purchased with public funds and are intended solely for use in furtherance of the Authority's operations. No GCRTA employee is authorized to lend, borrow, take, use, sell, or otherwise dispose of GCRTA property or facilities for personal or private benefit or gain. GCRTA property or facilities include but are not limited to: all equipment both revenue and non-revenue; tools; machinery; materials and supplies, office supplies, furniture; computers; fax machines; copy machines; printers; phones; offices; mail room and mail services; electronic devices such as cell phones, iPads, pagers, etc; and scrapped items.

(b) Any employee found loaning, borrowing, taking, ~~or using,~~ selling or otherwise disposing of GCRTA property, ~~equipment,~~ or facilities for his/her own personal or ~~other~~ private use/benefit or gain, ~~and any employee found misappropriating or misusing GCRTA property,~~ will be subject to disciplinary action, up to and including termination.

(c) Any employee found misappropriating or misusing GCRTA property will be subject to disciplinary action, up to and including termination.

~~(b)~~(d) All employees are hereby placed on notice that violation of this policy may also violate criminal statutes of the State of Ohio and that such violations may be reported to the appropriate law enforcement agency(ies).

(Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13. Res. 2022-XXX. Passed XX-XX-22.)

Attachment B to Staff Summary

100.04 EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY

GCRTA property and facilities are public property purchased with public funds and are intended solely for use in furtherance of the Authority's operations. No GCRTA employee is authorized to lend, borrow, take, use, sell, or otherwise dispose of GCRTA property or facilities for personal or private benefit or gain. GCRTA property or facilities include but are not limited to: all equipment, both revenue and non-revenue; tools; machinery; materials and supplies, office supplies; furniture; computers; fax machines; copy machines; printers; phones; offices; mail room and mail services; electronic devices such as cell phones, iPads, pagers, etc., and scrapped items.

Any employee found loaning, borrowing, taking, ~~or using~~, selling or otherwise disposing of GCRTA property, ~~equipment~~, or facilities for ~~his/her own~~ personal or ~~other~~ private use benefit or gain, ~~and any employee found misappropriating or misusing GCRTA property~~, will be subject to disciplinary action, up to and including termination.

Any employee found misappropriating or misusing GCRTA property will be subject to disciplinary action, up to and including termination.

All employees are hereby placed on notice that violation of this policy may also violate criminal statutes of the State of Ohio and that such violations may be reported to the appropriate law enforcement agency(ies).
(Ref. Code Book Section 640.04)

Attachment C to Staff Summary

~~628.06 SERVICE RECOGNITION PROGRAM.~~

~~(a) The service recognition program, providing awards as herein stated, is hereby adopted and made a policy of the Authority.~~

~~(b) Awards shall be made as follows:~~

- ~~(1) After ten years of employment, a certificate will be presented to the employee at his or her worksite with the department director and the employee's immediate supervisor in attendance.~~
- ~~(2) After fifteen years of employment, a certificate will be framed and it will be presented to the employee at his or her worksite by the division manager and the employee's department director in attendance.~~
- ~~(3) After twenty years of employment, a bronze-plated plaque will be presented to the employee in the General Manager/Secretary-Treasurer's office, with the department director and division manager in attendance.~~
- ~~(4) After twenty-five years of employment, a silver-plated plaque will be presented by the General Manager/Secretary-Treasurer and the President of the Board of Trustees at a regular Board meeting.~~
- ~~(5) After thirty years of employment, the procedure is the same as in paragraph (b)(4) hereof, but a gold-plated plaque will be presented. (Res. 1978-92. Passed 4-11-78.)~~

Attachment D to Staff Summary

~~644.15 — SERVICE RECOGNITION PROGRAM.~~

~~To commemorate an employee's anniversary date with a token of thanks for their service and commitment to the Authority, the Greater Cleveland Regional Transit Authority presents distinctive graduating lapel pins to its employees for years of service at five year increments (5, 10, 15, 20, 25, 30, 35 and 40). (Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13.)~~

Attachment E to Staff Summary

~~640.01 DISCLAIMER.~~

~~(a) The policies set forth and adopted within this Manual supersede previous written and unwritten Greater Cleveland Regional Transit Authority ("GCRTA" or "Authority") personnel policies. In the event of a conflict between the policies and procedures set forth in this Manual and the provisions set forth in the Authority's Collective Bargaining Agreements that exist between the GCRTA and the FOP and ATU respectively, the provisions set forth in the Collective Bargaining Agreements shall prevail for those employees covered under such agreements. In the event of any conflict between the GCRTA Bylaws and the policies set forth in this Manual, the Bylaws shall prevail.~~

~~(b) This Manual covers only those policies relating to personnel management. The policies in this Manual are not intended to replace other operating manual policies issued to direct, organize, or implement specific business operations.~~

~~(c) The policies and procedures in this Manual are not intended to be and shall not be considered contractual commitments of any kind by the Authority. They are intended to be guidelines to managers. The Authority expressly reserves the right to modify, suspend, revoke, change or supplement these policies at any time and issue new policies that are in the interest of the Authority. No policy is intended as a guarantee of continuity of benefits or rights. All non-bargaining employees at GCRTA are at will, and may be terminated with or without cause.~~

~~(d) In the event of any conflicts between applicable Federal, State or local laws, rules and regulations applicable to the GCRTA, the Federal, State and local laws, rules and regulations shall prevail.~~

~~(Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13.)~~

RESOLUTION NO. 2022-58

AMENDING SECTION 640.04 OF THE CODIFIED RULES AND REGULATIONS AND SECTION 100.04 OF THE PERSONNEL POLICIES EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY; REPEALING SECTION 628.06 SERVICE RECOGNITION PROGRAM OF THE CODIFIED RULES AND REGULATIONS; AND REMOVING SECTIONS 644.15 SERVICE RECOGNITION PROGRAM AND 640.01 DISCLAIMER OF THE CODIFIED RULES AND REGULATIONS AS DUPLICATES OF PERSONNEL POLICIES SECTIONS 400.15 SERVICE RECOGNITION PROGRAM AND 100.01 DISCLAIMER

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Authority codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that (1) Sections 640.04 of the Codified Rules and Regulations ("Code") and 100.04 of the Personnel Policies, Employee Responsibility for GCRTA Property should be amended; (2) Section 628.06 Service Recognition Program of the Code should be repealed; and (3) Sections 644.15 Service Recognition Program and 640.01 Disclaimer should be removed from the Code as duplicates of Personnel Policies Sections 400.15 Service Recognition Program and 100.01 Disclaimer.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 640.04 Employee Responsibility for GCRTA Property of the Codified Rules and Regulations ("Code") is hereby amended to read as specified in Attachment A hereto.

Section 2. That Section 100.04 Employee Responsibility for GCRTA Property of the Personnel Policies is hereby amended to read as specified in Attachment B hereto.

Section 3. That Section 628.06 Service Recognition Program of the Code is hereby repealed in its entirety.

Section 4. That Sections 644.15 Service Recognition Program and 640.01 Disclaimer are hereby removed from the Code and retained as sections 400.15 and 100.01 of the Personnel Policies.

Section 5. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 6. That this resolution shall become effective immediately upon its adoption.

Attachments: A. Code Book Section 640.04 Employee Responsibility for GCRTA Property
B. Personnel Policy Section 100.04 Employee Responsibility for GCRTA Property

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer

Attachment A to Resolution

640.04 EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY.

(a) GCRTA property and facilities are public property purchased with public funds and are intended solely for use in furtherance of the Authority's operations. No GCRTA employee is authorized to lend, borrow, take, use, sell, or otherwise dispose of GCRTA property or facilities for personal or private benefit or gain. GCRTA property or facilities include but are not limited to: all equipment both revenue and non-revenue; tools; machinery; materials and supplies, office supplies, furniture; computers; fax machines; copy machines; printers; phones; offices; mail room and mail services; electronic devices such as cell phones, iPads, pagers, etc; and scrapped items.

(b) Any employee found loaning, borrowing, taking, using, selling or otherwise disposing of GCRTA property or facilities for personal or private benefit or gain will be subject to disciplinary action, up to and including termination.

(c) Any employee found misappropriating or misusing GCRTA property will be subject to disciplinary action, up to and including termination.

(d) All employees are hereby placed on notice that violation of this policy may also violate criminal statutes of the State of Ohio and that such violations may be reported to the appropriate law enforcement agency(ies).

(Res. 2001-119. Passed 8-21-01; Res. 2013-95. Passed 9-17-13. Res. 2022-XXX. Passed XX-XX-22.)

Attachment B to Resolution

100.04 EMPLOYEE RESPONSIBILITY FOR GCRTA PROPERTY

GCRTA property and facilities are public property purchased with public funds and are intended solely for use in furtherance of the Authority's operations. No GCRTA employee is authorized to lend, borrow, take, use, sell, or otherwise dispose of GCRTA property or facilities for personal or private benefit or gain. GCRTA property or facilities include but are not limited to: all equipment, both revenue and non-revenue; tools; machinery; materials and supplies, office supplies; furniture; computers; fax machines; copy machines; printers; phones; offices; mail room and mail services; electronic devices such as cell phones, iPads, pagers, etc., and scrapped items.

Any employee found loaning, borrowing, taking, using, selling or otherwise disposing of GCRTA property or facilities for personal or private benefit or gain will be subject to disciplinary action, up to and including termination.

Any employee found misappropriating or misusing GCRTA property will be subject to disciplinary action, up to and including termination.

All employees are hereby placed on notice that violation of this policy may also violate criminal statutes of the State of Ohio and that such violations may be reported to the appropriate law enforcement agency(ies).

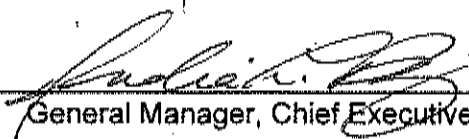
(Ref. Code Book Section 640.04)



TITLE/DESCRIPTION: AMENDING SECTION 640.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA OF THE CODIFIED RULES AND REGULATIONS AND SECTION 100.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA OF THE PERSONNEL POLICIES OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY	Resolution No.: 2022-59 Date: June 23, 2022 Initiator: Administration & External Affairs
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This resolution will amend Section 640.05 Employee Communications with the Media of the Codified Rules and Regulations ("Code") and Section 100.05 of the Personnel Policies of the Greater Cleveland Regional Transit Authority.
- 2.0 **DESCRIPTION/JUSTIFICATION:** The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is now undergoing a comprehensive review and update so that the Code will conform to the current structure and operations of the Authority. The proposed amendment will update the policies to replace "Office of Media Relations" with "Public Information Officer."
- 3.0 **PROCUREMENT BACKGROUND:** Does not apply.
- 4.0 **DBE/AFFIRMATIVE ACTION BACKGROUND:** Does not apply.
- 5.0 **POLICY IMPACT:** Adoption of the resolution will update the policies to reflect the current title of the Public Information Officer.
- 6.0 **ECONOMIC IMPACT:** Does not apply.
- 7.0 **ALTERNATIVES:** Not adopting this resolution. Not adopting this resolution would result in the Code Book and Personnel Policies remaining out of date.
- 8.0 **RECOMMENDATION:** This resolution was discussed at the June 14, 2022 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 **ATTACHMENTS:** A. Red-line of proposed amendment to Code Book Section 640.05
B. Red-line of proposed amendment to Personnel Policies Section 100.05

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



General Manager, Chief Executive Officer

Attachment A to Staff Summary

640.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA.

Any media request of an employee (e.g. on-camera interview or interview by a newspaper reporter) shall be referred to the ~~Office of Media Relations~~Public Information Officer for an official response.

(Res. 2001-119. Passed 8-21-01. ~~Res. 2022-XXX. Passed XX-XX-22.~~)

Attachment B to Staff Summary

100.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA

Any media request of an employee (e.g. on-camera interview or interview by a newspaper reporter) shall be referred to the ~~Office of Media Relations~~Public Information Officer for an official response. (Ref. Code Book Section 640.05)

RESOLUTION NO. 2022-59

AMENDING SECTION 640.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA OF THE CODIFIED RULES AND REGULATIONS AND SECTION 100.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA OF THE PERSONNEL POLICIES OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Authority codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that Section 640.05 Employee Communications with the Media of the Codified Rules and Regulations and Section 100.05 of the Personnel Policies should be updated to reflect the current title of the Public Information Officer.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Section 640.05 Employee Communications with the Media of the Codified Rules and Regulations is hereby amended to read as specified in Attachment A hereto.

Section 2. That Section 100.05 of the Personnel Policies is hereby amended to read as specified in Attachment B hereto.

Section 3. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 4. That this resolution shall become effective immediately upon its adoption.

Attachments: A. Code Book Section 640.05 Employee Communications with the Media
B. Personnel Policy Section 100.05 Employee Communications with the Media

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer

Attachment A to Resolution

640.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA.

Any media request of an employee (e.g. on-camera interview or interview by a newspaper reporter) shall be referred to the Public Information Officer for an official response.

(Res. 2001-119. Passed 8-21-01. Res. 2022-XXX. Passed XX-XX-22.)

Attachment B to Resolution

100.05 EMPLOYEE COMMUNICATIONS WITH THE MEDIA

Any media request of an employee (e.g. on-camera interview or interview by a newspaper reporter) shall be referred to the Public Information Officer for an official response. (Ref. Code Book Section 640.05)



TITLE/DESCRIPTION: FILING OF GRANT APPLICATIONS WITH THE STATE OF OHIO FOR STATE FISCAL YEAR 2023 FINANCIAL ASSISTANCE	Resolution No.: 2022-60
	Date: June 23, 2002
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 PURPOSE/SCOPE: This action will allow the Authority to submit grant applications, to enter into funding agreements with, and to accept funds from the State of Ohio for eligible transit projects. This resolution is for the State Fiscal Year 2023, which is July 1, 2022 – June 30, 2023.

2.0 DESCRIPTION/JUSTIFICATION: The State of Ohio will make funds available during SFY2023 under various programs. GCRTA will apply for financial assistance from the State of Ohio for eligible transit projects.

State of Ohio financial assistance programs include, but are not limited to:

- ODOT Urban Transit Program (UTP)
- ODOT Discretionary capital Program
- ODOT Ohio Transit Partnership Program (OTPP)
- ODOT Ohio Environmental Protection Agency (OEPA)

3.0 PROCUREMENT BACKGROUND: Does not apply.

4.0 AFFIRMATIVE ACTION / DBE BACKGROUND: Does not apply.

5.0 POLICY IMPACT: This action is consistent with the policy stated at Section 460.04(d) of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority to take advantage of all available State and Federal Grant programs, including State of Ohio Urban Transit Program grants and Federal Highway Administration Programs, as well as the programs of the Federal Transit Administration.

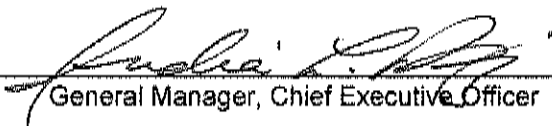
6.0 ECONOMIC IMPACT: Funding available through the State of Ohio may be up to 80%-100% of the total project cost.

7.0 ALTERNATIVES: Do not submit grant applications. This would exclude the Authority from utilizing funding available through the State of Ohio to the Cleveland Urbanized Area for transportation improvements.

8.0 RECOMMENDATION: It is recommended that the resolution authorizing the filing of applications for financial assistance from the State of Ohio be approved.

9.0 ATTACHMENT: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.


 General Manager, Chief Executive Officer

RESOLUTION NO. 2022-60

AUTHORIZING THE FILING OF GRANT APPLICATIONS WITH THE STATE OF OHIO FOR STATE FISCAL YEAR 2023 FINANCIAL ASSISTANCE UNDER ALL STATE OF OHIO PROGRAMS

WHEREAS, the State of Ohio, through its State Fiscal Year (SFY) 2023 financial assistance programs, will make funds available to assist public transportation systems in Ohio: and

WHEREAS, the Greater Cleveland Regional Transit Authority is the primary transit operator in Cuyahoga County; and

WHEREAS, the Greater Cleveland Regional Transit Authority is providing transit service and observing all federal and state rules under all State of Ohio programs.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority:

Section 1. That the General Manager, Chief Executive Officer is hereby authorized to file applications, as required, and to execute contracts on behalf of the Greater Cleveland Regional Transit Authority with the State of Ohio for financial assistance (including by transfer of highway funds) under all State of Ohio programs.

Section 2. That the General Manager, Chief Executive Officer is authorized to execute and file such applications, any assurances or any other documentation required by the State of Ohio under the financial assistance programs.

Section 3. That the General Manager, Chief Executive Officer is authorized to furnish such additional information as the State of Ohio may require in connection with these applications.

Section 4. That the General Manager, Chief Executive Officer of the Greater Cleveland Regional Transit Authority is authorized to accept the funds resulting from the State of Ohio financial assistance programs.

Section 5. That, in the absence of the General Manager, Chief Executive Officer the Acting General Manager, Chief Executive Officer of the Greater Cleveland Regional Transit Authority is hereby authorized to perform any and all of the above-described functions.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____
Secretary-Treasurer



Greater Cleveland
Regional Transit Authority

Interoffice Memo

To: Rev. Charles P. Lucas, President
and Members, Board of Trustees

From: India L. Birdsong
General Manager, Chief Executive Officer

A handwritten signature in black ink, appearing to read 'ILB', enclosed in an oval shape.

Date: June 23, 2022

Subject: Medina Agreement

At the June 28, 2022, Board Meeting the Board will be asked to act on a resolution authorizing the General Manager, Chief Executive Officer to enter into an agreement with Medina County to exchange \$600,000 in Section 5307 funds for local dollars. These are funds from 2021 and 2022 which were allocated to Medina County Public Transit ("MCPT"). MCPT is in need of operating funds and has requested GCRTA to accept the funds on their behalf as capital dollars in exchange for local dollars.

MCPT has agreed to pay a portion of the cost of the Route 251 Flyer in the amount of \$60,000 for 2022, which will be deducted from the payment to MCPT.

This is an annual transaction which has been approved by the FTA

Please contact me at 216-356-3100 if you have questions or require additional information prior to Tuesday's meeting.

ILB:cw



TITLE/DESCRIPTION: AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN AGREEMENT WITH MEDINA COUNTY TO EXCHANGE OPERATING FUNDS FOR MEDINA COUNTY'S GRANT FUNDS AND TO PROVIDE FOR MEDINA COUNTY TO FUND A PORTION OF THE COST OF THE AUTHORITY'S 251 FLYER ROUTE	Resolution No.: 2022-61
	Date: June 23, 2002
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will authorize an agreement between Medina County and GCRTA to exchange capital funds allocated to Medina County Public Transit("MCPT") under Section 5307 of Title 49 of the United States Code for operating funds. The agreement will also provide for Medina to fund a portion of the cost of the Authority's 251 Flyer Route.
- 2.0 DESCRIPTION/JUSTIFICATION: This resolution will authorize the General Manager, Chief Executive Officer to enter in an agreement with Medina County to exchange a portion of MCPT's Section 5307 capital funds for local dollars. These are funds allocated by the Federal Transit Administration ("FTA") to MCPT in 2022 totaling \$600,000.00. MCPT has requested this exchange because its need for operating funds outweighs its need for capital funds. The agreement also states that \$60,000.00 will be deducted from the transfer to MCPT to pay for a portion of the cost of the 251 Flyer route for 2022.
- 3.0 PROCUREMENT BACKGROUND: Does Not Apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does Not Apply.
- 5.0 POLICY IMPACT: Does Not Apply
- 6.0 ECONOMIC IMPACT: The economic impact on GCRTA will be an increase of \$600,000 in Federal Funds to the RTA Development Fund and a \$540,000 decrease in the RTA Operating Fund, Department 43 Pass Thru Account
- 7.0 ALTERNATIVES: The GCRTA could reject MCPT's request and risk losing the use of these funds in the Cleveland Urbanized Area due to MCPT's inability to use these funds for capital expenditures.
- 8.0 RECOMMENDATION: It is recommended that this resolution be adopted to ensure that the Cleveland Urbanized Area does not forfeit these funds.
- 9.0 ATTACHMENTS: Draft agreement with Medina County.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

General Manager, Chief Executive Officer

DRAFT

**AGREEMENT BETWEEN
THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY AND
BOARD OF MEDINA COUNTY COMMISSIONERS FOR EXCHANGE OF
FUNDS**

THIS AGREEMENT, entered into as of this ____ day of _____, 2022 by and between the Greater Cleveland Regional Transit Authority (hereinafter the "Authority" or "GCRTA"), having an office located at 1240 West 6th Street, Cleveland, Ohio 44113 and the Board of Medina County Commissioners, (hereinafter "Grantee") whose business address is 144 N. Broadway St., Medina, Ohio 44256 (collectively, the "Parties").

WITNESSETH: THAT

WHEREAS, the Federal Transit Administration ("FTA") apportions funds each year to urbanized areas in Ohio under Section 5307 of Title 49 of the United States Code ("Section 5307") based on their transit and other data; and

WHEREAS, transit systems have three years to obligate their apportioned funds or the funds will lapse and return to FTA; and

WHEREAS, Medina County Public Transit ("MCPT") is a public transit system operated by Grantee; and

WHEREAS, FTA apportioned Section 5307 funds for FFY 2022 to MCPT but MCPT will not be able to utilize all of these funds; and

WHEREAS, MCPT has a need for operating funds, but FTA funds apportioned to large urbanized areas cannot be used by any transit system for operating expenses; and

WHEREAS, GCRTA can arrange to accept Section 5307 funds from FTA on behalf of MCPT and supply local dollars in exchange for those funds; and

WHEREAS, GCRTA has agreed to supply local dollars to MCPT in exchange for the Section 5307 funds from FTA; and

WHEREAS, GCRTA is providing 12 months of transportation services known as the 251 flyer ("Transportation Services") from January 1, 2022 through December 31, 2022, for a total cost of \$60,000.00; and

WHEREAS, Grantee wishes to have GCRTA provide the Transportation Services for calendar year 2022; and

WHEREAS, GCRTA is willing to provide and Grantee is willing to pay for the Transportation Services during calendar year 2022 at the rate of \$5,000.00 per month, totaling \$60,000 for 2022.

NOW, THEREFORE, in consideration of the mutual covenants hereinafter set forth, this Agreement is made to set forth the terms and conditions upon which GCRTA will accept Section

5307 funds from FTA on behalf of Grantee and disburse local dollars ("Operating Funds") to Grantee and the terms and conditions under which Grantee will use the Operating Funds.

SECTION 1: Based upon mutual consent between the Grantee and GCRTA, GCRTA will apply for and accept Six Hundred Thousand Dollars (\$600,000.00) of 2022 Section 5307 funds on behalf of Grantee. GCRTA agrees to administer the federal funds pursuant to and in accordance with the terms of the applicable FTA grant agreement and conditions and within its capital program.

SECTION 2: Upon receipt of the Section 5307 funds from FTA, GCRTA will transfer Five Hundred Forty Thousand Dollars (\$540,000.00) in Operating Funds to Grantee, which is the Six Hundred Thousand Dollars (\$600,000.00) requested less Sixty Thousand Dollars (\$60,000.00) for Transportation Services to be provided during 2022.

SECTION 3: The Grantee agrees to use the Operating Funds only for the purpose of public transportation.

SECTION 4: The Parties agree that this Agreement constitutes the entire agreement between the Parties hereto, that there are no agreements or understandings, implied or expressed, except as specifically set forth below, and that all prior agreements and understandings are merged into and contained in this Agreement.

SECTION 5: The Grantee shall be responsible for and hold GCRTA harmless from and against all findings for recovery issued by FTA or any other agency of competent jurisdiction, and any and all other claims, actions, judgments, costs, penalties, liabilities, damages, losses and expenses related to the application and/or disbursement of funds on behalf of Grantee under this Agreement or any misappropriation or use of the Operating Funds that is not in accordance with the terms of this agreement up to the total sum of funds transferred pursuant to this Agreement.

SECTION 6: All notices to be given pursuant to this Agreement shall be sufficient if given in writing, delivered in person, delivered by bonded delivery service or sent by registered or certified mail, postage prepaid, and in any case addressed to the respective party at its postal address or at such other address or addresses each may hereafter designate in writing. Notices sent by delivery service or commercial carrier shall be deemed effective and complete at the time of acceptance by delivery service or posting in accordance herewith. Notice shall be delivered or mailed to the parties at the addresses below:

Board of Medina County Commissioners
Shannon Rine
Director, Medina County Public Transit
6094 Wedgewood Rd.
Medina, Ohio 44256

Greater Cleveland Regional Transit
Authority
India L. Birdsong
General Manager, Chief Executive Officer
1240 West 6th Street
Cleveland, Ohio 441113

With a copy to the Deputy General
Manager for Legal Affairs

IN WITNESS WHEREOF, the Parties, hereto have caused this Agreement to be made, effective as of the _____ day of _____, 2022, by their respective duly authorized officials.

Board of Medina County Commissioners

Stephen D. Hambley
President of the Board

Greater Cleveland Regional Transit Authority

India L. Birdsong
General Manager, Chief Executive Officer

APPROVED AS TO LEGAL FORM AND
CORRECTNESS:

Sheryl King Benford, General Counsel
Deputy General Manager for Legal
Affairs

RESOLUTION NO. 2022-61

AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN AGREEMENT WITH MEDINA COUNTY TO EXCHANGE OPERATING FUNDS FOR MEDINA COUNTY'S GRANT FUNDS AND TO PROVIDE FOR MEDINA COUNTY TO FUND A PORTION OF THE COST OF THE AUTHORITY'S 251 FLYER ROUTE

WHEREAS, the Federal Transit Administration ("FTA") apportions funds each year to the urban transit systems in Ohio under Section 5307 of Title 49 of the United States Code ("Section 5307"), based on their transit data; and

WHEREAS, Medina County operates Medina County Public Transit ("MCPT"), an urban public transit system; and

WHEREAS, Medina County is in need of operating funds and as a large urbanized transit system under the Cleveland urbanized area, cannot use its federal funds for operating expenses; and

WHEREAS, Medina County has asked GCRTA to apply for and accept a portion of its share of federal Section 5307 capital funds and disburse operating funds to Medina County for fiscal year 2022 and to consider continuing this practice into the future; and

WHEREAS, Medina County wishes to have GCRTA provide transportation services known as the 251 Flyer route during 2022; and

WHEREAS, Medina County is willing to provide a portion of the cost of operating the Authority's 251 Flyer route; and

WHEREAS, the Authority is willing to enter into an agreement with Medina County that provides for the Authority to apply for and accept a portion of Medina County's share of federal Section 5307 capital funds and disburse operating funds to Medina County for FFY 2022 and for Medina County to provide a share of the funding for the Authority's 251 Flyer route for fiscal year 2022.

NOW, THEREFORE, BE IT RESOLVED by the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the General Manager, Chief Executive Officer is hereby authorized to execute an agreement with Medina County providing for (1) the Authority to apply for and accept a portion of Medina County's share of federal Section 5307 capital funds and disburse operating funds ("Local Funds") to Medina County for fiscal year 2022; and (2) Medina County to provide a share of the funding for the Authority's 251 Flyer route for fiscal year 2022.

Section 2. That the General Manager, Chief Executive Officer is hereby authorized to apply for a portion of Medina County's share of federal Section 5307 capital funds, use the federal funds in its capital program and disburse an equal amount, less Medina County's share of the funding for the Authority's 251 Flyer route, in Local Funds to Medina County.

Section 3. That Medina County shall use the Local Funds only for the purpose of public transportation and shall be responsible for and hold the Greater Cleveland Regional Transit Authority harmless for any claims relating to the exchange of funds or misappropriation of the Local Funds. The economic impact on GCRTA will be an increase of \$600,000 in federal funds to the RTA Development Fund and a \$540,000 decrease in the RTA General Fund, Department 43 Pass Thru Account.

Section 4. That this resolution is effective immediately upon its adoption.

Adopted: June 28, 2022

President

Attest: _____

Secretary-Treasurer



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO PRIOR YEAR - GENERAL FUND
 FOR THE PERIOD ENDED MAY 31, 2022 AND MAY 31, 2021

	CURRENT MONTH	PRIOR YR MONTH	VARIANCE	% CHANGE	CURRENT % OF TOTAL	2022 YTD	2021 YTD	VARIANCE	% CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 791,602	\$ 706,702	\$ 82,900	11.70%	2.86%	\$ 3,694,072	\$ 3,477,730	\$ 216,342	6.22%	1.82%
PASS/STICKET SALES	645,007	456,151	188,856	41.40%	2.41%	2,730,628	2,305,463	425,165	18.44%	1.84%
OMSD - STUDENT FARECARDS	15,034	50,224	(35,190)	(70.07%)	0.06%	405,354	617,466	(212,112)	(34.35%)	0.20%
U-PASS	342,600	171,000	171,600	100.35%	1.24%	1,841,370	716,968	1,124,402	156.83%	0.91%
MOBILE TICKETING	455,099	345,335	109,764	31.78%	1.70%	2,137,167	1,622,109	515,058	31.75%	1.65%
SUBTOTAL PASSENGER FARES	2,248,342	1,731,412	517,930	29.91%	8.41%	10,406,591	8,744,736	1,661,855	19.00%	5.71%
OPERATING SUBSIDIES:										
SALES & USE TAX	\$ 17,263,726	\$ 17,263,726	\$ 0	0.00%	67.99%	\$ 301,023,828	\$ 312,212,617	\$ (10,188,789)	(3.26%)	49.68%
SUBTOTAL OPERATING SUBSIDIES	17,263,726	17,263,726	0	0.00%	67.99%	301,023,828	312,212,617	(10,188,789)	(3.26%)	49.68%
OTHER REVENUE:										
ADVERTISING/CONCESSIONS/COMMISSIONS	\$ 54,558	\$ 55,291	\$ (733)	(1.33%)	0.20%	\$ 1,652,452	\$ 377,046	\$ 1,275,406	77.18%	0.81%
NAMING RIGHTS/LESS COMMISSIONS	-	-	-	0.00%	0.00%	287,929	175,000	112,929	64.53%	0.14%
RENTAL INCOME	24,794	6,822	17,972	262.53%	0.09%	120,888	193,729	(72,841)	(37.60%)	0.06%
INTEREST INCOME	59,940	7,594	52,346	689.19%	0.22%	306,078	42,311	263,767	623.74%	0.08%
OTHER	19,578	10,335	9,243	89.45%	0.07%	134,177	47,298	86,879	183.51%	0.05%
SUBTOTAL OTHER REVENUE	158,870	80,042	78,828	98.43%	0.59%	2,281,624	835,674	1,445,950	173.64%	1.22%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUEL/OIL/PROPANE TAX REFUNDS	\$ 60,188	\$ 118,035	\$ (57,847)	(49.01%)	0.23%	\$ 908,985	\$ 798,122	\$ 110,863	13.89%	0.45%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	6,045,967	8,318	6,038,649	71,411.14%	22.62%	6,073,494	53,089	6,020,405	99.99%	2.99%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	-	7,159,657	(7,159,657)	(100.00%)	0.00%	-	7,359,657	(7,159,657)	(96.75%)	0.00%
FEDERAL OPERATING ASSISTANCE	-	-	-	0.00%	0.00%	-	-	-	0.00%	0.00%
MISCELLANEOUS RECEIPTS	43,107	26,057	17,050	65.43%	0.16%	1,048,405	243,566	804,839	330.81%	0.00%
FEDERAL CARES ACT	-	-	-	0.00%	0.00%	-	-	-	0.00%	0.00%
FEDERAL CARES ACT	-	-	-	0.00%	0.00%	-	-	-	0.00%	0.00%
FEDERAL ARP	-	36,699,166	(36,699,166)	(100.00%)	0.00%	-	36,699,166	(36,699,166)	(100.00%)	0.00%
COVID VACCINE - WOLFEH CTR REIMBURSEMENT	-	-	-	0.00%	0.00%	81,293,421	-	81,293,421	0.00%	39.96%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	\$ 6,150,262	\$ 44,911,233	\$ (37,860,971)	(86.53%)	23.00%	\$ 89,324,305	\$ 44,951,490	\$ 44,372,815	98.71%	43.91%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 26,726,016	\$ 42,096,413	\$ (25,370,397)	(60.27%)	100.00%	\$ 202,432,348	\$ 145,744,518	\$ 56,687,830	39.59%	100.00%



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT OF CASH RECEIVED COMPARED TO BUDGET - GENERAL FUND
 FOR THE PERIOD ENDED MAY 31, 2022

	BUDGET MONTH	CURRENT MONTH	VARIANCE	% CHANGE	CURRENT % OF TOTAL	2022 YTD BUDGET	2022 YTD	VARIANCE	% CHANGE	CURRENT % OF TOTAL
PASSENGER FARES:										
CASH FARES	\$ 650,000	\$ 791,602	\$ 141,602	21.78%	2.96%	\$ 3,250,000	\$ 3,694,072	\$ 444,072	13.66%	1.82%
PASS/TICKET SALES	881,337	645,007	(236,330)	(26.82%)	2.41%	3,212,337	2,739,628	(472,709)	(14.73%)	1.36%
CMASO - STUDENT FAIRCARDS	10,000	15,034	5,034	50.34%	0.06%	32,000	405,354	373,354	1166.73%	0.20%
D-PASS	450,000	455,099	5,099	1.13%	1.88%	640,000	1,241,370	1,201,370	187.71%	0.91%
MOBILE TICKETING	2,794,357	2,745,342	(49,015)	(1.75%)	1.70%	1,913,141	2,137,167	224,026	11.71%	1.05%
SUBTOTAL PASSENGER FARES	17,609,001	18,179,582	570,581	3.24%	67.99%	93,026,869	101,023,828	7,996,959	8.58%	49.66%
OPERATING SUBSIDIES:										
SALES & USE TAX	2,000	54,558	52,558	2627.90%	0.20%	365,000	1,652,552	1,287,552	352.75%	0.81%
SUBTOTAL OPERATING SUBSIDIES	2,000	54,558	52,558	2627.90%	0.20%	365,000	1,652,552	1,287,552	352.75%	0.81%
OTHER REVENUES:										
ADVERTISING/COMMISSIONS/COMMISSIONS	20,000	24,754	4,754	23.77%	0.09%	855,472	287,929	(167,543)	(19.58%)	0.34%
RENTAL INCOME	45,000	59,940	14,940	33.20%	0.22%	100,000	120,888	20,888	20.89%	0.06%
INTEREST INCOME	130,000	19,578	(110,422)	(84.94%)	0.07%	260,000	106,978	(153,022)	(59.24%)	0.05%
OTHER	197,000	158,830	(38,170)	(19.38%)	0.59%	450,000	114,172	(335,828)	(74.63%)	0.05%
SUBTOTAL OTHER REVENUE	392,000	363,002	(28,998)	(7.40%)	0.59%	1,665,472	2,281,824	616,352	36.99%	1.42%
REIMBURSEMENTS AND OTHER SOURCES OF CASH:										
FUELING/PROPANE TAX REFUNDS	100,000	60,188	(39,812)	(39.81%)	0.23%	1,430,000	908,985	(521,015)	(36.43%)	0.45%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	50,000	6,045,967	5,995,967	11991.93%	22.62%	320,000	5,073,494	5,753,494	1803.24%	2.99%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	-	-	-	-	0.00%	200,000	-	(200,000)	-	0.00%
FEDERAL OPERATING ASSISTANCE	-	-	-	-	0.00%	-	-	-	-	0.00%
MISCELLANEOUS RECEIPTS	40,000	43,107	3,107	7.77%	0.16%	200,000	1,048,405	848,405	424.20%	0.52%
FEDERAL CARES ACT	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL CRBSAA	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL ARP	1,199,333	-	(1,199,333)	(100.00%)	0.00%	81,199,333	81,293,421	94,088	0.12%	39.96%
COVID VACCINE - WOLSTEIN-CTR REIMBURSEMENT	-	-	-	-	0.00%	-	-	-	-	0.00%
SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH	1,399,333	6,150,252	4,750,919	339.56%	23.06%	83,349,333	83,324,305	(24,928)	(0.03%)	43.91%
TOTAL CASH RECEIVED - GENERAL FUND	\$ 20,596,691	\$ 26,724,016	\$ 5,741,325	27.84%	100.00%	\$ 187,066,172	\$ 209,438,348	\$ 22,372,176	11.96%	100.00%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SALES AND USE TAXES
ACTUAL RECEIPTS THROUGH JUNE 2022**

MONTH RECEIVED	2020 ACTUAL	2021 ACTUAL	2022 ESTIMATE	2022 ACTUAL	2022 VERSUS 2021 MONTHLY		2021 MONTHLY		2020 YTD ACTUAL	2021 YTD ACTUAL	2022 YTD ESTIMATE	2022 YTD ACTUAL	2022 VERSUS 2021 YTD %	
					MONTH % CHANGE	ACTUAL VERSUS ESTIMATE	ESTIMATE	ACTUAL					CHANGE	ESTIMATE
JANUARY	\$18,303,734	\$18,510,754	\$18,980,969	\$20,884,157	12.82%	10.61%	10.61%	\$18,303,734	\$18,510,754	\$18,880,969	\$20,884,157	12.82%	10.61%	
FEBRUARY	\$18,450,264	\$17,982,308	\$18,341,954	\$19,659,024	9.32%	7.18%	7.18%	\$36,753,998	\$36,493,063	\$37,222,923	\$40,543,181	11.10%	8.92%	
MARCH	\$21,219,411	\$20,864,587	\$21,077,879	\$23,821,641	15.28%	13.02%	13.02%	\$57,973,409	\$57,157,650	\$58,300,802	\$64,364,822	12.61%	10.40%	
APRIL	\$16,460,465	\$16,791,242	\$17,127,067	\$18,479,425	10.05%	7.90%	7.90%	\$74,433,873	\$73,948,892	\$75,427,869	\$82,844,246	12.03%	9.83%	
MAY	\$15,283,102	\$17,263,726	\$17,609,001	\$18,179,582	5.31%	3.24%	3.24%	\$89,726,976	\$91,212,618	\$93,036,870	\$101,023,828	10.76%	8.58%	
JUNE	\$15,926,194	\$21,214,064	\$21,636,345	\$22,678,424	6.90%	4.81%	4.81%	\$105,653,169	\$112,426,682	\$114,675,215	\$123,702,252	10.03%	7.87%	
JULY	\$13,364,639	\$20,786,582	\$21,181,914											
AUGUST	\$17,532,128	\$21,522,576	\$21,953,028											
SEPTEMBER	\$19,653,853	\$21,976,295	\$22,415,821											
OCTOBER	\$18,570,261	\$21,490,445	\$21,920,254											
NOVEMBER	\$17,091,363	\$20,326,433	\$20,251,377											
DECEMBER	\$18,282,055	\$20,832,735	\$20,588,441											
TOTAL	\$210,147,468	\$239,341,749	\$242,986,050	\$123,702,252										

Summary:

Month
 6.90% (\$1,464,360) higher than June 2021 Actual
 4.81% (\$1,040,079) higher than June 2022 estimate

YTD

10.03% (\$11,275,570) higher than 2021 Actual
 7.87% (\$9,027,037) higher than 2022 estimate

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 INVENTORY OF TREASURY INVESTMENTS
 AS OF MAY 31, 2022

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
BOND RETIREMENT FUND	5/31/2022	6/1/2022	MONEY MARKET	HUNTINGTON BANK	1	\$7,408,000	\$0	1	0.02%
TOTAL BOND RETIREMENT FUND						\$7,408,000	\$0		0.02%
GENERAL FUND	5/31/2022	6/1/2022	MERCHANT ACCT-KEY MMTK	KEY BANK	1	\$324,623	\$0	1	0.35%
	3/1/2021	3/1/2024	FFCB	STIFEL NICOLAUS	1095	\$4,997,500	\$2,153	639	0.25%
	9/30/2021	9/30/2024	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$2,945	850	0.57%
	11/15/2021	11/15/2024	US TREASURY	STIFEL NICOLAUS	1095	\$4,995,508	\$21,342	898	0.78%
	12/9/2021	12/9/2024	FFCB	STIFEL NICOLAUS	1095	\$4,994,785	\$22,826	891	0.96%
	1/27/2022	1/27/2025	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$12,400	971	1.20%
	4/22/2002	4/22/2025	FHLB	STIFEL NICOLAUS	1095	\$3,000,000	\$9,750	1056	3.00%
	5/23/2022	5/23/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$3,611	1087	3.25%
	5/31/2022	6/1/2022	STAR OHIO	STATE OF OHIO	1	\$57,098,033	\$0	1	0.89%
	5/31/2022	6/1/2022	EMPLOYEE ACTIVITY FUND	KEY BANK	1	\$84,970	\$0	1	0.35%
	5/31/2022	6/1/2022	PNC CUSTODY ACCOUNT	PNC BANK	1	\$27,732	\$0	1	0.21%
	5/31/2022	6/1/2022	SALES TAX ACCOUNT	HUNTINGTON BANK	1	\$654,222	\$0	1	0.03%
	5/31/2022	6/1/2022	KEY ECR	KEY BANK	1	\$11,766,559	\$0	1	0.35%
TOTAL GENERAL FUND						\$98,943,932	\$75,027		0.97%
INSURANCE FUND	5/31/2022	6/1/2022	STAR OHIO	STATE OF OHIO	1	\$7,320,654	\$0	1	0.89%
	5/31/2022	6/1/2022	KEY ECR	KEY BANK	1	\$65,280	\$0	1	0.35%
TOTAL INSURANCE FUND						\$7,385,934	\$0		0.89%
LAW ENFORCEMENT FUND	5/31/2022	6/1/2022	LAW ENFORCEMENT	KEY BANK-SWEEP	1	\$115,038	\$0	1	0.35%
	5/31/2022	6/1/2022	STAR OHIO	STATE OF OHIO	1	\$44,107	\$0	1	0.89%
TOTAL LAW ENFORCEMENT FUND						\$159,145	\$0		0.62%
LOCAL MATCH FUND	5/31/2022	6/1/2022	LOCAL MATCH-STAR OHIO	STATE OF OHIO	1	\$112,818,140	\$0	1	0.89%
	3/28/2022	6/28/2024	FHLB	STIFEL NICOLAUS	546	\$2,344,125	\$7,019	482	1.68%
	3/14/2022	3/10/2025	FFCB	STIFEL NICOLAUS	1090	\$4,981,225	\$20,258	1012	2.00%
	5/24/2022	5/12/2025	FHLMC	STIFEL NICOLAUS	1083	\$9,997,110	\$5,931	1075	3.06%
	5/31/2022	6/1/2022	LOCAL MATCH-KEY ECR	KEY BANK	1	\$419,286	\$0	1	0.35%
	5/31/2022	6/1/2022	GRANT-ECR	KEY BANK	1	\$350,929	\$0	1	0.35%
	5/31/2022	6/1/2022	CATCH BASIN-KEY ECR	KEY BANK	1	\$101,894	\$0	1	0.35%
TOTAL LOCAL MATCH FUND						\$131,012,710	\$33,208		1.11%
PENSION FUND	5/31/2022	6/1/2022	KEY ECR	KEY BANK	1	\$84,410	\$0	1	0.35%
	5/31/2022	6/1/2022	STAR OHIO	STATE OF OHIO	1	\$1,231,369	\$0	1	0.89%
TOTAL PENSION FUND						\$1,315,779	\$0		0.86%

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 INVENTORY OF TREASURY INVESTMENTS
 AS OF MAY 31, 2022

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
RTA CAPITAL FUND	5/31/2022	6/1/2022	KEY ECR	KEY BANK	1	\$917,620	\$0	1	0.35%
	5/31/2022	6/1/2022	PNC CUSTODY ACCOUNT	PNC BANK	1	\$5,625	\$0	1	0.21%
	5/2/2022	4/30/2024	US TREASURY NOTE	HILLTOP SECURITIES	513	\$4,984,198	\$10,069	484	2.56%
	3/25/2022	9/25/2024	FHLB	STIFEL NICOLAUS	913	\$1,845,375	\$6,025	846	1.85%
	3/28/2022	3/28/2024	FHLB	STIFEL NICOLAUS	454	\$1,845,375	\$5,164	390	1.70%
	8/26/2021	8/26/2024	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$5,554	816	0.43%
	9/30/2021	9/30/2024	FHLB	STIFEL NICOLAUS	1095	\$2,000,000	\$1,963	850	0.57%
	4/5/2021	4/5/2024	FFCB	STIFEL NICOLAUS	1095	\$2,000,000	\$1,577	674	0.33%
	3/4/2021	3/4/2024	FNMA	STIFEL NICOLAUS	1095	\$499,750	\$293	642	0.34%
						\$19,107,944	\$30,646		1.24%
	RESERVE FUND	3/9/2022	4/30/2023	US TREASURY NOTE	HILLTOP SECURITIES	416	\$4,941,900	\$1,441	333
3/23/2022		11/30/2023	US TREASURY NOTE	HILLTOP SECURITIES	616	\$4,875,994	\$4,792	547	2.00%
4/14/2022		4/14/2025	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$17,135	1048	2.63%
5/23/2022		2/17/2023	BAYER LANDBANK CP	HILLTOP SECURITIES	270	\$4,922,108	\$2,308	262	2.11%
5/31/2022		2/21/2023	NATIXUS CP	HILLTOP SECURITIES	266	\$4,921,812	\$294	1	2.12%
5/31/2022		6/1/2022	PNC CUSTODY ACCOUNT	PNC BANK	1	\$5,171,706	\$0	1	0.21%
5/31/2022		6/1/2022	KEY ECR	KEY BANK	1	\$155,661	\$0	1	0.35%
5/31/2022		6/1/2022	STAR OHIO	STATE OF OHIO	1	\$70,436,055	\$0	1	0.89%
						\$100,425,236	\$25,970		1.13%
						\$365,758,680	\$164,850	181	1.05%

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
DEBT SERVICE SCHEDULE AND STATUS
AS OF MAY 31, 2022**

Bonds	Final Maturity Date	Total Principal Outstanding 12/1/2021	Interest Payable/ 6/1/2022	Principal Payable/ 6/1/2022	Debt Service Requirement/ 6/1/2022	Interest Payable/ 12/1/2022	Principal Payable/ 12/1/2022	Debt Service Requirement/ 12/1/2022	Total Debt Requirement 2022
Series 2012-Sales Tax Rev.	Dec. 2022	2,285,000.00	57,125.00	0.00	57,125.00	57,125.00	2,285,000.00	2,342,125.00	2,399,250.00
Series 2014A-Sales Tax Rev.	Dec. 2025	6,575,000.00	147,475.00	0.00	147,475.00	147,475.00	1,535,000.00	1,682,475.00	1,829,950.00
Series 2015-Sales Tax Rev.	Dec. 2026	19,455,000.00	486,375.00	0.00	486,375.00	486,375.00	3,520,000.00	4,006,375.00	4,492,750.00
Series 2016-Sales Tax Rev.	Dec. 2027	8,105,000.00	202,625.00	0.00	202,625.00	202,625.00	1,195,000.00	1,397,625.00	1,600,250.00
Series 2019-Sales Tax Rev.	Dec. 2030	11,030,000.00	275,750.00	0.00	275,750.00	275,750.00	1,000,000.00	1,275,750.00	1,551,500.00
Total Bonds		\$47,450,000.00	\$1,169,350.00	\$0.00	\$1,169,350.00	\$1,169,350.00	\$9,535,000.00	\$10,704,350.00	\$11,873,700.00

Bond Retirement
\$7,408,000

Current Balance (Set Aside for 2022)

\$744,283

Monthly Set Aside Required

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
SUMMARY OF INVESTMENT PERFORMANCE
YEAR TO DATE THROUGH MAY 31, 2022**

MONTH	2022			2021			MARKET YIELD #	STANDARD YIELD #	MARKET YIELD #
	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD			
JANUARY	\$274,475,413	\$37,367	0.19%	\$247,915,757	\$25,844	0.24%	0.10%	0.01%	
FEBRUARY	\$279,255,689	\$41,380	0.25%	\$241,578,777	\$39,883	0.21%	0.09%	0.01%	
MARCH	\$265,832,094	\$64,394	0.43%	\$233,701,962	\$31,822	0.22%	0.08%	0.01%	
APRIL	\$278,545,012	\$109,508	0.58%	\$226,630,970	\$31,038	0.20%	0.07%	0.01%	
MAY	\$257,234,328	\$283,066	1.05%	\$251,992,878	\$32,939	0.20%	0.06%	0.01%	
JUNE				\$287,664,318	\$51,309	0.18%	0.05%	0.01%	
JULY				\$288,788,088	\$30,698	0.19%	0.05%	0.01%	
AUGUST				\$243,356,737	\$31,714	0.19%	0.05%	0.01%	
SEPTEMBER				\$272,326,795	\$40,612	0.19%	0.05%	0.01%	
OCTOBER				\$273,878,183	\$39,359	0.18%	0.05%	0.01%	
NOVEMBER				\$265,861,732	\$27,571	0.21%	0.06%	0.01%	
DECEMBER				\$262,288,744	\$39,633	0.47%	0.19%	0.01%	
YEAR TO DATE	271,068,507	\$535,715	0.50%	\$184,786,142	\$2,008,071	0.88%	0.64%	0.25%	
RTA AVERAGE YIELDS OVER (UNDER) INDEX			0.03%				0.24%	0.63%	

Moving average coupon equivalent yields for 6 month Treasury Bills.

Market Yield equals US Treasury Money Fund 7 Day Yield

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 MAY 2022

BOND RETIREMENT FUND
 US TREASURY NOTES
 HUNTINGTON MONEY MARKET

	2,187.50
	<u>\$54.96</u>
	\$2,242.46
MAY 2022	\$2,623.14
2022 YEAR TO DATE	\$384.20
2021 YEAR TO DATE	

GENERAL FUND
 HUNTINGTON-SALES TAX ACCOUNT
 STAROHIO
 US TREASURY NOTES
 KEY BANK SWEEP ACCOUNT
 PNC CUSTODY ACCOUNT
 MERCHANT ACCOUNT-KEY BANK SWEEP ACCOUNT

	\$2.00
	\$40,954.11
	\$18,750.00
	\$102.89
	\$128.52
	<u>\$2.68</u>
MAY 2022	\$59,940.20
2022 YEAR TO DATE	\$106,078.54
2021 YEAR TO DATE	\$42,111.28

INSURANCE FUND
 STAROHIO

	<u>\$5,206.01</u>
MAY 2022	\$5,206.01
2022 YEAR TO DATE	\$8,487.29
2021 YEAR TO DATE	\$13,573.42

LAW ENFORCEMENT FUND
 KEY BANK SWEEP ACCOUNT
 STAROHIO

	\$1.01
	<u>\$29.28</u>
MAY 2022	\$30.29
2022 YEAR TO DATE	\$68.36
2021 YEAR TO DATE	\$16.66

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)

MAY 2022

LOCAL MATCH FUND
 STAROHIO-LOCAL MATCH
 KEY BANK SWEEP ACCOUNT

\$75,165.43
\$37.68
 \$75,203.11
 \$173,275.01
 \$48,198.47

MAY 2022
 2022 YEAR TO DATE
 2021 YEAR TO DATE

PENSION FUND
 STAROHIO
 KEY BANK SWEEP ACCOUNT

\$817.48
\$0.72
 \$818.20
 \$1,781.08
 \$436.11

MAY 2022
 2022 YEAR TO DATE
 2021 YEAR TO DATE

EMPLOYEE ACTIVITY ACCOUNT
 KEY BANK MONEY MARKET

\$0.72
\$0.72
 \$3.56
 \$6.30

MAY 2022
 2022 YEAR TO DATE
 2021 YEAR TO DATE

RTA CAPITAL FUND
 NATIXUS CP
 KEY BANK SWEEP ACCOUNT

\$5,625.00
\$9.69
 \$5,634.69
 \$26,376.75
 \$209.63

MAY 2022
 2022 YEAR TO DATE
 2021 YEAR TO DATE

GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)
 MAY 2022

RESERVE FUND		
STAROHIO		\$46,761.00
US TREASURY NOTES		\$3,125.00
AGRICULTURAL BANK		\$6,250.00
NATIXUS CP		\$4,958.35
US TREASURY NOTES		\$12,500.00
KEY BANK SWEEP ACCOUNT		<u>\$1.32</u>
	MAY 2022	\$73,595.67
	2022 YEAR TO DATE	\$175,724.09
	2021 YEAR TO DATE	\$57,613.82

TOTAL ALL FUNDS	MAY 2022	\$222,671.35
	2022 YEAR TO DATE	\$494,417.82
	2021 YEAR TO DATE	\$162,549.89

INTEREST RECEIVED (CASH BASIS)	MAY	2022 YEAR TO DATE
	\$222,671	\$494,418
ACCRUED INTEREST:		
BEGINNING:	(\$104,456)	(\$123,554)
ENDING:	\$164,850	\$164,850
INTEREST INCOME EARNED:	\$283,066	\$535,714
AVERAGE INVESTMENT BALANCE (COST):	\$257,234,328	\$271,068,507
AVERAGE YIELD ON INVESTMENTS:	<u>1.05%</u>	<u>0.50%</u>

**COMPOSITION OF INVESTMENT PORTFOLIO
AS MAY 31, 2022**

Instrument	PRINCIPAL AMOUNT	FACE AMOUNT	PERCENT OF TOTAL	AVERAGE YIELD	AVERAGE MATURITY
Money Market Account	\$13,682,681	\$13,676,878	3.74%	0.48%	1
Key Bank Sweep Account	\$115,038	\$115,038	0.03%	0.35%	1
Star Ohio	\$248,948,359	\$248,948,359	68.06%	0.89%	1
Earnings Credit Rate Account	\$13,861,641	\$13,861,641	3.79%	0.35%	1
Commercial Paper	\$9,843,920	\$10,000,000	2.69%	0.07%	5
U.S. Government Securities	\$79,307,042	\$79,550,000	21.68%	1.73%	479
Total Investment Portfolio	<u>\$365,758,680</u>	<u>\$366,151,915</u>	<u>100.00%</u>	<u>1.05%</u>	<u>181</u>

Greater Cleveland Regional Transit Authority
 Banking and Financial Relationships
 As of May 31, 2022

Bank/Financial Institution Nature of relationship

Key Bank	Main banking services
PNC Bank	Custodial Account and Credit card
Fifth Third	Escrow Account
Huntington Bank	Bond Retirement and Sales Tax Account Underwriter STAR Ohio-Investments
Bank of New York Mellon	Bond Registrar
BMO Harris Bank	Fuel Hedge

NOTE:

This information is being provided for applicable individuals to be in compliance with:
 Ohio Revised Code Sections 102.03(D) and (E)
 Ohio Ethics Commission Informal Opinion Number 2003-INF-0224-1
 Ohio Ethics Commission Staff Advisory Opinion to Sheryl King Benford (DGM - Legal Affairs) dated May 6, 2020
 Ohio Ethics Commission Opinion Number 2011-08
 Ohio Ethics Commission Staff Advisory Opinion to R. Brent Minney dated March 27, 2012

Please refer to Chapter 656 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority (Travel Policy), Administrative Procedure 024 and Board of Trustees Resolution No. 2020-80 for additional information.