



Greater Cleveland  
Regional Transit Authority

1240 West 6th Street  
Cleveland, Ohio 44113-1302  
Phone: 216-566-5100  
riderta.com

## MEETING NOTICE

Notice is hereby given that the following meeting of the Board of Trustees of the Greater Cleveland Regional Transit Authority will take place on **Tuesday, September 28, 2021** in the Board Room of the Authority, 1240 West Sixth Street, Cleveland, OH 44113 for consideration of the listed items and such other items that may properly come before the Board and be acted upon.

This meeting will be live-streamed on RTA's Facebook page ([www.facebook.com/rideRTA](http://www.facebook.com/rideRTA)) for staff and members of the public. Members of the public may also attend in-person. Based on Centers for Disease Control (CDC) guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses and other entities may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, the following measures will be in place for in-person attendance:

1. To allow for social distancing, RTA Board Room capacity is limited to forty-four (44) people. To accommodate members of the public, RTA will limit the number of staff permitted in the Board Room.
2. All persons entering RTA's Main Office Building ("MOB") at 1240 West 6<sup>th</sup> Street are required to wear a mask/face covering and maintain a distance of six feet or more from other individuals who are not members of their family or household. The mask/face covering must be cloth or other fabric and worn to cover the person's nose, mouth and chin. If a person entering the building does not have a mask, one can be provided to them.
3. All persons entering RTA's MOB will be temperature screened for COVID-19 in accordance with guidance provided by the CDC and the Cuyahoga County Board of Health. If the screening indicates that the person may be infected with COVID-19, that individual will be denied entry.

The meeting package will be posted on RTA's website at ([www.riderta.com/board](http://www.riderta.com/board)), on RTA's Facebook page, and RTA's Twitter page.

9:00 A.M. Board of Trustees -- agenda attached.

A handwritten signature in black ink, appearing to read 'India L. Birdsong', is written over a white background. The signature is fluid and cursive, with a large, sweeping 'I' and 'B'.

India L. Birdsong  
General Manager, Chief Executive Officer

ILB:tab  
Attachment



## REVISED AGENDA

RTA Board of Trustees Meeting

Tuesday, September 28, 2021

9:00 a.m.

- I. Call to order
- II. Roll Call
- III. Certification regarding notice of meeting
- IV. Approval of the Board Meeting minutes:
  - August 24, 2021 Board Meeting
  - August 31, 2021 Special Board Meeting
  - September 14, 2021 Special Board Meeting
- V. Public comments (**2 minutes**) on **agenda items** can be made in person or phoned in using the dial in information:  
  
Phone: 440-276-4600
- VI. Board Governance Committee report
- VII. Operational Planning & Infrastructure Committee report
  - Chair: Mr. Terence P. Joyce
- VIII. Organizational, Services & Performance Monitoring Committee report
  - Chair: Mayor Michael P. Byrne
- IX. Audit, Safety Compliance and Real Estate Committee report
  - Chair: Karen Gabriel Moss
- X. External and Stakeholder Relations and Advocacy Committee report
  - Chair: Valarie J. McCall
- XI. Community Advisory Committee (CAC)
  - Board Liaison: Roberta Duarte
- XII. Ad Hoc Committee reports
  - Ad Hoc Paratransit Committee – President Charles P. Lucas, Chair
  - Ad Hoc Technology Committee – Luz Pellot, Chair
- XIII. Introduction of new employees and announcement of promotions

XIV. Introduction of resolutions:

- A. 2021-85 – Authorizing Contract No. 2021-085 with the Osborn Engineering Company for Project 19.10 – On-Call Bridge Engineering Services II in an amount not to exceed \$300,000.00, (RTA Capital and/or Development Funds, Engineering & Project Development Department budget)
- B. 2021-86 – Authorizing Contract No. 2021-88 with Kapsch Trafficom USA Inc. to provide rail heater equipment and related services for a total contract amount not to exceed \$1,442,351.00 (RTA Development Fund, Power and Way Department budget)
- C. 2021-87 – Authorizing the General Manager, Chief Executive Officer to enter into an Intergovernmental Agreement with the Cleveland Metropolitan School District for student fares for the 2021-2022 school year and ratifying the issuance of tickets
- D. 2021-88 – Designation of depositories for active and interim public monies during the five-year period commencing October 5, 2021 through October 4, 2026
- E. 2021-89 – Estimation of inactive deposits of the Greater Cleveland Regional Transit Authority to be awarded for the period from October 5, 2021 through October 4, 2026
- F. 2021-90 – Amending Chapter 286 and repealing Chapter 289 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority
- G. 2021-91 - Authorizing a utility agreement with Cleveland Electric Illuminating Company to extend electric service to the new Warrensville Van Aken substation (Project No. 60B) in an amount not to exceed \$850,520.03 (RTA Development Fund, Engineering & Project Development Department budget)

XV. Secretary-Treasurer's Report:

- A. General Fund Revenue – status as of August 31, 2021 versus 2020 actuals
- B. General Fund Revenue – status as of August 31, 2021 versus the 2021 budget
- C. Sales & Use Tax Receipts Report budgeted during 2021, actual receipts through September 2021
- D. Inventory of Treasury Investments as of August 31, 2021
- E. Debt Service Schedule and Status of Bond Retirement Fund (cash basis) as of August 31, 2021
- F. Summary of Investment Performance, Year to Date through August 31, 2021
- G. Report on Investment Earnings (cash basis) as of August, 2021
- H. Composition of Investment Portfolio as of August 31, 2021
- I. Banking and Financial Relationships as of August 31, 2021

XVI. General Manager's Report

XVII. President's Report

- Delegation of Authority memo

XVIII. Old Business

XIX. New Business

XX. Public comments (**2 minutes**) on **non-agenda items** can be made in person or phoned in using the dial in information:

Phone: 440-276-4600

XXI. The next regular Board meeting is scheduled for **Tuesday, October 26, 2021** in the Board Room of the Authority, Root-McBride Building, 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live-streamed on RTA's Facebook page ([www.facebook.com/rideRTA](http://www.facebook.com/rideRTA)) for staff and members of the public. Only Board members and required RTA staff will be allowed in the Board Room.

XXII. Adjournment

Minutes

Special RTA Board Meeting

9:13 a.m., Tuesday, August 31, 2021

**Board Members:** Lucas (Chair), Moss (Vice Chair), Duarte, Joyce, Koomar, McCall, Serrano, Weiss

**Not Present:** Byrne, Pellot (remote)

**Staff/Guest:** Benford, Birdsong, Cascarilla, Burney, Caver, Gautam, Ghanem, Schipper

The meeting was called to order at 9:13 a.m. The secretary called the roll and reported that eight (8) board members were present.

This meeting was live-streamed on RTA's Facebook page ([www.facebook.com/rideRTA](http://www.facebook.com/rideRTA)) for staff and members of the public. Based on Centers for Disease Control guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, several measures were put in place for in-person attendance, which are spelled out on the meeting notice. The public was allowed to attend the roll call in-person.

The Secretary advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

Executive Session Requested:

9:13 a.m. - President Lucas asked for a motion to consider the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee or official and to confer with RTA's attorney regarding a dispute that is the subject of pending or imminent court action. It was moved by Mr. Serrano, seconded by Mayor Weiss. There were eight (8) ayes and none opposed.

11:50 a.m. – It was moved by Mayor Koomar, seconded by Mayor Weiss to come out of executive session. There was seven (7) ayes and none opposed. Mr. Serrano had to leave before the roll call.

The meeting was adjourned at 11:50 a.m.

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President

Attest: \_\_\_\_\_  
Secretary-Treasurer

Minutes

Special RTA Board Meeting

10:35 a.m., Tuesday, September 14, 2021

**Board Members:** Lucas (Chair), Moss (Vice Chair), Byrne, Koomar, Pellot, Serrano, Weiss

**Not present:** Duarte, Joyce, McCall (virtual)

**Staff:** Benford, Birdsong, Burney, Cascarilla, Catalusci, Caver, Coffey, Cottrell, Dangelo, Davidson, Dimmick, Fields, Gautam, Gettings, Ghanem, Johnson, Lively, Miller, Mothes, Muti, Petit, Sutula, Talley, Tarka, Togher, Walker-Minor

**Public:** Gibbons, Loh

The meeting was called to order at 10:35 a.m. There were seven (7) Board members present.

This meeting was live-streamed on RTA's Facebook page ([www.facebook.com/rideRTA](http://www.facebook.com/rideRTA)) for staff and members of the public. Members of the public were allowed to attend in-person. Based on Centers for Disease Control (CDC) guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses and other entities may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, several measures were put in place for in-person attendance, which are spelled out in the meeting notice.

The Secretary advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

Resolution

- A. 2021-84 - Ratifying Change Order No. 6 to Contract No. 2020-163 with the Ruhlin Company for the emergency shoring of the Waterfront Line Bridge in an amount not to exceed \$717,100.00 for a total contract value of \$7,054,622.00 and reinstating the General Manager, Chief Executive Officer's change order signing authority in its entirety (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Mr. Serrano, seconded by Ms. Pellot and approved by unanimous vote.

Executive Session Requested

President Lucas asked for a motion to consider the appointment, employment, dismissal, discipline, promotion, demotion, or compensation of a public employee or official and to confer with RTA's attorney regarding a dispute that is the subject of pending or imminent court action. It was moved by Mr. Serrano, seconded by Ms. Pellot. There were seven (7) ayes and none opposed. President Lucas said there will not be any legislation proposed at the end of the executive session.

It was moved by Ms. Moss, seconded by Mr. Serrano to come out of executive session. There were six (6) ayes and none opposed. Ms. Pellot had to leave before the roll call.

The meeting was adjourned at 12:25 p.m.

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President

Attest: \_\_\_\_\_  
Secretary-Treasurer

## Minutes

### RTA Board of Trustees Meeting

10:49 a.m. August 24, 2021

**Present:** Lucas (Chair), Moss (Vice-Chair), Byrne, Duarte, Joyce, Koomar, McCall, Pellot, Weiss

**Not present:** Serrano

**Staff:** Benford, Birdsong, Burney, Catalusci, Coffey, Dangelo, Davidson, Fields, Ghanem, Johnson, Jones, Kirkland, Lincoln, Miller, Mothes, Petit, Schipper, Sutula, Talley, Togher, Walker-Minor, Young

**Public:** Gibbons, Loh, Rodriguez

President Lucas called the meeting to order at 10:49 a.m. The secretary called the roll and reported that nine (9) board members were present.

This meeting was live-streamed on RTA's Facebook page ([www.facebook.com/rideRTA](http://www.facebook.com/rideRTA)) for staff and members of the public. Based on Centers for Disease Control guidance to continue to avoid large events and gatherings and Ohio Department of Public Health advice that businesses may continue to require mitigation measures, as well as RTA's interest in protecting community and employee health and safety, several measures were put in place for in-person attendance, which are spelled out on the meeting notice. The public was allowed to attend the meeting in-person.

The Secretary advised that notice of this meeting have been posted more than twenty-four hours in advance of the meeting, that the usual notification has been given the news media and other interested persons, and that all requirements of the Ohio Revised Code and Rules and Bylaws of this Board regarding notice of meeting have been complied with.

#### Minutes

President Lucas stated that the minutes from the July 27, 2021 Board meeting had been previously distributed and reviewed, and asked whether there were any additions and/or corrections. There were no corrections. It was moved and seconded. The minutes were approved.

#### Public Comments – Agenda Items (comments were submitted in-person and by phone)

1. Larry Rodriguez – He is a part of NOBLE. The NextGen changes are affecting the riders. They feel like there was not an open discussion and not enough public comments.
2. Mrs. Gardner – Called in to complain about the changes to the 15A and 48A service under NextGen. She requested the routes be put back in service.
3. Ms. Montgomery – Called about reinstating the 15A. There was no discussion about this route or 48A. The previous route allows a limited walk. Ms. Birdsong said they will evaluate these routes to make sure proper discussion happens.
4. Claudette Candy – Called about keeping the 15A and 48A as stated previously.
5. Mr. Watson – Called to request the 15A and 48A be reinstated. These routes serve the communities better than the NextGen changes.
6. Juanita Harrison – Called about reinstating the 15A and 48A. Seniors need these buses. People who don't have cars need to get around.

7. Airric Stewart – Called about reinstating the 15A and 48A. There was a proposal made at the July 1 meeting at the Lee-Harvard Community Center that was unanimously accepted to put these routes back in place with the adjustments he recommended in an email to the Board and executive staff. There should be a timely response to the public about the adjustments. Ms. Walker-Minor said they are working internally and will come to a decision on the comments.
8. Hayes Rowan – He called about the negative effects of buses idling and RTA public records request process. He asked for these items to be put on the next agenda and asked that his email be sent to the Board.

### Committee Reports

There were no reports.

### Community Advisory Committee (CAC)

Ms. Duarte said community engage is critical in shaping the RTA. If we want to be an effective transit system, we need to understand what the community values and how we can serve them. She knows what issues come up from her perspective. Overall, she loves riding the RTA. It is fairly reliable and gets her to most places she needs to go. Some riders may have different experiences and feel different. She wants to ensure RTA is acknowledging this and crafting plans on improvements. We need to do a better job at engaging with the community with what platform the community uses most frequently. For ages 18 years and under it may be best reached digitally. She buys her tickets on her phone. She'd like a code sent to her phone and for it to last for an hour. Younger riders might be inclined to answer questions about the bus ride whether it was on-time, clean and safe similar to what Uber and the scooter companies are doing to quantify this information. Face to face outreach is good. Make comment boxes more available. Ensure the language is translated.

Other concerns she's heard was service availability and on time issues. Someone who couldn't get to a cleaning job was picked up by the client. This is not always possible. People who have been systematically excluded depend on RTA. She's connected with local partners. She's looking to connect with internal staff and interns. She wants to highlight their experiences. She appreciates having this platform to reinforce how important equitable and fair community engagement is. A lot of times community engagement is the last ingredient but it should be our priority. She wants ideas to make improvements.

### Ad Hoc Committee Reports

President Lucas thanked Mr. Davidson and his staff for organizing the call-in center. There was a system break down this Sunday and calls were reorganized. He met with STC about ensuring wheelchairs are not put in the back of the vehicle since that makes the ride bumpy.

Ms. Pellet said the Ad Hoc Tech Committee will meet tomorrow at 5 p.m. in the Board Room.

### Introduction of New Employees/Promotions

#### New Hires:

- Meghana Bheemavarapu – District Business Analyst
- Dominique Hobbs – Operator
- Noah Meade – Laborer
- Sheila Arnold – Paratransit operator

- Daona Britt - Operator
- Christopher Hightower – Laborer
- Rafael Hernandez – Paratransit Operator
- John Thomas – Material/Handler/Stock Clerk
- Stephan Hopkins – Laborer
- Demetria Williams – Operator
- Claudeine Hardaway – Operator
- Enitan Atandare – Janitor
- Robert Jefferson – Recruiter
- Laurie Gillon – Revenue Control Analyst
- Ferdinand Barrow – Operator
- Kassidy Carter – HRIS specialist
- Jerome Mrowinski – Operator
- Jason Dragen – Financial Accountant 1

Promotions:

- Ralph Geraci – Maintenance Tech
- Jarrad Davis – Signal Tech
- Robert Tulevski – Transit Police Sergeant
- John Sturm – Signal Tech
- Jarrett Davis – Manager Bus Operator and Emerging Training

Introduction of Resolutions:

- A. 2021-77 – Authorizing Contract No. 2021-62 with Tec Inc. Engineering & Design for Project 18.47 – GCRTA System-Wide Arc Flash Analysis in an amount not to exceed \$329,203.00 (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Ms. Moss, seconded by Ms. Pellet and approved by unanimous vote.
- B. 2021-78 – Authorizing Contract No. 2021-069 with Burgess & Niple, Inc. for Project 19.04 – Engineering Services for Special Bridge Inspections in an amount not to exceed \$325,843.02, (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Chief McCall, seconded by Ms. Moss and approved by unanimous vote.
- C. 2021-79 – Authorizing Contract No. 2021-079 with Apex Construction & Management Co. for Project 18.57 – Shaker Square Substation S4 Building Repairs, as specified and as required, in an amount not to exceed \$428,900.00 (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Ms. Moss, seconded by Mr. Joyce and approved by unanimous vote.
- D. 2021-80 – Authorizing Contract No. 2021-097 with Petroleum Traders Corporation for the furnishing of approximately 1,350,000 gallons of 87 octane unleaded gasoline, as specified and as required, for a period of three years (General Fund, Fleet Management Department budget), the adoption of which was moved by Chief McCall, seconded by Mr. Joyce and approved by unanimous vote.
- E. 2021-81 – Authorizing the purchase of casualty insurance from various insurance underwriters through USI Insurance Services National, Inc. and Price Forbes & Partners Limited, or their successors, for a period of 12 months in a total amount not to exceed

\$1,997,690.00 (GCRTA General and Insurance Funds, Risk Management Department budget), the adoption of which was moved by Mayor Weiss, seconded by Ms. Moss and approved by unanimous vote.

Ms. Moss said a lot of these issues have gone through committee. There was a thorough presentation on this item. Most of the insurance was in place prior to this vote.

- F. 2021-82 - Authorizing Contract No. 2021-070 with Direct Energy Business Marketing, LLC dba Direct Energy Business for furnishing the Authority's full requirements of Natural Gas Supply for a period of three years at a projected amount NTE \$5,400,000.00 with two, one-year options at a projected amount NTE \$1,800,000.00 per option year, for a projected total contract amount NTE \$9,000,000.00 for the five-year period (General Fund, Accounting Department budget), the adoption of which was moved by Mayor Byrne, seconded by Chief McCall and approved by unanimous vote.
- G. 2021-83 - Authorizing an increase to Contract No. 2021-044 – Project 27Y Rehabilitation of the Cuyahoga Viaduct (Phase I) in an amount not to exceed \$2,008,160.00 for a total contract value of \$6,706,589.00 and reinstating the General Manager, Chief Executive Officer's change order signing Authority in its entirety (RTA Development Fund, Engineering & Project Development Department budget), the adoption of which was moved by Mr. Joyce, seconded by Ms. Pellot and approved by unanimous vote.

#### Secretary-Treasurer's Report

Kay Sutula, Director of OMB presented the report in the absence of Rajan Gautam, Deputy General Manager of Finance and Secretary-Treasurer. In June 2021, the U.S. unemployment rate rose from 5.8% to 5.9%. In July it dropped to 5.4%. Ohio's unemployment rate rose in June from 5.0% to 5.2%. The rate for July rose from 5.2% to 5.4% and is now even with the U.S. The Cuyahoga County rate rose in June from 5.7% to 7.1%. We do not have the rate yet for July. President Biden extended mask wearing on public transportation to Jan 18, 2022. The recovery will be a long haul. The preventive measures implemented will go into 2022 and beyond.

Ridership has remained steady from May-July. July ridership increased by 5.4% compared to 2020. YTD ridership is 21.8% below 2020 levels. Passenger fare revenue has remained steady over the last three months about \$1.7 million per month. Total passenger fares in July is 5.7% lower than 2020. YTD total passenger fares are 21.3% lower than 2020. Sales tax August receipts show May activity. August was 22.8% higher than August 2020. YTD it is 13.3% higher than 2020. The 3<sup>rd</sup> stimulus checks were delivered to U.S. citizens in March 2021 for \$1,400. We are seeing the effects of that. President Lucas asked what the variances of not collecting fare by using the replacement bus service on the Blue/Green Line. Dr. Caver said the replaced bus service fare is free when there is a shutdown, but the ridership has decreased 30%.

#### General Manager/CEO Report

India Birdsong, General Manager/CEO, presented the report. The federal government extended the mask mandate through Jan 18, 2022. We will update material for the riding public. Two Transit Police were promoted to sergeants. They were sworn in on August 8. Chief Jones officiated the ceremony in the Board Room to celebrate the work and achievements of TP officers. RTA partnered with Tri-C in a Workforce Success Program. The completion of the program was held in the Board Room. Tri-C was in attendance to recognize four RTA employees and a full tuition scholarship for Darcia Whitaker. Elevating Women Together (EWT) has the mission of supporting the advancement

of women and their professional development. Nicole Richmond, Paratransit Operator won a lunch with the GM, CEO. They discussed career opportunities. She was a graduate of the Tri-C program.

Ann August, a national transit leader who served in various roles in APTA and as the former Executive Director of Birmingham-Jefferson County Transit Authority passed away from COVID August 22, 2021. She was recognized by COMTO as one of the Women Who Move the Nation. She's had a 35-year career in public transportation. Chief McCall added that Ann's passing was a surprise. This will affect the entire APTA family. She had a distinguished career in the military as well. She was active as a CEO at APTA at a time when we could count on one hand the number of female CEOs. When Chief McCall was the Chair of APTA, she reached out to Ann about reorganizing APTA and she was up to the task.

#### President's Report

President Lucas thanked the staff for their support. The job of president is more than preceding at meetings. Mayor Koomar asked what the CEO's signing authority was prior to the change order for Resolution 2021-83. Melinda said it was \$250,000.

#### Public Comments – Non-Agenda Items (comments were submitted in-person and phone)

1. Loh – She welcomed Ms. Duarte. She misses George Zeller. He spoke about the economy regularly at RTA Board Meetings. She is happy to be back in person. She wants the live stream to continue and to accept comments through the phone and email. Community engagement is important. W. 25 still has problems on the weekend and nights. NextGen went fast. She still wants the E-Line trolley. This helps tourism. The #14 was cut from Buckeye area so homeless can move into permanent housing in that area because they can't get to work. The NextGen schedule leaves people stranded who work 2<sup>nd</sup> and 3<sup>rd</sup> shift. We need revenue.
2. Carlitta Gardner – Called to ask that the 15A and 48A be reinstated.
3. Arric Stewart – People were left on hold for a long time during the beginning of the meeting during the executive session that callers were lost. One of the callers attended the July 1 Lee Harvard Committee meeting including Councilman Joe Jones. The community in Ward 1 wants the #48A to have 15 buses each way, 7-days of week, running one hour intervals. For the 15A they want it to run every 20 minutes each hour and 7-day a week service in two hour or one hour intervals. He is waiting for a meeting with the Secretary-Treasurer to discuss the capital bonds with Ward 1.

#### Upcoming Meetings

The next regular Board meeting is scheduled for Tuesday, September 28, 2021 in the Board Room of the Authority, Root-McBride Building, and 1240 West Sixth Street, Cleveland, Ohio 44113. This meeting will be live streamed on RTA's Facebook page for staff and members of the public at [www.facebook.com/riderta](http://www.facebook.com/riderta) The public is allowed to attend in person.

This meeting was adjourned at 11:44 a.m.

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President

Attest: \_\_\_\_\_  
Secretary-Treasurer

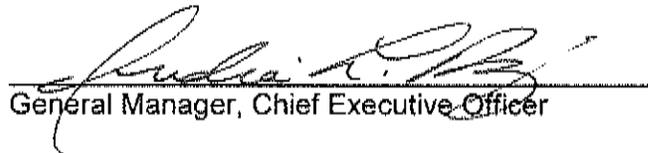


<b>TITLE/DESCRIPTION:</b> <b>CONTRACT:</b> PROJECT 19.10 ON-CALL BRIDGE ENGINEERING SERVICES II  <b>VENDOR:</b> THE OSBORN ENGINEERING COMPANY  <b>AMOUNT:</b> NTE \$300,000.00	<b>Resolution No.:</b> 2021-85
	<b>Date:</b> September 23, 2021
	<b>Initiator:</b> Engineering & Project Development
<b>ACTION REQUEST:</b> <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a contract for Project 19.10 – On-Call Bridge Engineering Services II for a period of 24 months.
- 2.0 **DESCRIPTION/JUSTIFICATION:** This project is to obtain professional services to perform bridge engineering support. The services will be authorized by task order on an as needed basis.
- 3.0 **PROCUREMENT BACKGROUND:** The Request for Proposals ("RFP") was posted on the GCRTA Procurement web site and advertised in the local newspapers. Thirty-eight interested parties, including potential subcontractors, downloaded the solicitation package. These services were solicited through a competitive negotiated procurement, utilizing the Brooks Act Procedures. Under this process, the Authority's evaluation panel first selects the most technically qualified firm, solicits a pricing proposal from that firm, and negotiates price only with that firm. Should the Authority determine that an agreement could not be reached with the most qualified firm, it may reject that proposal and repeat the process with the next most qualified firm. In this instance, negotiations were held with The Osborn Engineering Company the first ranked firm, and an acceptable price was reached.
- The Osborn Engineering Company has successfully completed projects for the Cleveland State University, the City of Akron, NASA, and ODOT, among others.
- The Procurement Department performed a price analysis and determined the proposed pricing to be fair and reasonable to the Authority.
- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. Osborn Engineering has committed to achieve the 4% DBE participation goal established for this procurement, which is equivalent to \$12,000.00, and has agreed to utilize the following certified DBE firms: Denise's Flagging (Female-owned), American Roadway Logistics (Female-owned), Barr Engineering (Asian-owned), Lawhon & Associates (Female-owned), and Somat (Asian-owned) in amounts that will be a function of the specific nature of the on-call service required.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This contract shall be payable from the RTA Capital and/or RTA Development Funds, Engineering & Project Development Department budget, including but not limited to 100% local funds and FTA grant funds to be determined, in an amount not to exceed \$300,000.00 for a period of 24 months. This is the budgeted amount for this project.
- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this offer will create undue hardship on GCRTA's Engineering staff due to their existing workload and prevent access to external technical expertise when needed.

- 8.0 RECOMMENDATION: This procurement was discussed by the Board of Trustees at the September 14, 2021 Operational Planning and Infrastructure Committee meeting. It is recommended that the offer from The Osborn Engineering Company be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

  
General Manager, Chief Executive Officer

RESOLUTION NO. 2021-85

AUTHORIZING CONTRACT NO. 2021-085 WITH THE OSBORN ENGINEERING COMPANY FOR PROJECT 19.10 – ON-CALL BRIDGE ENGINEERING SERVICES II IN AN AMOUNT NOT TO EXCEED \$300,000.00, (RTA CAPITAL AND/OR DEVELOPMENT FUNDS, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, The Authority requires task order bridge engineering services to supplement staff with expertise in inspection, evaluation, and design of highway and railroad bridges; and

WHEREAS, the proposal of The Osborn Engineering Company, with an office located at 1100 Superior Avenue, Suite 300, Cleveland, OH 44114, to perform said services, was received on July 8, 2021 in response to a competitive solicitation; and

WHEREAS, after negotiations, The Osborn Engineering Company has agreed to perform the required services for the negotiated labor rates in an amount not to exceed \$300,000.00 for a period of 24 months; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of The Osborn Engineering Company to be the most advantageous to the Authority, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the negotiated offer of The Osborn Engineering Company to provide services under Project 19.10 – On-Call Bridge Engineering Services II be and the same is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with The Osborn Engineering Company for the performance of said services for a period of 24 months.

Section 3. That said contract shall be payable through the RTA Capital and/or Development Fund, Engineering & Project Development Department budget, including but not limited to 100% local funds and FTA grant funds to be determined, in an amount not to exceed \$300,000.00 for a period of 24 months.

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon future funding, compliance by the contractor to the Specifications and Addenda, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that The Osborn Engineering Company will attempt to exceed the 4% minimum DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



<b>TITLE/DESCRIPTION:</b>  <b>CONTRACT:</b> RAIL HEATER EQUIPMENT AND SERVICES  <b>VENDOR:</b> KAPSCH TRAFFICOM USA INC.  <b>AMOUNT:</b> NTE \$1,442,351.00	<b>Resolution No.:</b> 2021-86
	<b>Date:</b> September 23, 2021
	<b>Initiator:</b> Power & Way
<b>ACTION REQUEST:</b> <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

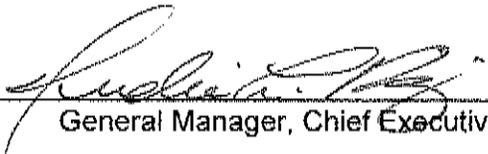
- 1.0 **PURPOSE/SCOPE:** This action will allow the Authority to enter into a sole source contract to provide rail switch heater equipment, hardware, components, software, and related services for GCRTA's Light Rail Line (Blue, Green, and Trunk).
  
- 2.0 **DESCRIPTION/JUSTIFICATION:** On January 16, 2018, the Board of Trustees approved Resolution No. 2018-2 authorizing Contract No. 2017-102 for the purchase of Supervisory Control and Data Acquisition (SCADA) replacement services to Kapsch TrafficCom USA Inc. ("Kapsch"). DYNAC by Kapsch is GCRTA's newly installed Supervisory Control and Data Acquisition (SCADA) system. SCADA is utilized for train control and monitoring, as well as numerous other communications related systems. This action will allow the Authority to fully integrate the SCADA traffic and communication management system into the Authority's transportation and facilities management applications and to implement the rail switch heater program for GCRTA's Light Rail Line (Blue, Green, and Trunk). The rail switch heaters provided by Kapsch will link directly to the SCADA system, allowing the devices to be monitored on a 24-hour basis. Each rail switch heater will have a control point that allows Power & Way maintainers and dispatchers to remotely monitor the voltage and amperage draw of the heating element to determine the health of the component. This will allow confirmation that the rail switch heater is functioning properly without the need for visual field inspection of each unit. Furthermore, for the program, DYNAC CCTV cameras will be included to allow the operator of the system to check the status of each rail switch heater from a remote location. This aspect will limit the need to send Track or Signal Department crews to the scene to inspect the units. It will also streamline the system by limiting unnecessary trouble calls to the area due to the monitoring capabilities.
  
- 3.0 **PROCUREMENT BACKGROUND:** The sole source contract is exempt from competitive bidding as authorized by Section 306.43(H)(6) of the Ohio Revised Code. A pilot program was approved in August, 2020 for Moreland Pocket on GCRTA's Green Line. It was determined that the rail switch heater equipment must include proprietary components and software from Kapsch in order for the DYNAC system to operate as designed. Kapsch is the only vendor that can provide these proprietary rail switch heater components, software, project management, engineering, applications programming, field service and labor, testing, training, support, and other services.

Staff Summary & Comments  
Rail Heater Equipment and Services  
Page 2

A cost analysis has been performed and the Procurement Department has determined the negotiated proposal from Kapsch is fair and reasonable to the Authority.

- 4.0 **AFFIRMATIVE ACTION/DBE BACKGROUND:** All Affirmative Action requirements have been met. A 0% DBE goal was established for this procurement due to the lack of certified DBE firms.
- 5.0 **POLICY IMPACT:** Does not apply.
- 6.0 **ECONOMIC IMPACT:** This procurement will be funded through the RTA Development Fund, Power and Way Department budget, including but not limited to 100% Local funds and Capital Grants OH-2020-044-337 and OH-2021-050-337 in an amount not to exceed \$1,442,351.00 (\$1,153,880.80 in federal funds which represents 80% of the total cost).
- 7.0 **ALTERNATIVES:** Reject this offer. Rejection of this offer would leave the Authority without a tool to efficiently and visually monitor rail components remotely to help determine rail health.
- 8.0 **RECOMMENDATION:** This procurement was discussed by the Organizational, Services & Performance Monitoring Committee at the September 14, 2021 meeting. It is recommended that the offer of Kapsch TrafficCom USA Inc. be accepted and the resolution passed authorizing the General Manager, Chief Executive Officer to enter into a contract.
- 9.0 **ATTACHMENTS:** None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

  
\_\_\_\_\_  
General Manager, Chief Executive Officer

RESOLUTION NO. 2021-86

AUTHORIZING CONTRACT NO. 2021-88 WITH KAPSCH TRAFFICCOM USA INC. TO PROVIDE RAIL HEATER EQUIPMENT AND RELATED SERVICES FOR A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$1,442,351.00 (RTA DEVELOPMENT FUND, POWER AND WAY DEPARTMENT BUDGET)

WHEREAS, the Authority currently utilizes DYNAC by Kapsch TrafficCom USA Inc. ("Kapsch"), which is the newly installed Supervisory Control and Data Acquisition (SCADA) system; and

WHEREAS, the DYNAC SCADA system monitors train controls, as well as numerous other communications for its Light Rail Line (Blue, Green, and Trunk); and

WHEREAS, the Authority requires new rail heater equipment and related services to replace equipment which has exceeded its useful life; and

WHEREAS, the Ohio Revised Code, Section 306.43(H)(6) provides that competitive bidding is not required when the purchase substantially involves services of a personal, professional, highly technical, or scientific nature, including but not limited to the services of an attorney, physician, surveyor, appraiser, investigator, court reporter, adjuster, advertising consultant, or licensed broker, or involves the special skills or proprietary knowledge required for the servicing of specialized equipment owned by the regional transit authority; and

WHEREAS, the rail heater equipment and related services, are proprietary to Kapsch's DYNAC SCADA system and is the only vendor able to provide components that are compatible and will operate with the existing system; and

WHEREAS, Kapsch, located at 8201 Greensboro Drive, McLean, Virginia 22102 has offered to provide rail heater equipment and related services, in a total contract amount not to exceed \$1,442,351.00; and

WHEREAS, the General Manager, Chief Executive Officer deems that the negotiated offer of Kapsch to be in the best interest of the Authority, price and other factors considered, and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Kapsch TrafficCom USA, Inc. to provide rail heater equipment and related services, is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of the Authority be and she is hereby authorized to enter into a contract with Kapsch TrafficCom USA Inc. to provide rail heater equipment and related services.

Section 3. This procurement will be funded through the RTA Development Fund, Power and Way Department budget, including but not limited to 100% Local funds and Capital Grants OH-2020-044-337 and OH-2021-050-337 in a total contract amount not to exceed \$1,442,351.00 (\$1,153,880.80 in federal funds which represents 80% of the total cost).

Section 4. That said contract shall be binding upon and an obligation of the Authority contingent upon appropriation of funds, compliance by the contractor to the Specifications and Addenda thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees, bonding and insurance requirements and all applicable laws relating to contractual obligations of the Authority.

Section 5. That the Greater Cleveland Regional Transit Authority's Board of Trustees expects that Kapsch TrafficCom USA Inc. will attempt to exceed the 0% DBE goal assigned to this procurement.

Section 6. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



<b>TITLE/DESCRIPTION:</b>  AUTHORIZING INTERGOVERNMENTAL AGREEMENT WITH CLEVELAND METROPOLITAN SCHOOL DISTRICT FOR STUDENT FARES FOR SCHOOL YEAR 2021-2022 AND RATIFYING THE ISSUANCE OF TICKETS FOR THE FIRST DAY(S) OF SCHOOL	<b>Resolution No.:</b> 2021-87
	<b>Date:</b> September 23, 2021
	<b>Initiator:</b> Finance
<b>ACTION REQUEST:</b> <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 **PURPOSE/SCOPE:** This Intergovernmental Agreement will allow a volume discount to the Cleveland Metropolitan School District ("CMSD"), the Authority's largest volume buyer of student fare cards and tickets, for the purchase and payment of student tickets for the 2021-2022 school year. The purchase and payment will relieve some of the budgetary impact on the schools, as well as improve cash flow and accounts receivable activity for the GCRTA. This action includes ratification of the issuance of two hundred twenty thousand (220,000) 2-trip tickets to CMSD for use by students on August 6, 2021.

The CMSD school board approved this agreement at its August 24, 2021 board meeting.

2.0 **DESCRIPTION/JUSTIFICATION:** The proposed Intergovernmental Agreement with CMSD will allow for discounted rates, provided the school district meets certain criteria. This proposal is a result of discussions with CMSD with uncertainties presented by the COVID-19 pandemic in which CMSD remains uncertain of how the school year will progress between in-school or remote learning for its students. The terms have been modified from prior years.

3.0 **PROCUREMENT BACKGROUND:** Does not apply.

4.0 **DBE/AFFIRMATIVE ACTION BACKGROUND:** Does not apply.

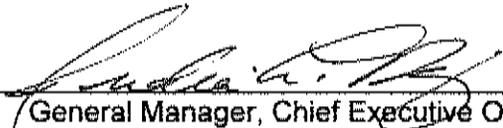
5.0 **POLICY IMPACT:** This action is consistent with the Board's policy to authorize the Authority to enter into intergovernmental agreements with school districts for student fares. Authorization of this Intergovernmental Agreement will allow GCRTA to continue to provide economical public transportation to students as well as promote ridership of public transportation.

6.0 **ECONOMIC IMPACT:** Based on the needs of CMSD, a maximum of 2,400,000 2-trip tickets could be purchased which would result in revenue of up to \$3,840,000 for the Authority.

Staff Summary and Comments  
Intergovernmental Student Transportation Agreement  
Page 2

- 7.0 ALTERNATIVES: Rejection of this action would result in hardship for the school district and could lead to the possible discontinuation of using public transportation for student transport.
- 8.0 RECOMMENDATION: This resolution was discussed at the September 14, 2021, Operational Planning & Infrastructure Committee and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 ATTACHMENT: Draft Intergovernmental Student Transportation Agreement.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



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General Manager, Chief Executive Officer

**INTERGOVERNMENTAL STUDENT TRANSPORTATION AGREEMENT  
BETWEEN THE  
GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
AND THE  
CLEVELAND METROPOLITAN SCHOOL DISTRICT  
FOR THE 2021-2022 SCHOOL YEAR**

THIS AGREEMENT, by and between the Greater Cleveland Regional Transit Authority (hereinafter referred to as "GCRTA"), 1240 West 6<sup>th</sup> Street, Cleveland, Ohio 44113-1331, acting pursuant to Resolution No. \_\_\_\_\_, adopted by its Board of Trustees on September \_\_\_\_\_, 2021, and the Cleveland Metropolitan School District (hereinafter referred to as the "District"), whose principle offices are located at 1111 Superior Ave E, Suite 1800, Cleveland, OH 44114, acting pursuant to Resolution No. 2021-81009(B), adopted by the Board of Education of the District on August 24, 2021.

WITNESSETH: That

WHEREAS, the District is certified by the Ohio Department of Education to provide education services; and

WHEREAS, the District wishes to make transportation available to its enrolled students and to students on whose behalf it is obligated to provide transportation service; and

WHEREAS, the GCRTA is amenable to providing said service to the District's students; and

WHEREAS, the District and GCRTA wish to provide transportation in a controlled, efficient and cost effective manner; and

WHEREAS, due to the COVID-19 pandemic, the District moved to remote learning in March 2020 and its students did not use GCRTA's transportation services from that date through the June 19, 2020 conclusion of the term of the Intergovernmental Student Transportation Agreement between the Greater Cleveland Regional Transit Authority and the Cleveland Metropolitan School district for Academic Year 2019 ("2019 Agreement"); and

WHEREAS, the District requested a refund for a portion of the amount paid under the 2019 Agreement to reflect the time period during which it did not take advantage of the transportation services provided by GCRTA; and

WHEREAS, although GCRTA continued to provide transportation services during the time period from March 2020 through June 2020, it wishes to support its community partners to the extent practicable; and

WHEREAS, after negotiation, the District and GCRTA agreed that GCRTA would apply a credit of \$518,000 to the District's account over the course of the 2020-2021 and 2021-2022 school years, with 25% of that amount credited to each of the fall 2020, spring 2021, fall 2021 and spring 2022 terms; and

WHEREAS, if the value of the tickets purchased by the District in any term is less than the amount of the credit for that term, GCRTA will credit up to the amount of the tickets purchased and carry the remainder forward to the next term but not past the spring 2022 term; and

WHEREAS, GCRTA will not in any event issue a cash refund; and

WHEREAS, the COVID-19 pandemic created uncertainty regarding whether the District's students would attend school in person or virtually during the 2020-2021 school year as well as the need for transportation services and GCRTA and the District entered into a short-term agreement to address the District's reduced need for transportation during the COVID-19 pandemic without setting a precedent for future agreements; and

WHEREAS, due to continuing uncertainty for the 2021-2022 school year, the District has determined that tickets will best address the District's need for transportation during the 2021-2022 school year.

NOW, THEREFORE, GCRTA and the District, for good and valuable consideration including the mutual promises contained below, agree as follows:

**1. TERM OF AGREEMENT**

This Agreement shall commence on August 1, 2021, and shall continue through June 22, 2022.

**2. PRICE AND TERMS OF SALE**

- a) The District will purchase up to 2,400,000 two-trip student tickets at a price of \$1.60 per two-trip ticket. (These tickets will be referred to herein as "Tickets.") Tickets must be ordered on a separate written purchase order. The District shall not assess a charge in excess of this price for each Ticket. GCRTA shall deliver the number of Tickets specified in each order to the District's Transportation Department. The risk of loss for said Tickets shall pass to the District immediately upon delivery of the Tickets to the District. The District shall sign the accompanying invoice and immediately return the signed invoice to GCRTA's Accounts Receivable Department.
- b) Tickets may be used by District students in Grades 6 through 12, residing within the borders of Cuyahoga County and attending private or public schools certified by Ohio Department of Education until the expiration date of the

Tickets. The District may exchange unused tickets prior to such expiration date. Tickets may be used on regular routes operated by the GCRTA for the purpose of transporting fare paying passengers. Tickets are non-transferable. Non-students using Tickets will either be denied service or required to pay the full fare.

c) Payment

GCRTA shall invoice the District for each order of Tickets and the District shall remit payment within 30 days of receipt of the invoice.

Failure to make timely payment may lead to the termination of this agreement.

Due to the fact that the District's students did not use transportation services during a portion of the 2019-2020 school year, GCRTA and the District agreed that GCRTA would apply a credit to the District's account. GCRTA will apply the remaining credit to the District's account over the course of the 2021-2022 school year. In no event will the remainder be carried forward past the 2021-2022 school year. Cash refunds will not be issued.

d) Invoices

Invoices will be directed to the District's Office of Accounting, Attention: Michael Bowen, Director of Accounting.

**3. RIGHT TO TERMINATE AGREEMENT**

If either Party becomes insolvent or fails to perform any undertaking essential to the purpose of this Agreement, or fails to conduct its business to the satisfaction of the other Party, then the other Party may cancel and terminate this Agreement by thirty (30) days' notice in writing to the defaulting Party, provided, however, that the defaulting Party may cure any failure of performance within thirty days of receipt of notice.

The expiration or termination, for any reason, of this Agreement shall be without prejudice to the rights of either Party.

**4. STUDENT CONDUCT**

a) In accordance with its representations in 2015, the District removed the following language from the 2016-2017 Student Code of Conduct and maintains its deletion from the 2021-2022 Student Code of Conduct:

A student suspended from the bus will be given two RTA tickets at the time of suspension. Additional RTA tickets will be provided, as

needed, each day the student appears in school during the term of the bus suspension.

- b) The Board of Education of the District has adopted and maintains the policy outlined in Exhibit A hereto.

5. **FORCE MAJEURE**

If because of Force Majeure, either Party shall be unable to carry out any of its obligations under this Agreement, then those obligations shall be suspended to the extent made necessary by Force Majeure. The Party affected by Force Majeure shall give notice to the other Party as promptly as practical of the nature and probable duration of such Force Majeure. "Force Majeure" shall mean acts of God, riots, strikes, labor disputes, labor or material shortages, fires, explosions, floods, breakdowns of or damage to plants, equipment or facilities, or other causes of similar nature which are beyond the reasonable control of the Party and which wholly or partially prevent the timely performance of the Party's obligations under this Agreement, provided that the effect of such Force Majeure shall be eliminated insofar as possible with all reasonable dispatch; provided further, that performance of service obligations by the Party shall be excused only to the extent made necessary by the Force Majeure condition; provided further, that neither Party shall be required to settle a labor dispute on terms unacceptable to the Party affected; and provided further, that neither Party shall be required to rebuild all or a major portion of its facilities which are destroyed or substantially impaired by a Force Majeure condition. The Parties agree that, during the duration of the Force Majeure condition, neither will seek to declare the other in default for failure to timely perform its obligations under this Agreement.

6. **RECORDS AND AUDITS**

The District shall maintain books, records, documents, and other evidence directly pertinent to the performance of the Work under this Agreement in accordance with generally accepted accounting principles and practices consistently applied and Federal Acquisition Regulations, Parts 30 and 31, as applicable. GCRTA and its authorized representatives shall have the right to audit, to examine and to make copies of or extracts from all financial and related records (in whatever form they may be kept, whether written, electronic, or other) relating to or pertaining to this Agreement kept by or under the control of the District, including, but not limited to those kept by the District, its employees, agents, assigns, successors and subcontractors. Such records shall include, but not be limited to, accounting records, written policies and procedures; subcontract files; all paid vouchers including those for out-of-pocket expenses; other reimbursement supported by invoices; ledgers; cancelled checks; deposit slips; bank statements; journals; original estimates; estimating work sheets; contract amendments and change order files; back charge logs and supporting documentation; insurance documents; payroll documents; timesheets; memoranda; and correspondence. The District

shall, at all times during the term of this Agreement and for a period of three years after the completion of this Agreement, maintain such records, together with such supporting or underlying documents and materials. The District shall at any time requested by GCRTA, whether during or after completion of this Agreement, and at the District's own expense make such records available for inspection and audit (including copies and extracts of records as required) by GCRTA. Such records shall be made available to GCRTA during normal business hours at the District's office or place of business. In the event that no such location is available, then the financial records, together with the supporting or underlying documents and records, shall be made available for audit at a time and location that is convenient for GCRTA. The District shall ensure GCRTA has these rights with the District's employees, agents, assigns, successors, and subcontractors, and the obligations of these rights shall be explicitly included in any subcontracts or agreements formed between the District and any subcontractors to the extent that those subcontracts or agreements relate to fulfillment of the District's obligations to GCRTA. If the audit discovers substantive findings related to fraud, misrepresentation, or non-performance, GCRTA may recoup the costs of the audit work from the District. Any adjustments and/or payments that must be made as a result of any such audit or inspection of the District's invoices and/or records shall be made within a reasonable amount of time (not to exceed 90 days) from presentation of GCRTA's findings to the District.

7. **ASSIGNMENT**

Neither Party shall assign any of its rights or obligations under this Agreement without the prior written consent of the other Party.

8. **CHANGES; ALTERATIONS**

No change, alteration, modification, or addition to this Agreement shall be effective unless in writing and properly executed by both Parties.

9. **APPLICABLE LAW; SEVERABILITY**

This Agreement and any disputes relating to it shall be construed under the laws of the State of Ohio. If any provisions of this Agreement are determined to be invalid or unenforceable, such invalidity or unenforceability shall not affect any of the Agreement's remaining provisions.

10. **ENTIRE AGREEMENT**

This instrument constitutes the entire Agreement of the Parties with respect to its subject matter, superseding all prior understandings, agreements, or communications (whether oral or written), and shall be binding upon and inure to the benefit of the Parties, their successors, and permitted assigns.

11. NOTICES

All notices to be given pursuant to this Agreement shall be sufficient if given in writing, delivered in person or by registered or certified mail, postage prepaid, and, in either case addressed to the respective party at its postal address or at such other address or addresses each may hereafter designate in writing. Notices by mail shall be deemed effective and complete at the time of posting and mailing in accordance herewith.

Notice shall be delivered or mailed to the parties at the addresses shown below:

If to the District	If to GCRTA
Cleveland Metropolitan School District	Greater Cleveland Regional Transit Authority
Attn: Eric Taylor	Attn: John J. Togher
Executive Director, Transportation	
3832 Ridge Road	Director of Accounting
Cleveland, OH 44144	1240 West 6 <sup>th</sup> Street
	Cleveland, OH 44113-1331

12. ACKNOWLEDGEMENT

The Parties acknowledge and agree that the District is a governmental entity and due to statutory provisions cannot commit to the payment of funds beyond its fiscal year (July 1 through June 30). By signing this Agreement, the District represents that it has appropriated the funds necessary to fulfill its obligations under this agreement for the 2021 – 2022 school year.

IN WITNESS WHEREOF, the Parties have executed this Agreement at Cleveland, Ohio, as of the last date set forth below.

**GREATER CLEVELAND  
REGIONAL TRANSIT AUTHORITY**

By: \_\_\_\_\_  
India L. Birdsong,  
General Manager, CEO

Date: \_\_\_\_\_

**CLEVELAND METROPOLITAN  
SCHOOL DISTRICT**

By: ESG  
Eric S. Gordon  
Chief Executive Officer

Date: September 8, 2021

The legal form and correctness of the within instrument is hereby approved.

\_\_\_\_\_  
Sheryl King Benford  
Deputy General Manager-Legal

Approved as to Legal Sufficiency  
Contract    Document     
Initials: SKB  
Date: 9/8/2021  
Office of the Chief Legal Counsel  
Cleveland Metropolitan School District

**CERTIFICATE OF FUNDS  
(Section 5705.41, O.R.C.)**

In the matter of: Greater Cleveland Regional Transit Authority

IT IS HEREBY CERTIFIED that moneys required to meet the obligations of the Board of Education of the Cleveland Metropolitan School District under the above referenced Agreement have been lawfully appropriated for such purposes and are in the treasury of the School District or are in the process of collection to an appropriate fund, free from any previous encumbrance.

**CLEVELAND METROPOLITAN SCHOOL DISTRICT**

By: \_\_\_\_\_

Dated: 9/3/2021

Exhibit A  
Repeat Violent Offender Exclusion Policy

Any high school student found by any judicial system to have committed two or more nonviolent crimes (including, but not limited to, theft, criminal damaging, disorderly conduct, or menacing) related to conduct occurring on an RTA vehicle or at an RTA facility or stop may, at the discretion of the CEO or his/her designee, forfeit their privilege to receive RTA transportation or payment for a period of up to one year.

Any high school student found by a judicial system to have committed any violent crime (including, but not limited to, assault, robbery, any sex crime, arson, possession of a dangerous ordinance, or possession of any weapon prohibited by Cleveland Codified Ordinance Section 627.11) related to conduct occurring on an RTA vehicle or at an RTA facility or stop will forfeit their privilege to receive RTA transportation or payment for a period of one year.

Any high school student found by a judicial system to have committed any violent or nonviolent crime related to conduct occurring on an RTA vehicle or at an RTA facility or stop after a one year forfeiture and reinstatement of RTA transportation privileges will permanently forfeit his or her privilege to receive RTA transportation or payment.

Students who forfeit their RTA transportation privilege pursuant to this policy will not be provided any other means of transportation by the District, except that students who require transportation services pursuant to an individualized education program or 504 plan will be provided alternative transportation services by the District.

RESOLUTION NO. 2021-87

AUTHORIZING THE GENERAL MANAGER, CHIEF EXECUTIVE OFFICER TO ENTER INTO AN INTERGOVERNMENTAL AGREEMENT WITH THE CLEVELAND METROPOLITAN SCHOOL DISTRICT FOR STUDENT FARES FOR THE 2021-2022 SCHOOL YEAR AND RATIFYING THE ISSUANCE OF TICKETS

WHEREAS, the Greater Cleveland Regional Transit Authority ("GCRTA" or "the Authority") provides public transportation that facilitates travel by students to and from school; and

WHEREAS, pursuant to Resolution No. 2006-131, to avoid an undue hardship on the school districts and to improve the cash flow for the Authority, the GCRTA established an alternate fare structure for the 2006–2007 school year through the 2009–2010 school year for school districts that purchase \$1,000,000 or more of student fare cards per year; and

WHEREAS, pursuant to Resolution No. 2010-019 the rates of fares to be charged by the GCRTA were amended; and

WHEREAS, pursuant to Resolution No. 2010-043, the alternate fare structure established in Resolution No. 2006-131 was revised for the 2010–2011 school year through the 2012–2013 school year to align with the fares enacted in Resolution 2010-019; and

WHEREAS, pursuant to Resolution 2012-076, the Board of Trustees approved an agreement with the Cleveland Metropolitan School District ("CMSD"), the Authority's largest volume buyer of student fare cards, for the purchase of \$2,430,000 in tickets in advance and instituted the use of farebox-compatible picture identification cards ("Passes") thus improving transportation efficiency and control of student passengers; and

WHEREAS, pursuant to Resolution Nos. 2013-109, 2014-061, 2015-075, 2016-066, 2017-051, 2018-073 and 2019-101, the Board of Trustees approved agreements with CMSD for the purchase of passes and tickets; and

WHEREAS, pursuant to Resolution 2016-036, the rates of fares to be charged by the GCRTA were amended, including an increase in the price of a student ticket from \$1.50 to \$1.75; and

WHEREAS, due to the uncertainties presented by the COVID-19 pandemic, GCRTA entered into a modified agreement with CMSD for the 2020-2021 school year with terms that were modified from previous years; and

WHEREAS, due to the ongoing uncertainties presented by the COVID-19 pandemic, it is in the best interest of the Authority to again enter into an intergovernmental agreement with CMSD with terms that have been modified from previous years for the 2021-2022 school year; and

WHEREAS, CMSD has agreed to purchase up to 2,400,000 two-trip student tickets at a price of \$1.60 per two-trip ticket, and to order tickets as needed; and

WHEREAS, CMSD has ordered and GCRTA has delivered 220,000 tickets to CMSD for use by students.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the General Manager, Chief Executive Officer is authorized to enter into an intergovernmental agreement with the Cleveland Metropolitan School District ("CMSD") for the 2021-2022 school year. The actions of the General Manager, Chief Executive Officer, in issuing tickets on August 6, 2021, are hereby ratified.

Section 2. CMSD will purchase up to 2,400,000 two-trip student tickets at a price of \$1.60 per two-trip ticket. Tickets shall be ordered as needed and paid for within 30 days of receipt of GCRTA's invoice.

Section 3. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



Greater Cleveland  
Regional Transit Authority

Interoffice Memo

**To:** Rev. Charles P. Lucas, President  
and Members, Board of Trustees

**From:** India L. Birdsong  
General Manager, Chief Executive Officer

A handwritten signature in black ink, enclosed in a hand-drawn oval.

**Date:** September 23, 2021

**Subject:** Cash Management Resolutions

At the September 28, 2021 Board Meeting the Board of Trustees will consider a resolution designating depositories for GCRTA's active and interim funds and a resolution estimating the amount of GCRTA's inactive funds. Sections 135.05 and 135.12 of the Ohio Revised Code (Uniform Depository Act) require this of the Governing Body every five years.

The following is a summary of the two resolutions:

1) Designation of Depositories for GCRTA's Active and Interim funds

This designation identifies the institutions which are permitted to receive and have on deposit the following:

- A) Active funds-Public funds used to meet immediate needs
- B) Interim Funds-Public funds that are not needed for immediate use but will be needed before the end of the five year period

2) Estimation of Inactive Deposits

Inactive deposits are public funds, which will not be needed within the five-year period. GCRTA's inactive deposits will be \$0.

Please contact me at 216-356-3100 or Rajan Gautam at 216-356-3108 if you have questions or require additional information prior to Tuesday's meeting.

IB:RG:cw



Greater Cleveland Regional Transit Authority  
**STAFF SUMMARY AND COMMENTS**

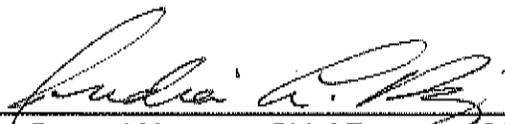
TITLE/DESCRIPTION:  DESIGNATION OF DEPOSITORIES	Resolution No.: 2021-88
	Date: September 23, 2021
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This action will satisfy the requirement of State law regarding the designation of depositories every five years.
- 2.0 DESCRIPTION/JUSTIFICATION: Section 135.12 of the Ohio Revised Code requires the Board of Trustees to designate eligible depositories for the Authority's public monies that will be deposited during the period October 5, 2021 through October 4, 2026. Since the Authority has estimated that it will have no inactive deposits, only those depositories designated by the Board via this resolution will be able to compete for the Authority's interim monies or for the Authority's active deposits during the period from October 5, 2021 through October 4, 2026.
- 3.0 PROCUREMENT BACKGROUND: Pursuant to Section 135.08 and 135.10 of the Ohio Revised Code, eligible institutions located in the State of Ohio desiring to be a depository for the Authority's active and interim deposits made application in writing to the Authority specifying the maximum amount of such public monies which the applicant desires to receive during the period covered by the designation. The Authority advertised applications on the Authority's website and in two newspapers. There were five institutions that responded and all five made application for active and interim deposits of the Authority.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply
- 5.0 POLICY IMPACT: It is the policy of the GCRTA to comply with Ohio Revised Code and designate depositories for active and interim funds every five years.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Pursuant to Ohio Revised Code 135.12, the Board is required to meet every five years in the month preceding the expiration of its designation of public depositories for the purpose of designating the public depositories of the public monies of the Authority. At such meeting, the Board shall designate such public depositories and award the public monies of the Authority to and among the depositories so designated for a period of five years. An eligible depository can be deleted from this resolution, but additions cannot be made if the application was not received prior to the Board meeting.

8.0 RECOMMENDATION: It is recommended that this resolution be approved to comply with State law.

9.0 ATTACHMENTS: None

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



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( General Manager, Chief Executive Officer

RESOLUTION NO. 2021-88

DESIGNATION OF DEPOSITORIES FOR ACTIVE AND INTERIM PUBLIC MONIES DURING THE FIVE-YEAR PERIOD COMMENCING OCTOBER 5, 2021 THROUGH OCTOBER 4, 2026

WHEREAS, the applications have been received from eligible institutions to serve as depositories for the Authority's active and interim monies; and

WHEREAS, said applications are presently on file in the office of the Procurement Department; and which are hereby incorporated herein by reference; and

WHEREAS, it is therefore essential that action be taken on such applications.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the applications to serve as depositories of the active monies of this Authority, which have been received from the financial institutions referred to below, are hereby accepted and the financial institutions are designated as public depositories of the active monies under the control of this Board for the period from October 5, 2021 through October 4, 2026, provided, however, that none of such depositories shall be permitted to receive and have on deposit at any time, in accordance with Section 135.04 of the Ohio Revised Code, an amount of active deposits greater than that specified in the application of each depository.

Name of Institutions-Active Deposits

Fifth Third Bank  
KeyBank  
Dollar Bank

Huntington National Bank  
JPMorgan Chase Bank

Section 2. That the applications to serve as depositories of the interim funds of this Authority, which have been received from the financial institutions referred to below, are hereby accepted and the financial institutions are determined to be eligible depositories for deposit of such monies. The financial institutions referred to below are hereby found to be in conformity with the law, including Chapter 135 of the Ohio Revised Code and are hereby designated as public depositories of the interim monies under the control of this Board for the period from October 5, 2021 through October 4, 2026.

Name of Institutions-Interim Funds

Fifth Third Bank  
KeyBank  
Dollar Bank

Huntington National Bank  
JPMorgan Chase Bank

The Secretary-Treasurer and Cash Manager/Investment Officer are authorized to determine from time to time the amount of funds available for investment or deposit as interim monies, to select the date or dates for investment or deposit of such interim monies, and to select the classification of obligators for the investment or deposit of such monies as provided in Section 135.14 of the Ohio Revised Code, provided that if such monies are determined to be deposited as interim deposits, as provided in Section 135.08 of the Ohio Revised Code, in accordance with Division (b) (3) of Section 135.14, that the award of interim deposits shall be made in accordance with Section 135.09 of the Ohio Revised Code and the Secretary-Treasurer or Cash Manager/Investment Officer shall determine the periods for which such interim deposits are to be made and shall award such interim deposits for such periods.

Section 3. That the award of public monies herein made is subject to the provisions of Chapter 135 of the Ohio Revised Code, including the limitation imposed by, and the variations permitted by Section 135.03 and 135.20 thereof, respectively; and subject to the provisions of such Chapter, in accordance with the financial requirement of this Authority.

Section 4. That the Director of Procurement is hereby directed to keep all such applications on file.

Section 5. That the Director of Procurement is hereby directed to forward certified copies of this resolution to the financial institutions herein designated as public depositories of this Authority, and the President and the Secretary-Treasurer are hereby authorized and directed to execute on behalf of this Board such memorandum agreements relating to the designation of such institutions as public depositories and the security of deposits therein as are required, authorized or permitted by law.

Section 6. That it is hereby found and determined that all formal actions of this Board concerning and relating to the adoption of this resolution were adopted in an open meeting of this Board, and that all deliberations of this Board and any of its committees that resulted in such formal action were in meetings open to the public in compliance with legal requirements including Sections 121.22 of the Ohio Revised Code.

Section 7. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



Greater Cleveland Regional Transit Authority  
**STAFF SUMMARY AND COMMENTS**

TITLE/DESCRIPTION:  ESTIMATION OF INACTIVE DEPOSITS	Resolution No.: 2021-89
	Date: September 23, 2021
	Initiator: Finance
ACTION REQUEST: X Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

1.0 PURPOSE/SCOPE: This action will satisfy the requirement of Chapter 135 of the Ohio Revised Code, regarding the award of inactive deposits.

2.0 DESCRIPTION/JUSTIFICATION: Section 135.05 of the Ohio Revised Code requires political subdivisions to adopt a resolution estimating the amount of funds to be deposited as inactive deposits during each five-year period.

Inactive deposits are public deposits that will not be needed for day-to-day operations or in the near future, and are not active or interim deposits. The Authority does not anticipate that it will have any funds that can be deposited as inactive during the next five years. State law does not require a resolution estimating the amount of active or interim deposits.

3.0 PROCUREMENT BACKGROUND: Does not apply.

4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: Does not apply.

5.0 POLICY IMPACT: It is the policy of the GCRTA to comply with Ohio Revised Code and designate inactive deposits every five years.

6.0 ECONOMIC IMPACT: Does not apply.

7.0 ALTERNATIVES: Reject this action. Rejection of this action would risk placing the Authority out of compliance with the requirements of Chapter 135 of the Ohio Revised Code, regarding inactive deposits.

8.0 RECOMMENDATION: It is recommended that this resolution be approved to comply with State law.

9.0 ATTACHMENTS: None.

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

\_\_\_\_\_  
General Manager, Chief Executive Officer

RESOLUTION NO. 2021-89

ESTIMATION OF INACTIVE DEPOSITS OF THE GREATER CLEVELAND  
REGIONAL TRANSIT AUTHORITY TO BE AWARDED FOR THE PERIOD  
FROM OCTOBER 5, 2021 THROUGH OCTOBER 4, 2026

WHEREAS, Section 135.05 of the Ohio Revised Code requires the governing body of each political subdivision to estimate, by resolution, the aggregate amount of public monies subject to its control to be awarded and on deposit as an inactive deposit; and

WHEREAS, Section 135.05 of the Ohio Revised Code provides that all deposits of public monies of a subdivision in excess of the aggregate amount so designated shall be active deposits or interim deposits; and

WHEREAS, the current designation period will end on October 4, 2021; and

WHEREAS, the Greater Cleveland Regional Transit Authority estimates that it will have no monies designated as inactive deposits during the five-year period commencing October 5, 2021 and ending October 4, 2026.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the Board of Trustees of the Greater Cleveland Regional Transit Authority estimates that it will have no inactive deposits during the period from October 5, 2021 through October 4, 2026, and that all deposits of public monies of the Greater Cleveland Regional Transit Authority during said period be designated as active deposits or interim deposits.

Section 2. That the General Manager, Chief Executive Officer be and she is hereby authorized to make such representations and certifications in connection with this action as are reasonably necessary to conform with the requirements of Chapter 135 of the Ohio Revised Code.

Section 3. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



Greater Cleveland Regional Transit Authority  
**STAFF SUMMARY AND COMMENTS**

TITLE/DESCRIPTION:  AMENDING CHAPTER 286 AND REPEALING CHAPTER 289 OF THE CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY	Resolution No.: 2021-90
	Date: September 23, 2021
	Initiator: Finance
ACTION REQUEST: <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____	

- 1.0 PURPOSE/SCOPE: This resolution will amend Chapter 286, Finance and Administration Division, and repeal Chapter 289, Office of Management and Budget, of the Codified Rules and Regulations ("Code") of the Greater Cleveland Regional Transit Authority.
- 2.0 DESCRIPTION/JUSTIFICATION: The Policies and Procedures of the Board of Trustees were codified in 1989, pursuant to Resolution 1989-176. The Code Book is now undergoing a comprehensive review and update so that the Code will conform to the current structure and operations of the Authority. The proposed amendments will update the title of Chapter 286 from "Finance and Administration Division" to "Finance Division" and include the budget department as a part of the Finance Division. In addition, Chapter 289, Office of Management and Budget, will be repealed to reflect the fact that the budget department is now a part of the Finance Division.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 AFFIRMATIVE ACTION/DBE BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Adoption of the resolution will bring Chapter 286 of the Code Book up to date and remove Chapter 289, which is obsolete.
- 6.0 ECONOMIC IMPACT: Does not apply.
- 7.0 ALTERNATIVES: Not adopting this resolution. Not adopting this resolution would result in a policy that remains out of date.
- 8.0 RECOMMENDATION: This resolution was discussed at the September 14, 2021 Committee of the Whole meeting and recommended for consideration by the full Board of Trustees. It is recommended that this resolution be adopted.
- 9.0 ATTACHMENT:     A. Red-line of proposed amendments to Chapter 286  
                          B. Red-line of proposed amendments to Chapter 289

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.

\_\_\_\_\_  
General Manager, Chief Executive Officer

## ATTACHMENT A TO STAFF SUMMARY

### CHAPTER 286

#### Finance ~~and Administration~~ Division

- 286.01 Composition.
- 286.02 Deputy General  
Manager-Finance-  
~~and~~  
~~Administration.~~

#### CROSS REFERENCES

- Urbanized area formula grants - see 49 U.S.C.A. 5307
- Fixed guideway capital investment grants - see U.S.C.A. 5309
- Research, development, demonstration, and deployment projects - see 49 U.S.C.A. 5312
- Technical assistance and standards development - see 49 U.S.C.A. 5314
- General Provisions - 49 U.S.C.A. 5323
- Public transportation emergency relief program - 49 U.S.C.A. 5324
- National transit database - see 49 U.S.C.A. 5335
- Apportionment of appropriations for formula grants - see 49 U.S.C.A. 5336
- State of good repair grants - see 49 U.S.C.A. 5337
- Authorizations - see 49 U.S.C.A. 5338
- Uniform system of accounts and records and reporting system - see 49 C.F.R. Part 630
- Nondiscrimination - see 49 U.S.C.A. 5332
- Tax levies - see Ohio R.C. 306.321, 306.49, 306.52, 306.70, 306.71
- Bidding and contracts - see Ohio R.C. 153.12, 306.43, 306.44
- Revenue bonds - see Ohio R.C. 306.37 et seq.
- County commissioners may appropriate funds - see Ohio R.C. 306.47
- Tax levy law - see Ohio R.C. 5705.01 et seq.
- Sales tax - see Ohio R.C. 5739.01 et seq.
- Bidder for certain public contracts to obtain certificate of compliance with affirmative action requirements - see Ohio R.C. 9.47
- Affirmative action provisions to be in all contracts with State or subdivision - see Ohio R.C. 125.111
- Discrimination prohibited in contracts, purchase orders and agreements - see Bylaws Art. VII, Sec. 5
- Affirmative action and disadvantaged business enterprise/women's business enterprise program - see PERS. Ch. 620
- Deputy General Manager-Finance ~~and Administration~~ as member of Executive Management Team - see ADM. 240.01
- Acceptance and expenditure of Federal funds by General Manager/~~Secretary-Treasurer, Chief Executive Officer~~ - see ADM. 242.03
- Financial policies and procedures - see FIN. Ch. 460

Funds - see FIN. Ch. 460

Protection against internal losses of public assets - see FIN. Ch. 464

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**286.01 COMPOSITION.**

The Finance ~~and Administration~~ Division is responsible for the Authority's financial matters, including accounting, support services, procurement, revenue ~~and~~ cash management ~~and budget~~. The Office of Business Development is located within the Finance ~~and Administration~~ Division.

(Res. 2015-126. Passed 12-15-15.)

**286.02 DEPUTY GENERAL MANAGER-FINANCE-  
~~AND ADMINISTRATION.~~**

There is hereby established in the Finance ~~and Administration~~ Division the unclassified position of Deputy General Manager-Finance ~~and Administration~~, within the executive management structure.

(Res. 2015-126. Passed 12-15-15. Res. 2021-XXX. Passed XX-XX-XX.)

## ATTACHMENT B TO STAFF SUMMARY

### ~~CHAPTER 289~~

#### ~~Office of Management and Budget~~

~~289.01 Supervision. 289.02 Executive Director.~~

### ~~CROSS REFERENCES~~

~~Public transportation—see 49 U.S.C.A. 5301 et seq.~~

~~Federal Transit Administration, Department of Transportation—see 49 C.F.R.  
Ch VI~~

~~Table of organization; establishment of departments; salaries—see BYLAWS, Art.  
VIII, Sec. 4~~

~~Long range plan—see SERV. Ch. 1090~~

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#### ~~289.01 SUPERVISION.~~

~~The Office of Management and Budget will report to the General Manager/  
Secretary Treasurer.~~

~~(Res. 2015-124. Passed 12-15-15.)~~

#### ~~289.02 EXECUTIVE DIRECTOR.~~

~~There is hereby established in the Office of Management and Budget the  
unclassified position of Executive Director of the Office of Management and Budget.~~

~~(Res. 2015-124. Passed 12-15-15.)~~

RESOLUTION NO. 2021-90

AMENDING CHAPTER 286 AND REPEALING CHAPTER 289 OF THE  
CODIFIED RULES AND REGULATIONS OF THE GREATER CLEVELAND  
REGIONAL TRANSIT AUTHORITY

WHEREAS, pursuant to Resolution No. 1989-176, the Board of Trustees of the Authority codified the resolutions establishing its policies and procedures; and

WHEREAS, the Authority has conducted a review and determined that Chapter 480 should be updated; and

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That Chapter 286, Finance and Administration Division, is hereby amended to read as specified in Attachment A hereto.

Section 2. That Chapter 289, Office of Management and Budget, is hereby repealed in its entirety.

Section 3. That the Board of Trustees hereby waives the fourteen-day period provided for in Article XI, Section 2 of the Bylaws.

Section 4. That this resolution shall become effective immediately upon its adoption.

Attachment: A. Chapter 286

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



**286.01 COMPOSITION.**

The Finance Division is responsible for the Authority's financial matters, including accounting, support services, procurement, revenue cash management and budget. The Office of Business Development is located within the Finance Division.

(Res. 2015-126. Passed 12-15-15.)

**286.02 DEPUTY GENERAL MANAGER-FINANCE.**

There is hereby established in the Finance Division the unclassified position of Deputy General Manager-Finance, within the executive management structure.

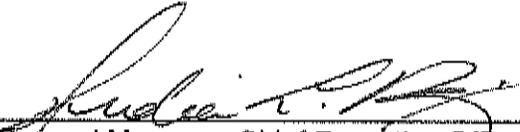
(Res. 2015-126. Passed 12-15-15. Res. 2021-XXX. Passed XX-XX-XX.)



TITLE/DESCRIPTION:		Resolution No.:
CONTRACT:	UTILITY AGREEMENT TO EXTEND ELECTRIC SERVICE TO THE NEW WARRENSVILLE VAN AKEN SUBSTATION	2021-91
VENDOR:	CLEVELAND ELECTRIC ILLUMINATING COMPANY ("CEI")	Date: September 27, 2021
AMOUNT:	NTE \$850,520.03	Initiator: Engineering & Project Development
ACTION REQUEST:		
<input checked="" type="checkbox"/> Approval <input type="checkbox"/> Review/Comment <input type="checkbox"/> Information Only <input type="checkbox"/> Other _____		

- 1.0 PURPOSE/SCOPE: This resolution seeks Board approval for a utility agreement with the Cleveland Electric Illuminating Company ("CEI") for the purpose of extending electric service to the new Warrensville Van Aken Substation located north and west of the terminus of the Blue Line in Shaker Heights, Ohio.
- 2.0 DESCRIPTION/JUSTIFICATION: The utility agreement will provide underground service from the manhole in Tuttle Road to the new location of the substation and will include duct banks, cabling, switch gears and all appurtenances to provide 33 KVA service.
- 3.0 PROCUREMENT BACKGROUND: Does not apply.
- 4.0 DBE/AFFIRMATIVE ACTION BACKGROUND: Does not apply.
- 5.0 POLICY IMPACT: Does not apply.
- 6.0 ECONOMIC IMPACT: This procurement will be funded through the RTA Development Fund, Engineering and Project Development Department budget, including but not limited to Capital Grant OH-2018-027 for a total amount of \$850,520.03 (\$680,416.02 in federal funds, which represents 80% of the cost).
- 7.0 ALTERNATIVES: The RTA can refrain from entering into the utility agreement with CEI. Refraining from entering into the agreement would result in not having electrical service extended to the new Warrensville Van Aken substation.
- 8.0 RECOMMENDATION: The utility agreement was discussed with the Board of Trustees at the June 15, 2021 Operational Planning & Infrastructure Committee meeting. It is recommended that the Board of Trustees approve the resolution authorizing the General Manager, Chief Executive Officer to execute the utility agreement with CEI.
- 9.0 ATTACHMENTS: Draft Agreement

Recommended and certified as appropriate to the availability of funds, legal form and conformance with the Procurement requirements.



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General Manager, Chief Executive Officer

**CUSTOMER INFORMATION: (Please Print)**

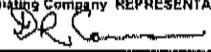
CUSTOMER NAME <b>Greater Cleveland Transit Authority</b>		ACCOUNT NO <b>1.10122E+11</b>	
SERVICE ADDRESS <b>20110 Van Aken &amp; Warrensville</b>		SUITE NO	CUSTOMER PHONE
	STATE <b>OH</b>	ZIP CODE <b>44120</b>	CUSTOMER PHONE (ALT) <b>216-781-4097</b>
MAILING ADDRESS <b>1240 West 6th Street</b>			
CITY <b>Cleveland</b>	STATE <b>OH</b>	ZIP CODE <b>44113</b>	
I request to have The Illuminating Company perform the work described below at the above address. I agree that final scheduling of this work will not begin until payment of the agreed price below is received by The Illuminating Company. I understand that quoted prices remain in effect for only 90 days. In Jobbing and Contracting related work I understand that other providers are available to perform this work. The regulated services I currently receive from The Illuminating Company will not be impacted in any way regardless of the provider I select to do this work. This is not a invoice.			
CUSTOMER NAME (Or DBA/INC) PRINT:		CUSTOMER NAME (Or DBA/INC) SIGN:	
IF COMMERCIAL OR INDUSTRIAL CUSTOMER - SIGNEE'S TITLE IN THE COMPANY			DATE

If there are any questions concerning this work please call the Illuminating Company at 1-800-589-3101.

**FOR The Illuminating Company USE ONLY**

CREWS WORK REQUEST NO	CODE	BILLABLE ID	WORK DESCRIPTION	PRICE
<b>61408611</b>		<b>c</b>	Install manhole, conduit and cable from Tuttle Rd / busway intersection to proposed 33kv service location	\$ 544,457.08
<b>61408611</b>		<b>d</b>	Provide and pad mounted service equipment and the associated infrastructure	\$ 397,634.60
			Credit of Ohio Line Extension as proposed as least cost option	\$ (117,581.10)
<b>Total Federal Income Tax:</b>				
<b>Total State Tax:</b>				
State:		Rate:	<b>Total Sales Tax:</b>	
County:			<b>Total to be Billed:</b>	\$ 824,510.58

REMARKS  
 THIS IS NOT AN INVOICE, PLEASE SIGN AND RETURN TO 6896 MILLER ROAD , BRECKSVILLE OHIO 44141

The Illuminating Company REPRESENTATIVE (Print) Daniel R Carman	The Illuminating Company REPRESENTATIVE (Signature)  9/17/21
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Do Not Send Payment. Invoice will be mailed to address indicated.

# ILLUMINATING COMPANY (CEI)

9/17/21, 12:47 PM

## Customer Work Approval and Payment Designation

**Work Request Information**

**WR No:** 61408611      **SAP Order No:** 17003806      **SAP Notification No:** 759356080      **Entry Date:** 06/24/2021  
**WR Name:** GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, GCRTA  
**Street No:** 20110      **Street No Frac:**      **Dir:**      **Unit No:**  
**Street Address:** VAN AKEN BLVD  
**City:** SHAKER HEIGHTS      **State:** OH      **Zip:** 44120  
**WR Desc:** / Remarks: PER EMAIL FROM DYLAN LONG - NEED DCOMN - with the same attributes as Order 15283512 (Notif 742904684, WR 58581230)? The original WR was STOPPED and should not have been....MTH/TEAM1/ Init Slage Const: Site Staked/ Reason Contact Req: DESIGN

I request to have ILLUMINATING COMPANY (CEI) perform the work described below at the above address. I agree that final scheduling of this work will not begin until payment of the agreed price below is received by ILLUMINATING COMPANY (CEI). I understand that quoted prices remain in effect for only 90 days. In Jobbing and Contracting related work I understand that other providers are available to perform this work. The regulated services I currently receive from ILLUMINATING COMPANY (CEI) will not be impacted in any way regardless of the provider I select to do this work. This is not an invoice.

**Bill To Information**

**Billable Id:** A      **Billing Entry Date:** 08/09/2021  
**Bill To Name:** GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY, GCRTA  
**Attention:**  
**Street No:** 20110      **Street No Frac:**      **Dir:**      **Unit No:**  
**Street Name:** VAN AKEN BLVD  
**City:** SHAKER HEIGHTS      **State:** OH      **Zip:** 44120  
**Purchase Order No:**  
**Sales Rep:** JRR9 COX, JACARA R      **Phone:** (440) 546-8812

**Billable Charges**

Description:			
<b>Detail:</b>		<b>Direct Cost</b>	<b>Overheads</b>
	Labor	\$ 25,546.05	\$ 8,177.29
	Material	\$ 17,724.44	\$ 1,868.16
	Equipment	\$ 4,106.87	\$ 432.86
			<b>Regular Cost Estimate:</b> \$ 57,855.67
			<b>Excluded Operation &amp; Maintenance Cost:</b> \$ -20.27
			<b>Adjusted Cost Estimate:</b> \$ 57,875.94
			<b>Company Obligation for Construction:</b> \$ (34,725.56)
			<b>Line Extension:</b> \$ 23,150.38
			<b>Premium:</b> \$ 0.00
			<b>Sub Total:</b> \$ 23,150.38
			<b>CIAC Tax:</b> \$ 2,859.07
			<b>Finance Interest:</b> \$ 0.00 <b>Months:</b>
			<b>Est. Sales Tax:</b> \$ 0.00 <b>County:</b>
			<b>Amount to be Invoiced:</b> \$ 26,009.45

CUSTOMER NAME (Or DBA/INC)	CUSTOMER SIGNATURE
SIGNEE'S TITLE IN THE COMPANY	DATE
ILLUMINATING COMPANY (CEI) REPRESENTATIVE (Print)  Daniel R Carman	ILLUMINATING COMPANY (CEI) REPRESENTATIVE (Signature)  9/17/21

TERMS AND CONDITIONS

illuminating Company ("IC") and Owner agree the terms and conditions herein shall constitute the complete and final agreement between the parties, superseding all other agreements, written or oral. These terms and conditions may not be modified hereafter except by written agreement of IC.

INITIAL

DATE

**BILLING AND PAYMENT**

In the event that IC performs the agreed to work prior to payment, IC will invoice Owner for the work performed pursuant to this Agreement. Payment shall be made to IC upon Owner's receipt of invoice. All invoices past due thirty (30) days or more shall be charged the lesser of one and one-half percent (1 1/2%) per month or the maximum rate of interest allowable by law. Owner agrees to reimburse IC in full for all costs of collection, including attorney fees, incurred or paid by IC in connection with collecting, or attempting to collect, any amounts due under this Agreement.

**WARRANTY**

For a period of one hundred eighty (180) days from the in service date of the equipment and materials, IC agrees to remedy by repair or replacement at its sole option, the failure of equipment and materials which is caused by defects in workmanship of IC. EXCEPT AS STATED ABOVE, THE ILLUMINATING COMPANY EXPRESSLY EXCLUDES, AND OWNER HEREBY WAIVES, ANY AND ALL WARRANTIES, EXPRESSED OR IMPLIED, INCLUDING WITHOUT LIMITATION, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE AND ANY WARRANTIES ARISING FROM ANY COURSE OF DEALING OR USAGE OF TRADE.

**INDEMNIFICATION**

Owner agrees for itself, its successors and assigns, to save IC, its successors and assigns, harmless from all claims, demands, damages, losses, judgments, actions or causes of actions, costs or expenses, including litigation expenses and legal fees, in connection therewith or related thereto, including lack of authority to enter into this Agreement, asserted by or for any person or persons for personal injuries, death, or property damage caused by, arising out of, or in any way related to the work performed by IC under this Agreement, unless initiated or proximately caused by the sole negligence of IC. Notwithstanding the foregoing, IC shall have no responsibility to the Owner or third parties if the work scope as defined by Owner violates the rights of or causes damage to any third party or governmental authority.

**LIMITATION OF LIABILITY**

Neither IC, its affiliated companies, its subcontractors, nor its employees shall be liable for loss of anticipated profits, loss by reason of plant or other facility shutdown, non-operation or increased expense of operation, service interruption, claims of Owner's customers, subcontractors, vendors or suppliers, cost of money, loss of use of capital or revenue arising out of IC's work or out of or in connection with Owner's use, or inability to use, the facilities, or for any special, incidental or consequential damages of any nature, arising at any time or from any cause whatsoever.

**FORCE MAJEURE**

Neither party shall be liable to the other for any expenses, loss or damage resulting from delays or prevention of performance arising from causes beyond its reasonable control caused by fire, flood, accident, strike, civil commotion, governmental or military authority, insurrection, riots, embargoes, unavoidable delays in transportation, acts of God, or public enemy.

**ASSIGNMENT**

This Agreement shall be binding upon and insure to the benefit of the parties hereof, their successors and assigns. Owner, however, shall not assign to any other person or association not affiliated with Owner any rights or privileges hereby granted, or authorize any person or association not affiliated with Owner the exercise of any rights or privileges herein provided for, without the written consent of IC.

**ARBITRATION**

Any question in dispute between the parties arising out of the Agreement (except any claim for damages because of bodily injuries, including death at any time resulting there from, except for any claim for damages because of injuries to or destruction of property and, except for major breach or repudiation with respect to this Agreement) which is not amicably settled shall be submitted to final and binding arbitration. Such arbitration shall be conducted in Akron, Ohio, before an arbitrator mutually acceptable to the parties; provided, however, that should the parties be unable to agree, the arbitrator shall be selected by the presiding Judge of the Court of Common Pleas of Summit County, Ohio. The request for arbitration shall be in writing setting forth the claim or claims to be arbitrated and the remedy sought. It shall be delivered to the other party within 90 days of the occurrence giving rise to the dispute. Any failure to request arbitration within such 90-day period shall be deemed a waiver of the right to arbitrate the claim upon which the dispute is based.

**SUBCONTRACTING**

**NON-WAIVER**

IC and Owner will comply with all applicable Federal, State, and Local statutes, ordinances, rules, and regulations. Other providers are available to perform this work. The services you receive from THE ILLUMINATING COMPANY will not be impacted in any way regardless of the provider you select to do this work.

**NON-WAIVER**

The failure of either party to insist or enforce in any instance strict performance of any of the terms hereof or to exercise any of its rights herein shall not be construed as a waiver or relinquishment to any extent of its rights to assert or rely upon such terms on any future occasion.

**APPLICABLE LAW**

This Agreement shall be governed in all respects by the laws of the State of Ohio and only the Courts of Ohio, or Federal Courts in Ohio shall have jurisdiction.

APPROVED AS TO LEGAL FORM:

GREATER CLEVELAND REGIONAL  
TRANSIT AUTHORITY

By \_\_\_\_\_  
Sheryl King Benford, General Counsel  
Deputy General Manager for Legal Affairs

\_\_\_\_\_  
India L. Birdsong  
General Manager, Chief Executive Officer

RESOLUTION 2021-91

AUTHORIZING A UTILITY AGREEMENT WITH CLEVELAND ELECTRIC ILLUMINATING COMPANY TO EXTEND ELECTRIC SERVICE TO THE NEW WARRENSVILLE VAN AKEN SUBSTATION (PROJECT NO. 60B) IN AN AMOUNT NOT TO EXCEED \$850,520.03 (RTA DEVELOPMENT FUND, ENGINEERING & PROJECT DEVELOPMENT DEPARTMENT BUDGET)

WHEREAS, the Greater Cleveland Regional Transit Authority ("GCRTA") is constructing the Warrensville Van Aken Substation at a new location north and west of the former location at the end of the Blue Line in Shaker Heights, Ohio; and

WHEREAS, Cleveland Electric Illuminating Company ("CEI") is the electricity supplier at this location; and

WHEREAS, GCRTA has a need for electric service to be extended to the new location of the Warrensville Van Aken Substation; and

WHEREAS, GCRTA must obtain a utility agreement with CEI to perform this work, and CEI is willing to enter into a utility agreement for a total price not to exceed \$850,520.03 and to perform the construction activity to extend electric service to the new substation; and

WHEREAS, the Ohio Revised Code Section 306.43(H)(2) provides that competitive bidding is not required when the expenditure is for goods or services, or any combination thereof, and after reasonable inquiry the board or any officer or employee the board designates finds that only one source of supply is reasonably available; and

WHEREAS, CEI has been determined to be the only source for this work; and

WHEREAS, the General Manager, Chief Executive Officer deems the offer of CEI to be in the best interest of GCRTA and recommends acceptance thereof by the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the Greater Cleveland Regional Transit Authority, Cuyahoga County, Ohio:

Section 1. That the offer of Cleveland Electric Illuminating ("CEI") to construct the extension of electric service to the new Warrensville Van Aken Substation north and west of the terminus of the Blue Line in Shaker Heights, Ohio is hereby accepted.

Section 2. That the General Manager, Chief Executive Officer of GCRTA be and is hereby authorized to enter into an agreement with CEI to construct the extension of electric service to the new Warrensville Van Aken Substation.

Section 3. That said contract should be payable from the RTA Development Fund, Engineering & Project Development Department budget, including but not limited to Capital Grant OH-2018-027, in an amount not to exceed \$850,520.03 (\$680,416.02 in federal funds which represents 80% of the total cost.)

Section 4. That said agreement shall be binding upon and an obligation of the Authority contingent upon appropriation of funds; compliance by the contractor to the Specifications and Addenda thereto, if any; the Affirmative Action Plan adopted by the Board of Trustees; bonding and insurance requirements, as applicable; and all applicable laws relating to contractual obligations of the Authority.

Section 5. That this resolution shall become effective immediately upon its adoption.

Adopted: September 28, 2021

\_\_\_\_\_  
President

Attest: \_\_\_\_\_  
Secretary-Treasurer



**THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY**  
**REPORT OF CASH RECEIVED COMPARED TO PRIOR YEAR - GENERAL FUND**  
**FOR THE PERIOD ENDED AUGUST 31, 2021 AND AUGUST 31, 2020**

	CURRENT MONTH	PRIOR YR MONTH	VARIANCE	%CHANGE	CURRENT % OF TOTAL	2021 YTD	2020 YTD	VARIANCE	%CHANGE	CURRENT % OF TOTAL
<b>PASSENGER FARES:</b>										
CASH FARES	\$ 823,396	\$ 718,188	\$ 105,208	14.65%	3.46%	\$ 5,670,933	\$ 6,719,712	\$ (1,048,783)	(15.61%)	2.28%
PASS/TICKET SALES	370,783	529,530	(158,747)	(29.98%)	1.56%	3,378,529	5,050,179	(1,671,650)	(21.22%)	1.60%
CMISO - STUDENT FARECARDS	10,532	6,951	3,581	51.52%	0.04%	653,702	808,803	(157,101)	(19.42%)	0.26%
U-PASS	241,000	144,000	97,000	67.36%	1.01%	979,568	1,693,980	(624,412)	(38.93%)	0.39%
MOBILE TICKETING	419,194	328,737	90,457	27.71%	1.76%	2,711,701	2,949,247	(237,546)	(8.05%)	1.09%
<b>SUBTOTAL PASSENGER FARES</b>	<b>1,864,905</b>	<b>1,726,901</b>	<b>138,004</b>	<b>7.99%</b>	<b>7.83%</b>	<b>13,992,423</b>	<b>17,131,921</b>	<b>(3,139,498)</b>	<b>(18.33%)</b>	<b>5.62%</b>
<b>OPERATING SUBSIDIES:</b>										
SALES & USE TAX	21,522,576	17,532,128	3,990,448	22.76%	90.41%	154,715,839	136,549,937	18,165,902	13.30%	62.17%
<b>SUBTOTAL OPERATING SUBSIDIES</b>	<b>21,522,576</b>	<b>17,532,128</b>	<b>3,990,448</b>	<b>22.76%</b>	<b>90.41%</b>	<b>154,715,839</b>	<b>136,549,937</b>	<b>18,165,902</b>	<b>13.30%</b>	<b>62.17%</b>
<b>OTHER REVENUE:</b>										
ADVERTISING/CONCESSIONS/COMMISSIONS	64,614	27,153	37,461	137.96%	0.27%	551,853	1,542,558	(990,705)	(64.22%)	0.22%
NAMING RIGHTS LESS COMMISSIONS	-	-	-	-	0.00%	217,700	350,379	(132,679)	(37.87%)	0.09%
RENTAL INCOME	37,483	22,674	14,809	65.31%	0.16%	275,588	181,084	94,504	52.19%	0.11%
INTEREST INCOME	4,501	28,107	(23,606)	(83.99%)	0.02%	59,176	591,449	(534,273)	(90.03%)	0.02%
OTHER	77,021	3,980	73,041	1834.70%	0.32%	201,318	66,233	135,087	203.96%	0.08%
<b>SUBTOTAL OTHER REVENUE</b>	<b>183,599</b>	<b>81,914</b>	<b>101,685</b>	<b>124.14%</b>	<b>0.77%</b>	<b>1,305,635</b>	<b>2,733,701</b>	<b>(1,428,066)</b>	<b>(52.24%)</b>	<b>0.52%</b>
<b>REIMBURSEMENTS AND OTHER SOURCES OF CASH:</b>										
FUEL/CNG/PROPANE TAX REFUNDS	58,568	302,897	(151,329)	(46.71%)	0.25%	856,690	2,338,024	(1,481,334)	(63.36%)	0.34%
GRANT REIMBURSEMENT (FEDERAL, STATE, LOCAL MATCH)	840	38,934	(18,094)	(46.48%)	0.00%	282,335	755,037	(472,702)	(62.61%)	0.11%
PREVENTIVE MAINTENANCE (FEDERAL, STATE, LOCAL MATCH)	151,815	-	151,815	-	0.64%	7,811,359	20,000,000	(12,188,641)	(60.94%)	3.14%
FEDERAL OPERATING ASSISTANCE	-	176,749	(176,749)	(100.00%)	0.00%	207,278	583,971	(382,693)	(65.53%)	0.08%
MISCELLANEOUS RECEIPTS	13,735	114,599	(90,864)	(79.29%)	0.08%	2,279,193	2,320,237	(41,044)	(1.77%)	0.92%
FEDERAL CARES ACT	-	17,336,868	(17,336,868)	(100.00%)	0.00%	67,416,466	91,068,774	(23,652,308)	(26.00%)	27.09%
FEDERAL ARRA	-	-	-	-	0.00%	-	-	-	-	0.00%
<b>SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH</b>	<b>234,958</b>	<b>17,757,047</b>	<b>(17,522,089)</b>	<b>(98.68%)</b>	<b>0.99%</b>	<b>76,847,521</b>	<b>117,066,043</b>	<b>(40,218,522)</b>	<b>(32.65%)</b>	<b>31.68%</b>
<b>TOTAL CASH RECEIVED - GENERAL FUND</b>	<b>\$ 23,806,038</b>	<b>\$ 37,097,990</b>	<b>\$ (13,291,952)</b>	<b>(35.83%)</b>	<b>100.00%</b>	<b>\$ 248,861,218</b>	<b>\$ 273,481,602</b>	<b>\$ (24,620,384)</b>	<b>(9.00%)</b>	<b>100.00%</b>



THE GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
 REPORT OF CASH RECEIVED COMPARED TO BUDGET - GENERAL FUND  
 FOR THE PERIOD ENDED AUGUST 31, 2021

	BUDGET MONTH	CURRENT MONTH	VARIANCE	%CHANGE	CURRENT % OF TOTAL	2021 YTD BUDGET	2021 YTD	VARIANCE	%CHANGE	CURRENT % OF TOTAL
<b>PASSENGER FARES:</b>										
CASH FARES	\$ 1,041,274	\$ 823,396	\$ (217,878)	(20.92%)	3.46%	\$ 7,721,870	\$ 5,670,923	\$ (2,050,947)	(26.56%)	2.28%
PASS/FICKET SALES	638,665	370,783	(267,822)	(41.94%)	1.56%	6,117,462	3,978,579	(2,138,913)	(34.96%)	1.60%
CMISD - STUDENT FARECARDS	1,000	10,532	9,532	953.20%	0.04%	265,000	651,782	386,702	145.93%	0.26%
U-PASS	339,830	241,200	(98,630)	(29.08%)	1.01%	1,264,876	979,568	(285,258)	(22.55%)	0.39%
MOBILE TICKETING	465,311	419,194	(46,117)	(9.91%)	1.75%	3,097,098	2,711,781	(385,389)	(12.44%)	1.09%
<b>SUBTOTAL PASSENGER FARES</b>	<b>2,486,019</b>	<b>1,884,905</b>	<b>(621,114)</b>	<b>(24.98%)</b>	<b>7.83%</b>	<b>18,466,228</b>	<b>13,992,423</b>	<b>(4,473,805)</b>	<b>(24.23%)</b>	<b>5.67%</b>
<b>OPERATING SUBSIDIES:</b>										
SALES & USE TAX	18,216,161	21,522,576	3,306,415	18.15%	90.41%	140,702,455	154,715,839	14,013,384	9.96%	62.17%
<b>SUBTOTAL OPERATING SUBSIDIES</b>	<b>18,216,161</b>	<b>21,522,576</b>	<b>3,306,415</b>	<b>18.15%</b>	<b>90.41%</b>	<b>140,702,455</b>	<b>154,715,839</b>	<b>14,013,384</b>	<b>9.96%</b>	<b>62.17%</b>
<b>OTHER REVENUE:</b>										
ADVERTISING/CONCESSIONS/COMMISSIONS	13,108	64,514	51,506	392.94%	0.27%	880,919	551,853	(329,066)	(37.35%)	0.22%
NAMING RIGHTS/LESS COMMISSIONS	39,221	-	(39,221)	-	0.00%	434,693	217,700	(216,993)	(55.99%)	0.09%
RENTAL INCOME	43,423	37,483	(5,940)	(13.68%)	0.16%	475,862	275,588	(200,274)	(42.09%)	0.11%
INTEREST INCOME	214,839	4,501	(210,338)	(97.90%)	0.02%	1,499,757	59,176	(1,440,581)	(96.05%)	0.02%
OTHER	162,401	77,001	(85,400)	(52.59%)	0.32%	529,122	201,318	(327,804)	(61.95%)	0.08%
<b>SUBTOTAL OTHER REVENUE</b>	<b>472,993</b>	<b>185,599</b>	<b>(289,394)</b>	<b>(61.18%)</b>	<b>0.77%</b>	<b>3,880,353</b>	<b>1,305,635</b>	<b>(2,574,718)</b>	<b>(66.35%)</b>	<b>0.53%</b>
<b>REIMBURSEMENTS AND OTHER SOURCES OF CASH:</b>										
FUELING/PROPANE TAX REFUNDS	-	56,368	56,368	-	0.25%	974,817	856,690	(118,127)	(12.12%)	0.34%
GRANT REIMBURSEMENT FEDERAL STATE, LOCAL MATCH	12,909	840	(12,069)	(93.49%)	0.00%	111,480	282,335	170,855	153.26%	0.11%
PREVENTIVE MAINTENANCE (FEDERAL STATE, LOCAL MATCH)	871,921	151,815	(720,106)	(82.59%)	0.64%	10,453,128	7,811,359	(2,641,769)	(25.27%)	3.14%
FEDERAL OPERATING ASSISTANCE	-	-	-	-	0.00%	-	201,278	201,278	-	0.08%
MISCELLANEOUS RECEIPTS	153,824	23,735	(130,089)	(84.57%)	0.10%	837,890	2,279,193	1,441,303	172.82%	0.92%
FEDERAL CARES ACT	-	-	-	-	0.00%	-	-	-	-	0.00%
FEDERAL CRSA	-	-	-	-	0.00%	-	67,416,466	67,416,466	-	27.09%
FEDERAL ARP	-	-	-	-	0.00%	-	-	-	-	0.00%
<b>SUBTOTAL REIMBURSEMENTS AND OTHER SOURCES OF CASH</b>	<b>1,038,655</b>	<b>234,958</b>	<b>(803,697)</b>	<b>(77.38%)</b>	<b>0.99%</b>	<b>12,377,316</b>	<b>78,847,321</b>	<b>66,470,005</b>	<b>537.03%</b>	<b>31.69%</b>
<b>TOTAL CASH RECEIVED - GENERAL FUND</b>	<b>\$ 22,213,828</b>	<b>\$ 23,806,038</b>	<b>\$ 1,592,210</b>	<b>7.17%</b>	<b>100.00%</b>	<b>\$ 175,426,352</b>	<b>\$ 248,862,218</b>	<b>\$ 73,434,866</b>	<b>41.86%</b>	<b>100.00%</b>

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
SALES AND USE TAXES  
ACTUAL RECEIPTS THROUGH SEPTEMBER 2021**

MONTH RECEIVED	2019 ACTUAL	2020 ACTUAL	2021 (A) ESTIMATE	2021 ACTUAL	2021 VERSUS 2020 MONTHLY		2021 MONTHLY		2020 YTD ACTUAL	2021 YTD ESTIMATE	2021 YTD ACTUAL	2021 VERSUS 2020 YTD %		2021 YTD % EST VARIANCE
					MONTH % CHANGE	ACTUAL VERSUS ESTIMATE	ACTUAL	ESTIMATE				CHANGE	YTD % EST VARIANCE	
JANUARY	\$17,311,711	\$18,303,734	\$17,599,541	\$18,510,754	1.13%	5.18%	\$17,311,711	\$18,303,734	\$18,303,734	\$17,599,541	\$18,510,754	1.13%	5.18%	
FEBRUARY	\$17,753,526	\$18,450,264	\$18,048,702	\$17,982,308	(2.54%)	(0.37%)	\$35,065,237	\$36,753,998	\$36,753,998	\$35,648,243	\$36,493,063	(0.71%)	2.37%	
MARCH	\$19,588,186	\$21,219,411	\$19,913,866	\$20,664,587	(2.61%)	3.77%	\$54,653,423	\$57,979,409	\$57,979,409	\$55,562,109	\$57,157,650	(1.41%)	2.87%	
APRIL	\$15,303,867	\$16,460,465	\$15,558,314	\$16,791,242	2.01%	7.92%	\$69,957,290	\$74,433,873	\$74,433,873	\$71,120,423	\$73,948,892	(0.65%)	3.98%	
MAY	\$15,802,627	\$15,293,102	\$16,065,570	\$17,263,726	12.89%	7.46%	\$85,750,117	\$89,726,976	\$89,726,976	\$87,185,993	\$91,212,618	1.66%	4.62%	
JUNE	\$18,297,841	\$15,928,194	\$18,602,067	\$21,214,064	33.20%	14.04%	\$104,057,958	\$105,653,169	\$105,653,169	\$105,788,060	\$112,426,882	6.41%	6.28%	
JULY	\$16,425,144	\$13,364,639	\$16,698,234	\$20,766,582	55.38%	24.36%	\$120,483,102	\$119,017,808	\$119,017,808	\$122,486,294	\$133,193,263	11.91%	8.74%	
AUGUST	\$17,918,246	\$17,532,128	\$18,216,161	\$21,522,578	22.76%	18.15%	\$138,401,348	\$136,549,937	\$136,549,937	\$140,702,455	\$154,715,839	13.30%	9.95%	
SEPTEMBER	\$18,225,063	\$19,653,853	\$19,544,708	\$21,978,285	11.82%	12.44%	\$157,626,411	\$156,203,790	\$156,203,790	\$160,247,161	\$176,692,135	13.12%	10.26%	
OCTOBER	\$17,757,984	\$18,570,261	\$18,053,234											
NOVEMBER	\$18,251,949	\$17,091,353	\$18,555,412											
DECEMBER	\$18,555,735	\$18,282,055	\$18,884,249											
<b>TOTAL</b>	<b>\$212,192,079</b>	<b>\$210,147,468</b>	<b>\$215,720,056</b>	<b>\$176,692,135</b>										

**Summary:**

**Month**

11.82% (\$2,322,442) higher than September 2020 Actual  
12.44% (\$2,431,589) higher than September 2021 estimate

**YTD**

13.12% (\$20,488,345) higher than 2020 Actual  
10.26% (\$16,444,974) higher than 2021 estimate

(A) 2021 Monthly estimates changed based on more up to date information

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
INVENTORY OF TREASURY INVESTMENTS  
AS OF AUGUST 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
BOND RETIREMENT FUND	8/31/2021	9/1/2021	MONEY MARKET	HUNTINGTON BANK	1	\$15,245,224	\$0	1	0.02%
	5/8/2019	5/31/2022	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	1118	\$247,412	\$3,342	272	2.10%
	5/8/2019	11/30/2021	TREASURY BILL FOR PREMIUM	HUNTINGTON BANK	936	\$1,665,366	\$22,458	152	2.10%
<b>TOTAL BOND RETIREMENT FUND</b>						<b>\$17,158,002</b>	<b>\$25,800</b>		<b>0.24%</b>
GENERAL FUND	8/31/2021	9/1/2021	MERCHANT ACCT-KEY MMKT	KEY BANK	1	\$201,192	\$0	1	0.35%
	3/1/2021	3/1/2024	FFCB	STIFEL NICOLAUS	1095	\$4,997,500	\$6,354	912	0.25%
	4/22/2021	10/6/2021	NATXNY CP	HILL TOP SECURITIES	167	\$4,996,521	\$2,729	36	0.15%
	8/26/2021	8/26/2024	FHLB	STIFEL NICOLAUS	1095	\$5,000,000	\$358	1089	0.43%
	8/31/2021	9/1/2021	STAR OHIO	STATE OF OHIO	1	\$70,002,136	\$0	1	0.07%
	8/31/2021	9/1/2021	EMPLOYEE ACTIVITY FUND	KEY BANK	1	\$108,435	\$0	1	0.35%
	8/31/2021	9/1/2021	PNC CUSTODY ACCOUNT	PNC BANK	1	\$8,398	\$0	1	0.01%
	8/31/2021	9/1/2021	SALES TAX ACCOUNT	HUNTINGTON BANK	1	\$4,400	\$0	1	0.03%
	8/31/2021	9/1/2021	KEY ECR	KEY BANK	1	\$10,800,657	\$0	1	0.35%
<b>TOTAL GENERAL FUND</b>						<b>\$96,119,238</b>	<b>\$9,442</b>		<b>0.13%</b>
INSURANCE FUND	8/31/2021	9/1/2021	STAR OHIO	STATE OF OHIO	1	\$4,810,916	\$0	1	0.07%
	8/31/2021	9/1/2021	KEY ECR	KEY BANK	1	\$3,036,236	\$0	1	0.35%
<b>TOTAL INSURANCE FUND</b>						<b>\$7,847,152</b>	<b>\$0</b>		<b>0.18%</b>
LAW ENFORCEMENT FUND	8/31/2021	9/1/2021	LAW ENFORCEMENT	KEY BANK-SWEEP	1	\$76,074	\$0	1	0.35%
	8/31/2021	9/1/2021	STAR OHIO	STATE OF OHIO	1	\$44,032	\$0	1	0.07%
<b>TOTAL LAW ENFORCEMENT FUND</b>						<b>\$120,106</b>	<b>\$0</b>		<b>0.18%</b>
LOCAL MATCH FUND	3/17/2020	1/24/2023	LOCAL MATCH-FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$8,200	510	1.64%
	4/30/2021	5/3/2021	2019 DEBT ACCT-STAR OHIO	STATE OF OHIO	1	\$11,968,369	\$0	1	0.07%
	8/31/2021	9/1/2021	PNC CUSTODY ACCOUNT	PNC BANK	1	\$41,000	\$0	1	0.01%
	8/31/2021	9/1/2021	2019 DEBT ACCT-KEY ECR	KEY BANK	1	\$89,041	\$0	1	0.75%
	8/31/2021	9/1/2021	LOCAL MATCH-STAR OHIO	STATE OF OHIO	1	\$29,670,542	\$0	1	0.07%
	8/31/2021	9/1/2021	LOCAL MATCH-KEY ECR	KEY BANK	1	\$428,839	\$0	1	0.35%
	8/31/2021	9/1/2021	GRANT-ECR	KEY BANK	1	\$27,491	\$0	1	0.35%
	8/31/2021	9/1/2021	CATCH BASIN-KEY ECR	KEY BANK	1	\$101,887	\$0	1	0.35%
<b>TOTAL LOCAL MATCH FUND</b>						<b>\$47,418,344</b>	<b>\$8,200</b>		<b>0.24%</b>
PENSION FUND	8/31/2021	9/1/2021	KEY ECR	KEY BANK	1	\$90,400	\$0	1	0.35%
	8/31/2021	9/1/2021	STAR OHIO	STATE OF OHIO	1	\$1,229,260	\$0	1	0.07%
<b>TOTAL PENSION FUND</b>						<b>\$1,319,659</b>	<b>\$0</b>		<b>0.09%</b>

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
INVENTORY OF TREASURY INVESTMENTS  
AS OF AUGUST 31, 2021**

FUND	PURCHASE DATE	MATURITY DATE	INSTRUMENT	INSTITUTION	TERM DAYS	TOTAL PRINCIPAL	ACCRUED INTEREST	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
RTA CAPITAL FUND	8/31/2021	9/1/2021	KEY ECR	KEY BANK	1	\$5,066,102	\$0	1	0.35%
	8/5/2021	5/22/2022	NATXNY CP	HILLTOP SECURITIES	270	\$4,994,375	\$542	244	0.15%
	4/5/2021	4/5/2024	FFCB	STIFEL NICOLAUS	1095	\$2,000,000	\$2,713	964	0.33%
	3/4/2021	3/4/2024	FNMA	STIFEL NICOLAUS	1095	\$499,790	\$800	915	0.34%
<b>TOTAL RTA CAPITAL FUND</b>					<b>\$12,560,267</b>	<b>\$4,055</b>		<b>0.27%</b>	
RESERVE FUND	3/17/2020	1/24/2023	FFCB	STIFEL NICOLAUS	1042	\$5,091,175	\$8,200	510	1.64%
	8/31/2021	9/1/2021	PNC CUSTODY ACCOUNT	PNC BANK	3	\$41,000	\$0	3	0.01%
	8/31/2021	9/1/2021	KEY ECR	KEY BANK	3	\$8,908,528	\$0	3	0.35%
	8/31/2021	9/1/2021	STAR OHIO	STATE OF OHIO	3	\$49,423,616	\$0	3	0.07%
<b>TOTAL RESERVE FUND</b>					<b>\$63,464,319</b>	<b>\$8,200</b>		<b>0.21%</b>	
<b>TOTAL ALL FUNDS</b>					<b>\$246,007,088</b>	<b>\$55,697</b>	<b>82</b>	<b>0.19%</b>	

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
DEBT SERVICE SCHEDULE AND STATUS  
AS OF AUGUST 31, 2021**

Bonds	Final Maturity Date	Total Principal Outstanding 12/31/2020	Interest Payable/ 6/1/2021	Principal Payable/ 6/1/2021	Debt Service Requirement/ 6/1/2021	Interest Payable/ 12/31/2021	Principal Payable/ 12/31/2021	Debt Service Requirement/ 12/31/2021	Total Debt Requirement 2021
Series 2012-Sales Tax Rev.	Dec. 2024	5,585,000.00	262,500.00	0.00	262,500.00	262,500.00	3,300,000.00	3,562,500.00	3,825,000.00
Series 2014A-Sales Tax Rev.	Dec. 2025	10,305,000.00	240,725.00	0.00	240,725.00	240,725.00	3,730,000.00	3,970,725.00	4,211,450.00
Series 2015-Sales Tax Rev.	Dec. 2034	22,805,000.00	1,034,000.00	0.00	1,034,000.00	1,034,000.00	3,350,000.00	4,384,000.00	5,418,000.00
Series 2016-Sales Tax Rev.	Dec. 2031	8,115,000.00	374,900.00	0.00	374,900.00	374,900.00	10,000.00	384,900.00	759,800.00
Series 2019-Sales Tax Rev.	Dec. 2039	11,985,000.00	727,375.00	0.00	727,375.00	727,375.00	955,000.00	1,682,375.00	2,409,750.00
<b>Total Bonds</b>		<b>\$58,795,000.00</b>	<b>\$2,639,500.00</b>	<b>\$0.00</b>	<b>\$2,639,500.00</b>	<b>\$2,639,500.00</b>	<b>\$11,345,000.00</b>	<b>\$13,984,500.00</b>	<b>\$16,624,000.00</b>

Bond Retirement  
\$16,624,000

Current Balance (Set Aside for 2021)

Monthly Set Aside Required  
\$0

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
SUMMARY OF INVESTMENT PERFORMANCE  
YEAR TO DATE THROUGH AUGUST 31, 2021**

MONTH	2021				2020					
	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #	MARKET YIELD #	AVERAGE BALANCE	INTEREST EARNED	AVERAGE YIELD	STANDARD YIELD #	MARKET YIELD #
JANUARY	\$247,915,757	\$25,844	0.24%	0.10%	0.01%	\$153,025,000	\$306,867	1.94%	1.66%	1.49%
FEBRUARY	\$241,578,777	\$39,863	0.21%	0.09%	0.01%	\$147,326,500	\$177,033	1.93%	1.53%	1.22%
MARCH	\$233,701,962	\$31,822	0.22%	0.08%	0.01%	\$153,725,000	\$446,742	1.49%	1.26%	0.10%
APRIL	\$226,630,970	\$31,036	0.20%	0.07%	0.01%	\$150,720,000	\$364,860	1.17%	1.01%	0.01%
MAY	\$251,992,878	\$32,939	0.20%	0.06%	0.01%	\$187,360,000	\$234,705	0.86%	0.77%	0.01%
JUNE	\$287,664,318	\$51,309	0.18%	0.05%	0.01%	\$212,554,447	\$209,413	0.75%	0.53%	0.07%
JULY	\$288,788,088	\$30,688	0.19%	0.05%	0.01%	\$221,671,717	\$98,136	0.74%	0.30%	0.01%
AUGUST	\$243,356,737	\$31,714	0.19%	0.05%	0.01%	\$232,155,834	\$99,171	0.48%	0.14%	0.01%
SEPTEMBER						\$236,404,000	\$28,674	0.39%	0.13%	0.01%
OCTOBER						\$267,168,925	\$28,216	0.28%	0.13%	0.01%
NOVEMBER						\$256,122,283	\$4,471	0.24%	0.12%	0.01%
DECEMBER						\$240,885,693	\$9,764	0.27%	0.11%	0.01%
YEAR TO DATE	252,703,678	\$275,247	0.20%	0.07%	0.01%	\$184,786,142	\$2,008,071	0.88%	0.64%	0.25%
<b>RTA AVERAGE YIELDS OVER (UNDER) INDEX</b>										
				<b>0.14%</b>	<b>0.19%</b>				<b>0.24%</b>	<b>0.63%</b>

# Moving average coupon equivalent yields for 6 month Treasury Bills.

# Market Yield equals US Treasury Money Fund 7 Day Yield

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
 REPORT ON INVESTMENT EARNINGS (CASH BASIS)  
 AUGUST 2021**

<b>BOND RETIREMENT FUND</b>		
HUNTINGTON MONEY MARKET		
	AUGUST 2021	<u>\$325.35</u>
	2021 YEAR TO DATE	\$325.35
	2020 YEAR TO DATE	\$23,119.94
		\$104,595.33
<b>GENERAL FUND</b>		
HUNTINGTON-SALES TAX ACCOUNT		
STAROHIO		
KEY BANK SWEEP ACCOUNT		
PNC CUSTODY ACCOUNT		
MERCHANT ACCOUNT-KEY BANK SWEEP ACCOUNT		
	AUGUST 2021	\$14.51
	2021 YEAR TO DATE	\$4,369.45
	2020 YEAR TO DATE	\$114.07
		\$0.49
		<u>\$2.26</u>
		\$4,500.78
		\$59,176.00
		\$593,448.42
<b>INSURANCE FUND</b>		
STAROHIO		
	AUGUST 2021	<u>\$313.65</u>
	2021 YEAR TO DATE	\$313.65
	2020 YEAR TO DATE	\$14,508.97
		\$174,465.66
<b>LAW ENFORCEMENT FUND</b>		
KEY BANK SWEEP ACCOUNT		
STAROHIO		
	AUGUST 2021	\$0.63
	2021 YEAR TO DATE	<u>\$2.87</u>
	2020 YEAR TO DATE	\$3.50
		\$26.93
		\$398.16

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
REPORT ON INVESTMENT EARNINGS (CASH BASIS)  
AUGUST 2021**

<b>LOCAL MATCH FUND</b>		
STAROHIO-LOCAL MATCH		\$1,960.79
STAROHIO-2019 DEBT		\$785.57
KEY BANK SWEEP ACCOUNT		\$2.04
	AUGUST 2021	\$2,748.40
	2021 YEAR TO DATE	\$97,539.35
	2020 YEAR TO DATE	\$479,013.31
<b>PENSION FUND</b>		
STAROHIO		\$80.14
KEY BANK SWEEP ACCOUNT		\$0.78
	AUGUST 2021	\$80.92
	2021 YEAR TO DATE	\$677.47
	2020 YEAR TO DATE	\$13,039.19
<b>EMPLOYEE ACTIVITY ACCOUNT</b>		
KEY BANK MONEY MARKET		\$0.93
	AUGUST 2021	\$0.93
	2021 YEAR TO DATE	\$9.07
	2020 YEAR TO DATE	\$150.88
<b>RTA CAPITAL FUND</b>		
KEY BANK SWEEP ACCOUNT		\$48.54
	AUGUST 2021	\$48.54
	2021 YEAR TO DATE	\$427.71
	2020 YEAR TO DATE	\$159,402.84

**GREATER CLEVELAND REGIONAL TRANSIT AUTHORITY  
REPORT ON INVESTMENT EARNINGS (CASH BASIS)  
AUGUST 2021**

**RESERVE FUND  
STAROHIO  
KEY BANK SWEEP ACCOUNT**

\$3,263.14  
\$70.18  
\$3,333.32  
\$110,274.70  
\$432,855.60  
\$11,355.39  
\$305,760.14  
\$1,957,369.39

AUGUST 2021  
2021 YEAR TO DATE  
2020 YEAR TO DATE  
AUGUST 2021  
2021 YEAR TO DATE  
2020 YEAR TO DATE

**TOTAL ALL FUNDS**

**2021 YEAR  
TO DATE  
\$305,760**

**AUGUST  
\$11,355**

**INTEREST RECEIVED (CASH BASIS)**

**ACCRUED INTEREST:**

**BEGINNING:**

**ENDING:**

**INTEREST INCOME EARNED:**

**AVERAGE INVESTMENT BALANCE (COST):**

**AVERAGE YIELD ON INVESTMENTS:**

(\$86,211)  
\$55,697  
\$275,246

\$252,703,678

0.19%

0.20%

**COMPOSITION OF INVESTMENT PORTFOLIO**  
**AS AUGUST 31, 2021**

Instrument	PRINCIPAL	FACE	PERCENT OF	AVERAGE	AVERAGE
	AMOUNT	AMOUNT	TOTAL	YIELD	MATURITY
Money Market Account	\$15,532,818	\$15,532,817	6.31%	0.02%	1
Key Bank Sweep Account	\$192,906	\$192,906	0.08%	0.35%	1
Star Ohio	\$167,148,871	\$167,148,871	67.94%	0.07%	1
Earnings Credit Rate Account	\$28,549,181	\$28,549,181	11.61%	0.35%	1
Commercial Paper	\$9,990,896	\$10,000,000	4.06%	0.15%	140
U.S. Government Securities	\$24,592,417	\$24,430,000	10.00%	0.91%	729
<b>Total Investment Portfolio</b>	<b><u>\$246,007,088</u></b>	<b><u>\$245,853,775</u></b>	<b><u>100.00%</u></b>	<b><u>0.19%</u></b>	<b><u>82</u></b>

Greater Cleveland Regional Transit Authority  
 Banking and Financial Relationships  
 As of August 31, 2021

Bank/Financial Institution	Nature of relationship
Key Bank	Main banking services
PNC Bank	Custodial Account and Credit card
Fifth Third	Escrow Account
Huntington Bank	Bond Retirement and Sales Tax Account Underwriter STAR Ohio-Investments
Bank of New York Mellon	Bond Registrar
BMO Harris Bank	Fuel Hedge

**NOTE:**

This information is being provided for applicable individuals to be in compliance with:

- Ohio Revised Code Sections 102.03(D) and (E)
- Ohio Ethics Commission Informal Opinion Number 2003-INE-0224-1
- Ohio Ethics Commission Staff Advisory Opinion to Sheryl King Benford (DCM - Legal Affairs) dated May 6, 2020
- Ohio Ethics Commission Opinion Number 2011-08
- Ohio Ethics Commission Staff Advisory Opinion to R. Brent Minney dated March 27, 2012

Please refer to Chapter 656 of the Codified Rules and Regulations of the Greater Cleveland Regional Transit Authority (Travel Policy), Administrative Procedure 024 and Board of Trustees Resolution No. 2020-80 for additional information.